

**CO<sub>2</sub>: Earth's Climate Driver**

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## *Introduction*

Averaged across the Earth's surface, the temperature is close to 15°C. In the absence of reflectors on one hand and greenhouse gases on the other, its temperature would be only about 5°C. Important to our discussions is that clouds, ice and other reflectors reduce the Earth's temperature by about 25°C and H<sub>2</sub>O vapor, CO<sub>2</sub> and other greenhouse gases increase it by about 35°C (see Figure A). As both reflectivity and greenhouse strength have varied over the course of geologic time, so has the Earth's surface temperature.

Before delving into the evidence documenting these changes, it is important to understand the control system which regulates the atmosphere's CO<sub>2</sub> and H<sub>2</sub>O contents. The situation for water vapor is straight forward for it depends mainly on the temperature of the surface ocean. For each degree centigrade the ocean rises, the vapor pressure of water increases by 7 percent. In contrast, the situation for CO<sub>2</sub> is complex. Although also dictated by ocean, CO<sub>2</sub> and its backups HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>=</sup> are influenced by life cycles as well as by temperature. As is the case for every constituent of seawater, the input of carbon from volcanoes (CO<sub>2</sub>) and by the input from limestone dissolution (as CaO<sub>3</sub>) must be balanced by its removal to sediments (as CaCO<sub>3</sub>). If, for some reason, an imbalance between input and removal is created, a feedback system kicks into operation reestablishing this balance. The feedback system which controls the flow of carbon is also that which controls the flow of calcium. The cycles of these two elements are tightly coupled.

Calcium is added by the chemical weathering of both silicate and carbonate rocks. As both calcium and carbon are removed from the ocean largely as CaCO<sub>3</sub>, this requires that each calcium atom released by the chemical weathering of silicate rocks be matched by a CO<sub>2</sub> molecule released from the Earth's interior (see Figure B). This being the case, there must be a feedback loop that maintains a balance between the flow of these two elements.

This feedback involves the CO<sub>2</sub> content of the atmosphere (Walker et al., 1981). For example, if some perturbation were to cause the CO<sub>2</sub> supply to exceed that of calcium, the CO<sub>2</sub> content in the atmosphere would be driven up, warming the planet. This extra warmth would increase the rate of chemical weathering of silicate rock and hence the supply of calcium. This

would continue until a balance was restored. Were the supply of planetary CO<sub>2</sub> to fall behind the supply of calcium, the reverse would take place. The CO<sub>2</sub> content of the atmosphere would be drawn down causing the Earth to cool and the calcium supply rate to drop.

At this point one might ask what might cause such perturbations. The answer is many things. On the calcium supply side the first thing that comes to mind is continental elevation. Mountains serve as grinders supplying grist for chemical weathering. Another is that, as basalts weather far more rapidly than granites, continental drift changes the supply of calcium by changing the ratio of granite terrane to basalt terrane passing beneath the tropical rain belt. Further, the advent of rooted plants has likely accelerated chemical weathering. And the list goes on.

CO<sub>2</sub> perturbations are created by changes in the CaCO<sub>3</sub> content of the marine sediments being thermally decomposed in subduction zones. Also important are disruptions in the rate and pattern of plate motions caused by the collision of continental blocks. Yet another is the CO<sub>2</sub> released during the eruption of mantle plumes. And the list goes on.

In this regard valuable information is provided by what has happened in the aftermath of catastrophes such as extraterrestrial impacts, mega basalt outpourings and massive extinctions. By adding fossil fuel CO<sub>2</sub> to the atmosphere we are creating yet another such perturbation.

Of course changes in the CO<sub>2</sub> content of the atmosphere are also accompanied by changes in the hydrologic cycle. As the vapor pressure of water changes with temperature, warmings or cooling are accompanied by changes in the water vapor's contribution to greenhouse warming. Model simulations suggest that in the case of the ongoing rise of CO<sub>2</sub>, the accompanying rise in water vapor amplifies the warming to be about a factor of three.

Not only are there greenhouse side-effects but there are also reflectivity side-effects. Today clouds reflect back to space about one third of the incoming solar radiation. Hence, changes in the extent of cloud cover alter Earth temperature. The extent of ice and snow cover changes as the Earth warms or cools. Further, as deserts are more reflective than either forests or oceans, changes in the distribution of precipitation alter the Earth's reflectivity.

The greatest impediment to understanding CO<sub>2</sub>'s role in past climate changes is the lack of a reliable proxy for past atmospheric CO<sub>2</sub> contents. Only the air trapped in bubbles in Antarctic ice currently provides a pristine record. However it extends back only 0.8 million years. Existing CO<sub>2</sub> proxies tell us little of value as to how CO<sub>2</sub> has changed on longer time scales. Except for one, these proxies involve the isotope fractionation that occurs when living organisms produce either organic matter or CaCO<sub>3</sub> hard parts. Although the depletion of <sup>13</sup>C increases with atmospheric CO<sub>2</sub> content increases, it is not the only thing altering the extent of this depletion. Because of this, the proxies which involve photosynthetic fractionation are, in my estimation, not to be trusted. Although each of these CO<sub>2</sub> proxies is based on a sound idea, nature has a way of throwing curve balls which introduce serious biases.

Fortunately there is one method which is not based on the magnitude of isotope fractionation associated with biologic building blocks. As originally proposed by Thure Cerling (1992), this method involves the <sup>13</sup>C to <sup>12</sup>C ratio in soil CaCO<sub>3</sub> (i.e., caliche). The idea is that the δ<sup>13</sup>C of soil gas depends on the ratio of atmosphere's CO<sub>2</sub> (δ<sup>13</sup>C = -6‰) to respiration CO<sub>2</sub> (δ<sup>13</sup>C = -26‰). For times when atmosphere's CO<sub>2</sub> was higher, the δ<sup>13</sup>C of soil CO<sub>2</sub> and hence also of soil CaCO<sub>3</sub> would have been less negative. These carbonates are ubiquitous in dry lands. However Cerling's method suffers from two serious drawbacks. The first is that a 'guess' must be made regarding the respiration CO<sub>2</sub> content of the soil air at the time the soil CaCO<sub>3</sub> formed. And, second, the temperature of the soil at that time must be known. Recently, Morgan Schaller, a young scientist at Rensselaer Polytechnic Institute, has proposed a way in which both of these drawbacks might be circumvented. He has demonstrated that soil carbonates contain tiny sealed bubbles filled with soil air. By measuring CO<sub>2</sub> to N<sub>2</sub> ratio in this trapped air, Schaller avoids the necessity to 'guess' the CO<sub>2</sub> pressure in the soil. Also as the δ<sup>13</sup>C is measured on the CO<sub>2</sub> trapped in these tiny bubbles rather than on the CaCO<sub>3</sub>, no temperature correction for the isotope fractionation associated with the precipitation of CaCO<sub>3</sub> need be made. Further, Schaller uses the ratio of N<sub>2</sub> to Ar to establish the ratio of water to air in these tiny cavities. This is necessary because the ratio of CO<sub>2</sub> to N<sub>2</sub> is different in the water than in the air. He also makes use of the temporal increase in

the  $^{40}\text{Ar}$  to  $\text{Ar}$  ratio in the Earth's atmosphere which results from the continuing outgassing of this radiogenic  $^{40}\text{Ar}$  from the Earth's interior. Although many tests have yet to be made before Schaller can claim victory, as Cerling's method appears to be the "only game in town," one has to hope that he will be successful.

One other proxy for  $\text{CO}_2$  must be mentioned. It involves the isotopes of the element boron (i.e.,  $^{11}\text{B}$  and  $^{10}\text{B}$ ). In marine  $\text{CaCO}_3$  this ratio depends on the pH of the seawater in which it formed. In today's ocean  $\text{pCO}_2$  is closely tied to pH. Columbia's Bärbel Hönisch has shown that the  $\delta^{11}\text{B}$  in planktic shells reproduces the  $\text{CO}_2$  record in ice cores. But the accuracy of these reconstructions is  $\pm 15 \mu\text{atm}$  (compared to  $\pm 1 \mu\text{atm}$  for measurements of  $\text{CO}_2$  trapped in ice). But going back in time, a serious problem arises. We know that the  $\delta^{11}\text{B}$  of seawater has changed but not by how much.

With this background in mind, let us turn our attention to examples from Earth history where climate did change and see if a case can be made for the involvement of  $\text{CO}_2$ . The examples I have chosen involve unusual and often catastrophic events in the Earth's past. While it might be preferable to deal with times when the climate was close to steady-state, unfortunately our tool kit is not adequate for this task. Rather, we are forced to concentrate on times when the Earth system was involved in some sort of train wreck.

I decided not to include any discussion of extinction events. The biggest of these occurred 250 million years ago. Something like 90 percent of the species then extant suddenly disappeared. At the same time as the extinctions, the huge Siberian traps were extruded. It is likely that these eruptions were responsible for the kill. The consequent downward spike in ocean  $\delta^{13}\text{C}$  suggests that a substantial rise in atmospheric  $\text{CO}_2$  content occurred (see Figure D). But to me it seems more likely that the assassin was sulfur rather than carbon. There is evidence that the assemblage of organisms that came into being at the onset of the Triassic processed carbon in a different way than the Permian assemblage which it replaced. The evidence is a three per-mil drop in the  $\delta^{13}\text{C}$  in the carbon dissolved in the ocean (as recorded by limestones). The likely cause for this was the

demise of massive continental forests. Instead of being oxidized entirely by  $O_2$ , more of the organic material reached the sea and was oxidized by  $SO_4^-$ .

I start at the beginning. What was the role of greenhouse gases during the first two billion years when the Sun was faint? Then, I will jump forward in time to 800 million years ago when the Earth entered an era of extreme climate instability in which at least two ‘snowball’ episodes occurred. This era came to a close about 500 million years ago when climate stabilized and complex life made its debut.

Next on the list is a short-lived but intense global warming (the PETM) which took place 55.8 million years ago. There is no doubt that this sudden  $5^\circ C$  heat-up was caused by an abrupt release of an amount of  $CO_2$  comparable to that we would produce were we to burn all of our fossil fuel reserves. Although we know that an impact of an extraterrestrial object occurred at the onset of this event, arguments rage regarding the source of the  $CO_2$ .

Fifty million years ago the northward drifting Indian continent collided with Asia. This collision changed forever the evolution of the Earth’s climate. For 10 million years before the event, the Earth had been steadily warming. The collision appears to have terminated the warming and initiated a cooling which continues today. Although decreasing  $CO_2$  is likely responsible for the cooling, only circumstantial evidence supports its role.

The cooling which began 50 million years ago eventually led to glaciation in both of the Earth’s polar regions. Antarctica’s ice cap appeared about 35 million years ago. Ice caps in Canada and Scandinavia first nucleated about 3 million years ago. An interesting aspect of this partial freeze up is that the glaciers oscillated widely in size. These oscillations were paced by cyclic changes in the Earth’s orbit. Of interest is the similarity between the record of atmospheric  $CO_2$  and that of temperature. In his movie “An Inconvenient Truth” Al Gore claimed that this correspondence proves that  $CO_2$  drove these glacial cycles. But was he correct in this assessment?

Behind this book lurks the controversy regarding the  $CO_2$  we have and will produce by burning fossil fuels. Clearly it poses an extremely serious threat. Further, in my estimation the outlook for containing it is grim. The seeds of global chaos?

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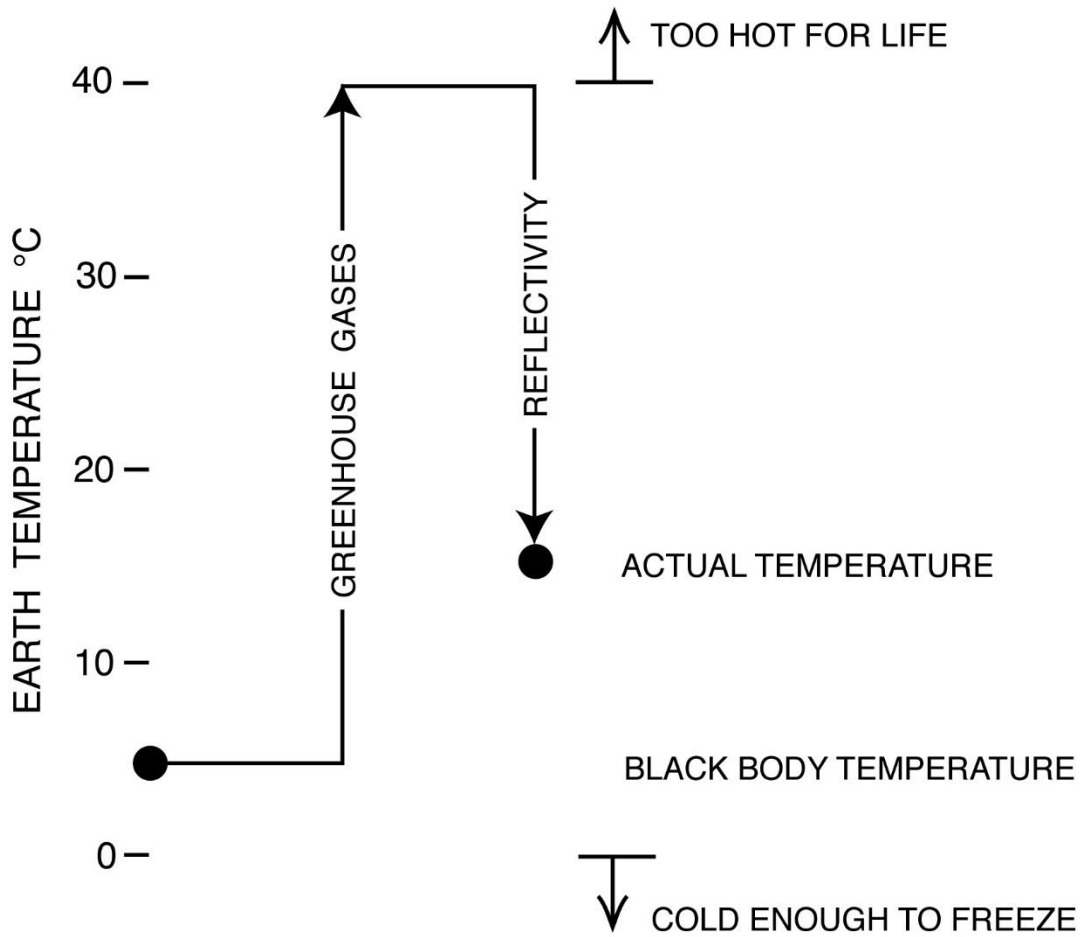


Figure A. If the Earth had no greenhouse gases or reflectivity (i.e., were it a “black body”), it would have a surface temperature of about 5°C. Its present component of greenhouse gases warms it by 35°C. Its present reflectivity cools it by 25°C. Clearly variations in either greenhouse gasses or reflectivity would lead to sizable temperature changes.

CaO FROM SILICATE ROCK

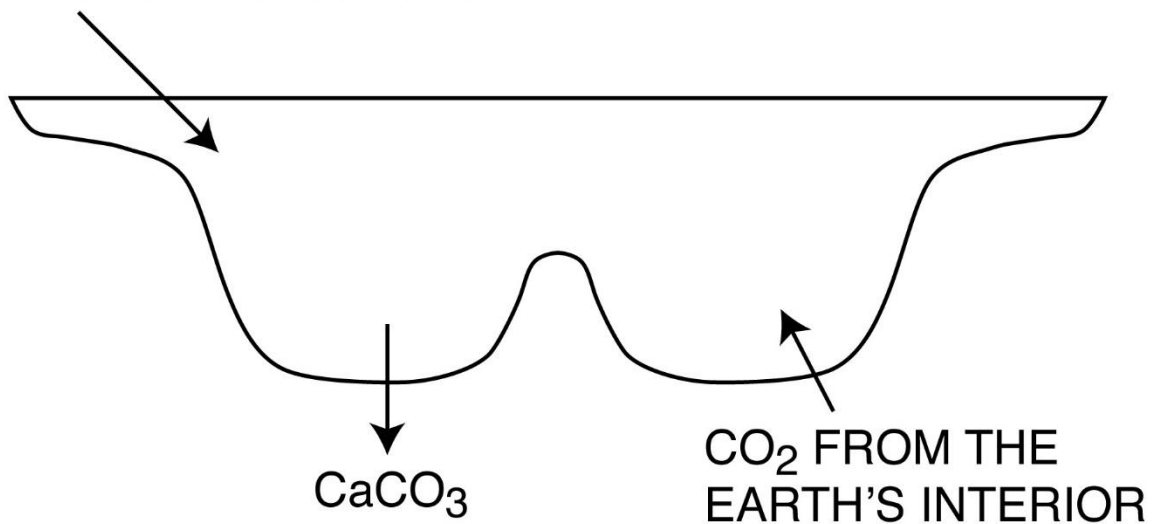


Figure B. Calcium oxide released during chemical weathering of silicate rocks mates with carbon dioxide escaping from the Earth's interior to form calcium carbonate. As there is no other sink for calcium, the supply of CaO must be matched by that of CO<sub>2</sub>. A feedback involving the atmosphere's CO<sub>2</sub> content assures that this match is maintained.

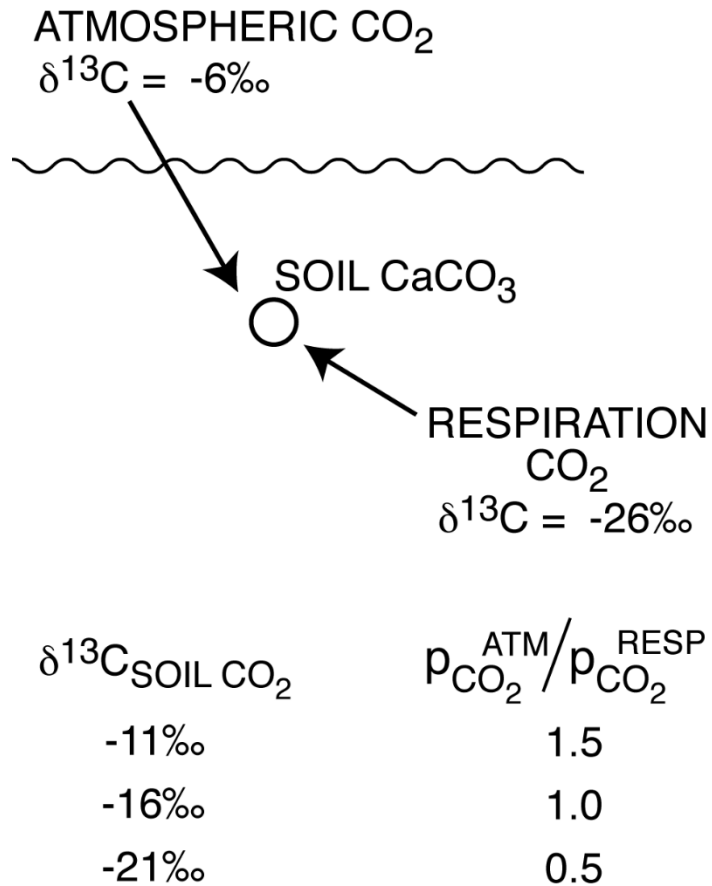


Figure C. Thure Cerling's soil CaCO<sub>3</sub> atmospheric CO<sub>2</sub> proxy: The  $\delta^{13}\text{C}$  in soil CO<sub>2</sub> depends on the ratio of the partial pressure of CO<sub>2</sub> in the atmosphere to that of respiration CO<sub>2</sub> in the soil. Two problems plague this method. One is that the respiration contribution must be obtained by analogy with that in today's soils and therefore is uncertain. The other is that the fractionation between soil CO<sub>2</sub> and soil CaCO<sub>3</sub> is temperature dependent. Soil temperature in the drylands where soil CaCO<sub>3</sub> forms can be as much as 10 degrees higher than that in the overlying air.

Morgan Schaller proposes to circumvent these problems by measuring both the CO<sub>2</sub> content and the  $\delta^{13}\text{C}$  of this CO<sub>2</sub> in gas trapped in tiny bubbles in the soil carbonate.

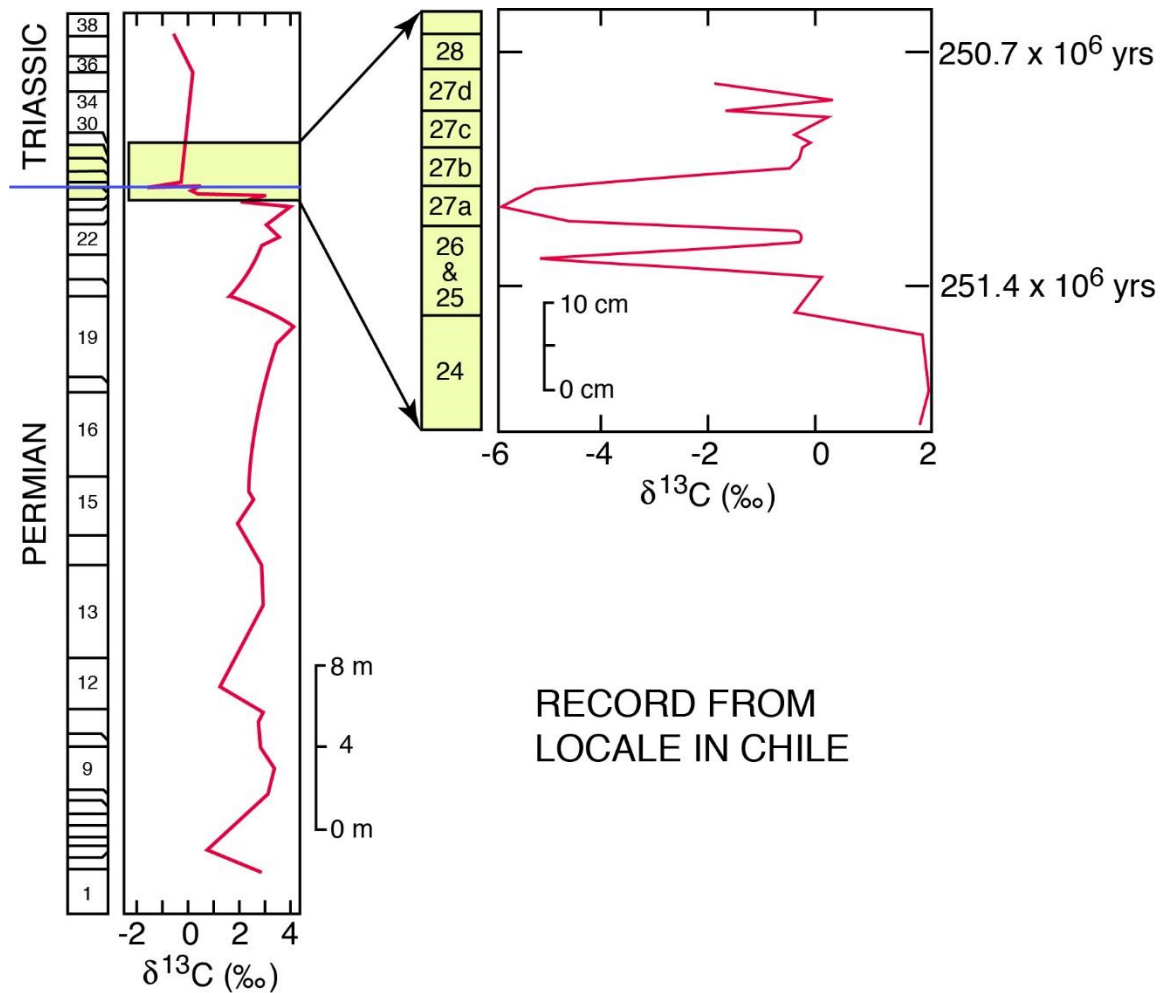


Figure D. The  $^{13}\text{C}$  to  $^{12}\text{C}$  record kept in limestone reveals two important changes that occurred at the onset of the Triassic (Burgess et al., 2017). The first is a pair of  $\delta^{13}\text{C}$  spikes that occurred very close to the time of the emplacement of the Siberian traps. As each of these  $\delta^{13}\text{C}$  drops brought the  $\delta^{13}\text{C}$  close to that for mantle carbon, the suggestion is that the  $\text{CO}_2$  released during the eruptions dominated the atmosphere. The spikes gave way to a longer-term 3 per-mil decrease. The likely cause is a reduction in the amount of reduced carbon stored in coal beds matched by an increase in the amount of reduced sulfur stored in marine sediments.

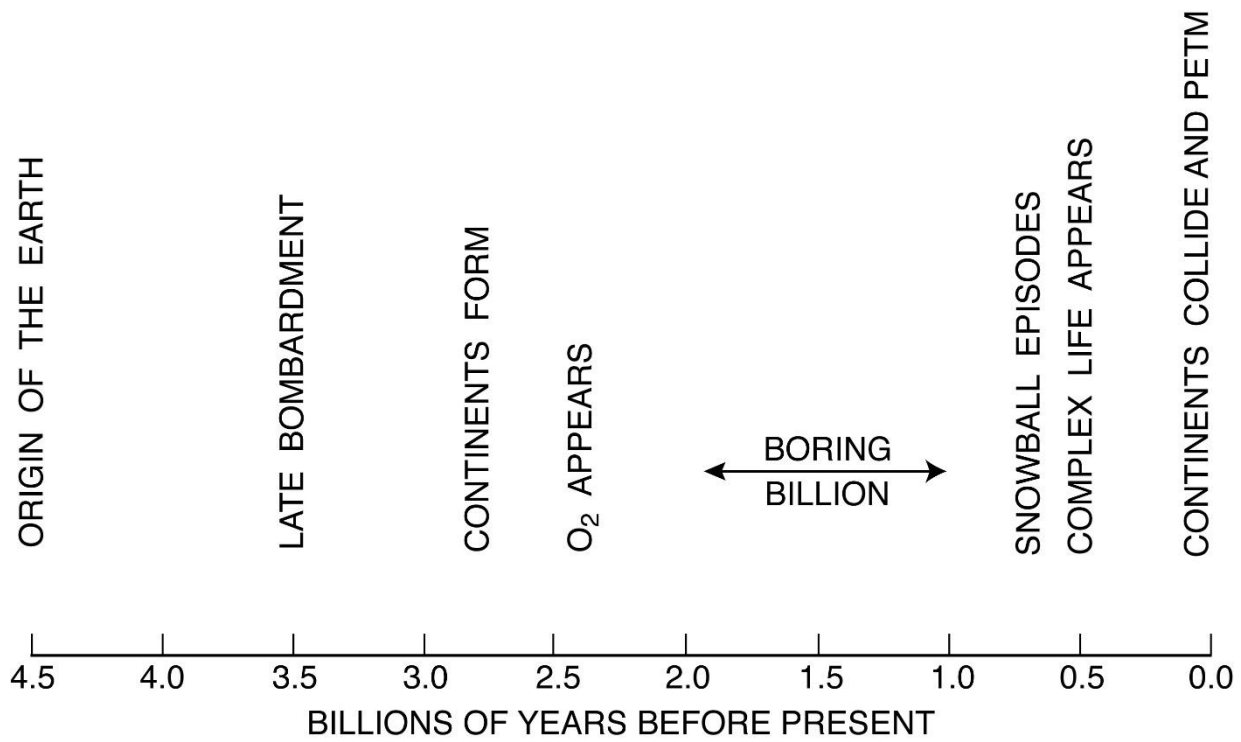


Figure E. Milestones in the Earth's history.

## Chapter 1

### Faint Young Sun

Astronomers tell us that over the course of its lifetime the Sun has burned ever more brightly. Not only have they been able to make a solid case for this increase in luminosity, but they are also able to tell us by how much. During the last 4.5 billion years the Sun's luminosity has increased by about 25 percent. As there is no paleo-luminosity proxy, this result is based on how the Sun produces energy.

The Sun's energy is produced by the fusion of four hydrogen nuclei to form one helium nucleus. As the helium nucleus weighs a tiny bit less than four hydrogen nuclei, fusion produces energy (see Figure 1-1). At the heart of their calculation is the assumption that there must be a balance between the radiation pressure created by our stars' nuclear fire and its self-gravitation. Because hydrogen nuclei are being fused to form helium nuclei, this balance has changed with time.

As the amount of luminous energy leaving the Sun must be close to that produced in its nuclear furnace, astronomers are able to calculate the rate at which the number of nuclear entities in the Sun is decreasing. In order to understand why this allows astronomers to calculate the change of the Sun's luminosity, consider a pair of identical balloons. One is filled with  $H_2$  molecules and the other with the same number of He atoms. If the balloons are at the same temperature, they will be identical in size. The key is that the pressure exerted on the walls of a balloon depends only on the number of molecules bouncing around inside. It is independent of the mass of these molecules. With this in mind, consider what would happen if the hydrogen molecules were by magic merged into helium atoms? The size of the balloon would shrink dramatically. In order to restore the balloon to its original size, it would be necessary to heat it to the point where its helium atoms were moving four times as fast.

In the case of the Sun, this tendency to shrink as hydrogen nuclei are consumed is balanced by an increase in the rate of fusion. This heats the hydrogen and helium nuclei and they bounce more vigorously against the stars gravitation. Based on the Sun's current luminosity, the rate at

which H nuclei are being converted to He nuclei can be calculated. This allows the rate of increase in luminosity to be determined.

This faintness of the young Sun presents a challenge to planetary scientists. The reason is that, based on the sedimentary record, there is evidence that water was present on the Earth's surface as early as 3.8 billion years ago. As at that time the Sun was 20 or so percent less luminous than now, the Earth should have been an ice ball. The answer must be that the atmosphere's greenhouse capacity was greater than today's. We know that at these early times CO<sub>2</sub> and H<sub>2</sub>O vapor were not the only important greenhouse contributors. As the Earth's interior is highly reducing, the early atmosphere was as well. Hence it would have contained significant amounts of reduced gases such as CH<sub>4</sub> and H<sub>2</sub>S. And, of course, were this the case there could have been no O<sub>2</sub>.

As a matter of fact, there is now firm evidence that during the first two billion years of its history, H<sub>2</sub>S was a major constituent of the atmosphere and that after that, it was absent. The evidence awaited the discovery of what is referred to as mass-independent isotope fractionation. To understand this requires an understanding of what is called mass-dependent isotope fractionation. The element oxygen has three isotopes <sup>16</sup>O, <sup>17</sup>O and <sup>18</sup>O. Over the past 70 years, isotope geochemists have made millions of measurements of the ratio of <sup>18</sup>O to <sup>16</sup>O. They didn't bother with <sup>17</sup>O because it was assumed that <sup>17</sup>O fractionation would always be mass dependent, i.e., close to half that for <sup>18</sup>O. The same is true for the element sulfur. It has three isotopes: <sup>32</sup>S, <sup>33</sup>S and <sup>34</sup>S. Again, only the <sup>34</sup>S to <sup>32</sup>S ratio are routinely measured. Measuring the ratio of <sup>33</sup>S to <sup>32</sup>S was thought to be redundant.

It was the University of Chicago's Robert Clayton who first showed that there were deviations from mass dependence. He measured the <sup>18</sup>O to <sup>16</sup>O ratio and the <sup>17</sup>O to <sup>16</sup>O ratio in silicate-bound oxygen from meteorites. To his surprise, the <sup>17</sup>O/<sup>16</sup>O results deviated from expectation (i.e.,  $\Delta^{18}\text{O} = 2\Delta^{17}\text{O}$ ). At first, Clayton proposed that these anomalies were created in the stars during nucleosynthesis. While <sup>16</sup>O is made by fusing four <sup>4</sup>He nuclei, <sup>17</sup>O and <sup>18</sup>O are

made by side reactions. Clayton postulated that perhaps the ratio of  $^{16}\text{O}$  production to that of  $^{17}\text{O}$  and  $^{18}\text{O}$  differed from star to star.

The breakthrough came when UCSD's Mark Thiemens showed in the laboratory that reactions among gaseous species lead to mass-independent fractionation. He showed that  $\Delta^{17}\text{O}$  was a bit different in atmospheric  $\text{O}_2$  than in  $\text{O}_2$  made by photosynthesis in the ocean. This small difference is created by reactions among oxygen-bearing gases in the stratosphere.

This led James Farquhar to look for  $\Delta^{33}\text{S}$  anomalies in ancient sedimentary sulfur minerals. To his delight, he found them and showed that they were present only in samples greater than 2.4 billion years in age (see Figure 1-2). Measurements on a host of younger samples showed no significant  $\Delta^{33}\text{S}$  anomalies. He quickly realized that  $\text{O}_2$  must have made its appearance in the atmosphere close to 2.4 billion years ago. In the absence of  $\text{O}_2$ , the atmosphere would have hosted significant quantities of gases containing sulfur. Reactions among these gases would have created  $\Delta^{33}\text{S}$  anomalies. Sulfur tagged with these anomalies was rained out on the continents where it was mixed with normal sulfur and then deposited in sedimentary sulfur-bearing minerals. Once  $\text{O}_2$  appeared,  $\text{H}_2\text{S}$  and other sulfur-bearing gases would have been purged from the atmosphere. Hence no measurable  $\Delta^{33}\text{S}$  anomalies could any longer be generated.

This discovery confirmed a long-standing, but much disputed, conclusion based on the disappearance of sedimentary iron formations (see Figure 1-3) about 2.5 billion years ago. These massive deposits were ubiquitous prior to this time and largely absent thereafter. The controversial explanation was that although iron in its reduced state is soluble in seawater, iron in its oxidized state is insoluble. So, when  $\text{O}_2$  appeared in the atmosphere dissolved iron could no longer be a significant constituent of sea salt. And hence there were no more sedimentary iron deposits.

It is of interest that mass independent fractionation of sulfur goes on today, all be it in tiny quantities. Scientists seeking to create a record of volcanic eruptions turned to the sulfate-rich layers present in long ice cores from Greenland's cap. Although volcanic ash doesn't get very far from the source, the  $\text{SO}_2$  gas can travel great distances before being converted to  $\text{H}_2\text{SO}_4$  aerosols and rained out. As interest centered around the largest eruptions, a means of distinction was needed



to determine whether a given layer rich in SO<sub>4</sub> was from a far-away large eruption or a nearby small eruption. It turns out that the clouds produced by large volcanic eruptions penetrate into the stratosphere. As there are no rain drops or snowflakes up there, the sulfur remains aloft for several years. During this time it undergoes mass-independent fractionation generating  $\Delta^{33}\text{S}$  anomalies. This signal is preserved in the ice allowing mega eruptions to be distinguished from run-of-the-mill eruptions.

Two other events of importance took place during the first two billion years of Earth history. The first was what is termed by the planetary scientists as the late bombardment. It occurred about 3.5 billion years ago and is thought by some to have delivered volatile constituents (CO<sub>2</sub>, H<sub>2</sub>O, noble gases....) thought to have been sparse in the proto Earth. If so, this might explain why the Earth is so well endowed with H<sub>2</sub>O and CO<sub>2</sub>. As its surface is continually reworked by erosion, no evidence for these impacts is to be found on Earth. Rather, the evidence comes from the Moon.

The second event involved the formation of granitic cratons which constitute the core of each continent. It was centered at about 2.8 billion years ago. These cratons are still around. They dominate much of the landscape in Canada, Scandinavia, Brazil and Australia. As granitic rocks are unusually rich in incompatible elements (i.e., potassium, rubidium, uranium, thorium, rare earths....) their emplacement was likely accompanied by the release of CO<sub>2</sub> and H<sub>2</sub>O contained in the Earth's interior. It is tempting to speculate that the delivery of volatile elements at both 3.5 and 2.8 billion years ago played a role in fostering life on our planet.

Back to the "faint young Sun." The answer to why early Earth wasn't a snowball appears to be that extra greenhouse gases compensated for the deficit in illumination. The absence of O<sub>2</sub> likely played a key role for it allowed CH<sub>4</sub>, H<sub>2</sub>S and other reduced gases to enhance the atmosphere's greenhouse strength.

The conversion of H to He will continue. Eventually Earth will be so hot that life will be extinguished. This will happen roughly two billion years from now (see Figure 1-4). In this case, there will be no compensation. Rather, the warming will be amplified; more water vapor, no ice,

fewer deserts... Even if the CO<sub>2</sub> content of the atmosphere were to decrease, its cooling would be overwhelmed.

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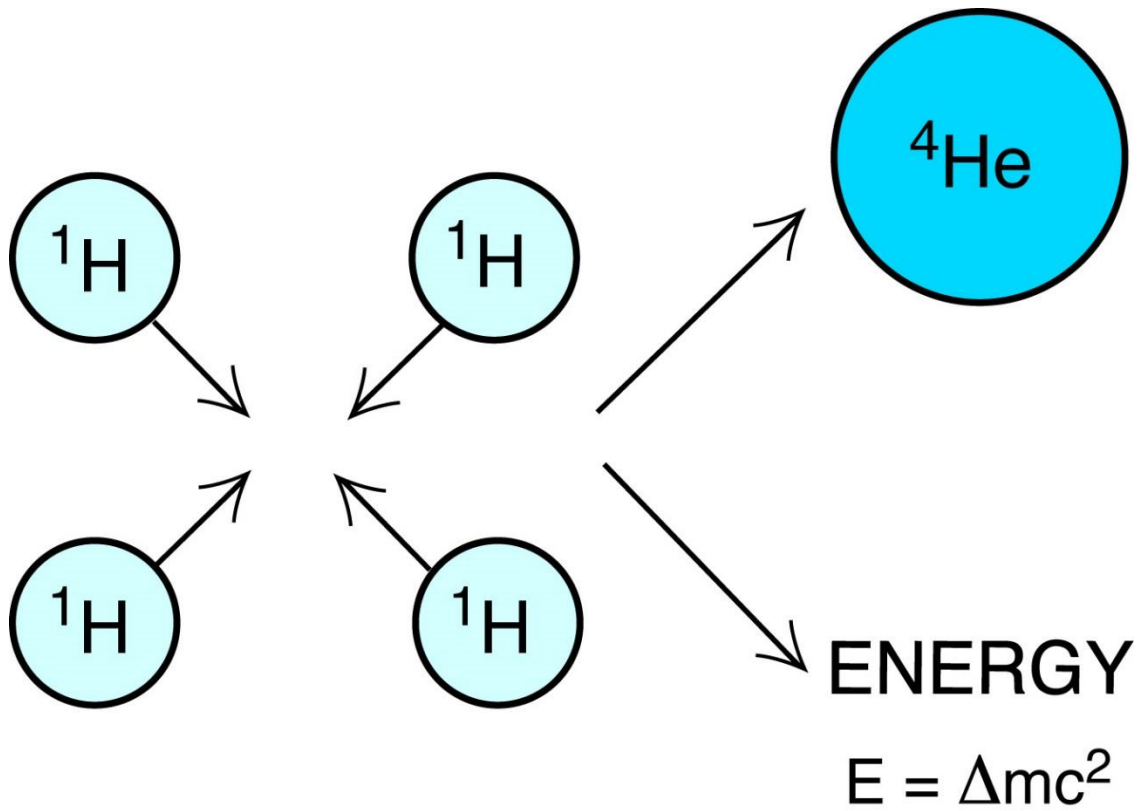


Figure1-1. Our Sun's energy is produced by the fusion of four hydrogen nuclei into a helium nucleus. The small difference between the mass of the reactants and that of the product is converted to energy.

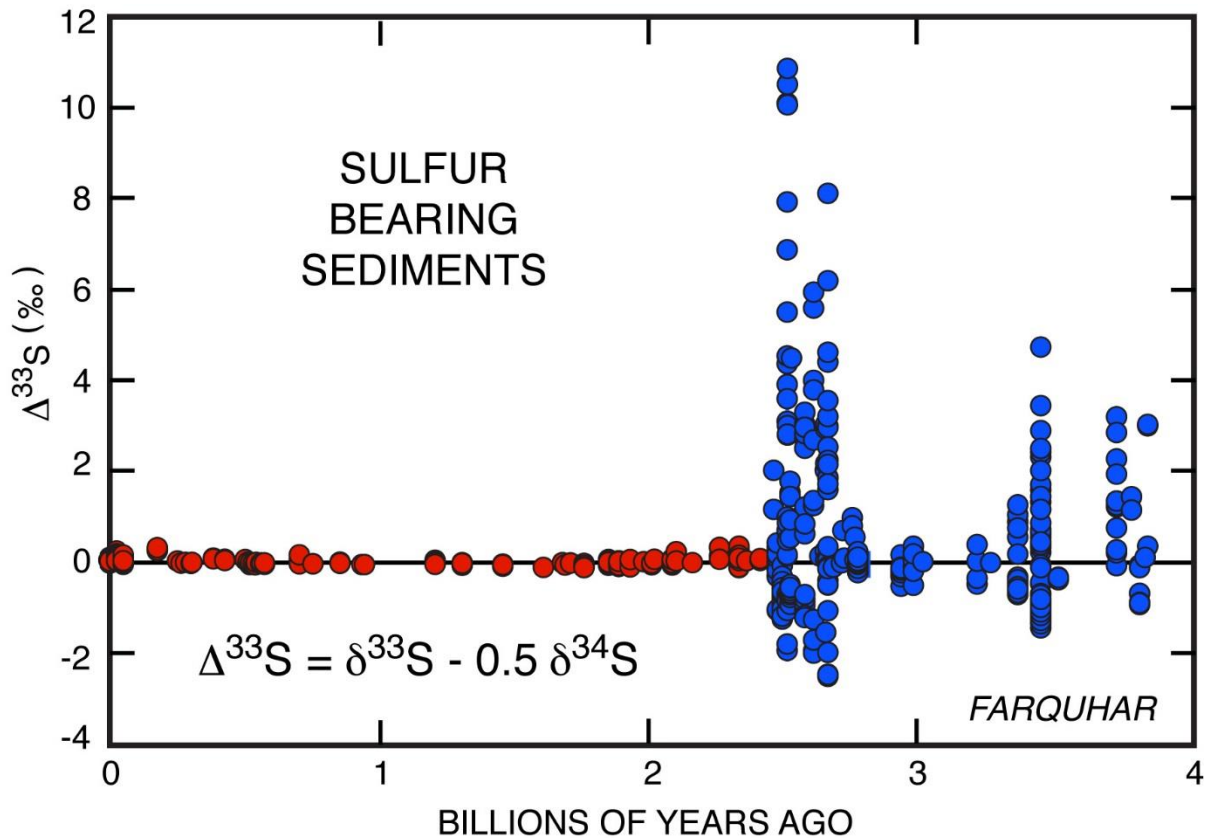


Figure1-2. Prior to 2.4 billion years ago, sedimentary sulfur contained a component which had been subjected to mass independent fractionation. After that time no such anomalies are found. This tells us that early on  $\text{H}_2\text{S}$  was an important constituent of the atmosphere. Once  $\text{O}_2$  appeared,  $\text{H}_2\text{S}$  was rapidly oxidized forming  $\text{H}_2\text{SO}_4$  aerosols. So even though small amounts of mass independent fractionation currently takes place in the stratosphere, its contribution to sedimentary sulfur is too small to yield a measurable  $\Delta^{33}\text{S}$  signal.



Figure1-3. Example of an iron-rich sediment. Prior to 2.4 billion years ago, so called “banded iron formations” were common. After that time, they are largely absent. The explanation for their demise is the appearance of  $O_2$ . In its absence, iron was in its reduced state (i.e., valence +2). As such, it is highly soluble and, as is calcium today, it was deposited in thick sedimentary deposits. The appearance of  $O_2$  changed things. Iron was oxidized to its +3 valence state. In this state it is highly insoluble. During weathering oxidized iron tends to remain behind in soils. That which gets to the sea is rapidly absorbed onto particulates.

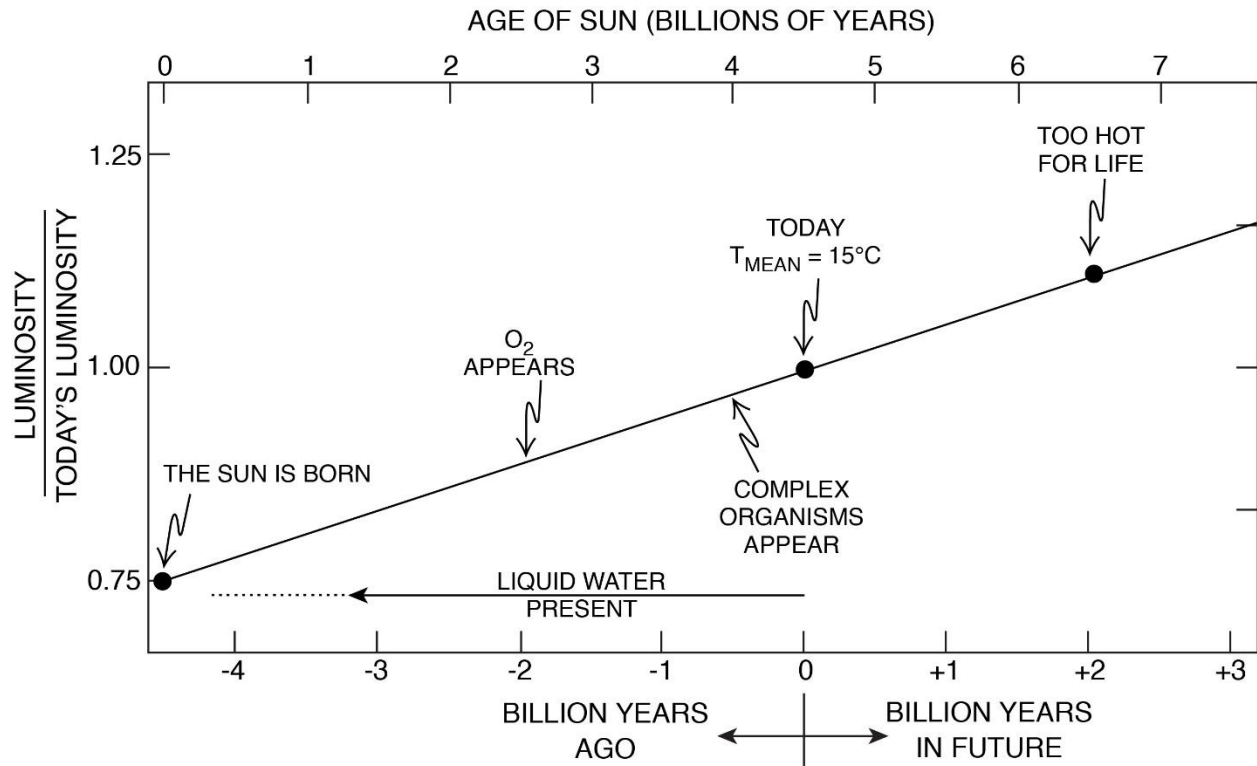


Figure1-4. The Sun's luminosity as a function of time as calculated by astrophysicists. The presence of water as early as three billion years ago requires that greenhouse warming was far larger than today's.

## Chapter 2

### Snowball Earth

Between two billion years ago and one billion years ago, nothing in the Earth's environmental record jumps out as particularly noteworthy. This being the case geologists refer to this time interval as the "boring billion." This judgement is based in part on the record of the  $^{13}\text{C}$  to  $^{12}\text{C}$  ratio kept in limestone and dolomite (see Figure 2-1). Except for two time intervals, the measurements fall in the  $\delta^{13}\text{C}$  range  $+5\text{‰}$  to  $-5\text{‰}$ . But as can be seen, between 2.4 and 2.0 billion years (i.e., following the appearance of  $\text{O}_2$ ) the spread increased to  $+15$  to  $-15\text{‰}$ . Things quieted down during the "boring billion," only to be followed by a second burst of anomalies between 0.8 and 0.5 billion years ago. During the last half billion years, the ratio has been for the most part well behaved.

I say well behaved because the ratios are confined to what might be referred to as a permissible range. Based on carbon isotope measurements mantle carbon (i.e., carbonatites, ridge-crest basalts, diamonds...) the consensus is that carbon in the mantle has a  $\delta^{13}\text{C}$  averaging about  $-5\text{‰}$ . This sets a lower limit on the isotope ratio in marine carbonates. This limit can be achieved only if all the carbon supplied from the Earth's interior is removed as carbonate minerals. To the extent that it is buried as organic matter, the marine carbonate  $\delta^{13}\text{C}$  is shifted toward more positive values. For example, if half were deposited as organic matter with a  $\delta^{13}\text{C}$  averaging  $-26\text{‰}$ , then the marine carbonates would have a  $\delta^{13}\text{C}$  of  $+3.5\text{‰}$ .

$$0.5x - 26 + 0.5x = -5\text{‰}$$

(i.e.,  $x = +8$ )

However, as the oxidation state of carbon deposited in marine sediments must be close to that for the carbon being supplied from the mantle, it is unlikely that the fraction of organic matter has exceeded 50 percent. Thus the permissible range is  $+8$  to  $-5$  per mil.

Much of the marine limestone deposited during the Cenozoic has a  $\delta^{13}\text{C}$  value close to zero. This translates to 20-80 mix of organic matter and  $\text{CaCO}_3$ . I should mention here that this way of interpreting the carbon isotope ratios in marine carbonates is biased by the failure to include



the contribution of recycled marine carbonate. To the extent that it is important, it shifts the  $-5\%$  input value toward zero.

Summarizing, during much of the last 3.8 billion years ago, the  $\delta^{13}\text{C}$  in marine carbonates has remained in the range of  $+2 \pm 2\%$ . During two periods each lasting about 0.3 billion years, the range was far larger. Although a stretch, it is possible to explain the very positive values by calling on a dominance of organic deposition, the only way to explain the values less than  $-5\%$  is by diagenesis.

With this background in mind, let us ponder the  $^{13}\text{C}$  record for the time period 0.80 to 0.55 billion years ago (see Figure 2-2). During this time period there were four major negative excursions of  $\delta^{13}\text{C}$ . Two culminated in snowball Earth episodes (the Sturtian and the **Marinoan**). But, the Bitter Springs  $\delta^{13}\text{C}$  dip at 0.8 billion years ago does not. The last dip, centered at 0.57 billion years ago, differs from the other three in that the  $\delta^{13}\text{C}$  dips well below the permissible range.

Before delving into what the  $^{13}\text{C}$  records might be telling us, it is important to define what is meant by Snowball Earth episodes. As the name implies, they are times when both land and ocean were ice bound. Sediments containing a jumble of entities ranging in size from angular cobbles to fine clays are found at a dozen or so locales. These so-called diamictites were deposited by glaciers. A number of these diamictites are in contact with marine sediments suggesting that the glaciers which produced them extended all the way down to sea level (see Figure 2-2).

Key to the conclusion that these freeze-ups were global in extent are reconstructions of their latitudes at the time they formed (see Figure 2-2). This is done by measuring the direction of their magnetic fields built in at the time of deposition. As the Earth's lines of magnetic force are near vertical at high latitudes and near horizontal in the tropics, these magnetic field directions can be used to reconstruct paleo-latitudes. At seven of the diamictite locales, the reconstructions are judged to be sufficiently firm to declare that the glacier extended to sea level somewhere between  $10^\circ\text{N}$  and  $10^\circ\text{S}$  latitude. As today's tropical snowlines are at about five kilometers elevation, in

order to have mountain glaciers at sea level, the temperature must have been at least 25° colder than today's.

For many years, there has been disagreement regarding whether there were two snowball episodes or only one. The problem was that there was no way to put these geographically scattered deposits in stratigraphic order. The breakthrough came when it was shown that zircons present in volcanic ash deposits yielded precise radiometric ages. These ages are based on measurements of both the  $^{206}\text{Pb}$  generated by the decay of  $^{238}\text{U}$  and the  $^{207}\text{Pb}$  generated by the decay of  $^{235}\text{U}$ . As listed in Figure 2-3, there are 9 such ages for the period of interest. These ages make it possible to create a chronology for the rise in the  $^{87}\text{Sr}$  to  $^{86}\text{Sr}$  recorded in limestones formed during this period (see Figure 2-4). With this in hand, it was possible to place in stratigraphic order locales where there are no radiometric ages. The result is that there were two Snowball episodes separated by about 70 million years (see Figure 2-3).

Now back to the carbon isotope record. There has been an ongoing controversy regarding its interpretation. At one extreme are those who believe these carbonates have been so thoroughly diagenetically altered that the carbon isotope ratios are of little value. Others contend that although alteration has altered the record, it still sends us a valuable message. A breakthrough in this regard awaited new evidence which would narrow the controversy. Princeton University's John Higgins stepped up to the plate and delivered just what was needed. He supplemented the carbon isotope record with that for calcium isotopes and that for Sr to Ca ratios.

Higgins and his colleagues developed a routine for making large numbers of highly precise measurements of the  $^{44}\text{Ca}$  to  $^{40}\text{Ca}$  ratio in limestones. They documented that planetary calcium has a  $\delta^{44}\text{Ca}$  of  $-1\%$ . This is also the ratio he found in limestones ranging in age from 3.0 to 0.5 billion years in age (see Figure 2-5). This match made sense, as all the calcium added to the ocean is removed as  $\text{CaCO}_3$ , limestones must have the planetary isotope ratio.

What Higgins et al. found was that some of the limestone and dolomite samples have  $\delta^{44}\text{Ca}$  values lower than  $-1\%$  and that these samples had Sr to Ca ratios up to an order of magnitude larger than those with planetary  $^{44}\text{Ca}$  to  $^{40}\text{Ca}$  ratios (see Figure 2-6). Further, these samples often

had  $\delta^{13}\text{C}$  values more negative than the permissible  $-5\text{‰}$  limit. It must be noted, however, that not all the samples with anomalously low  $\delta^{13}\text{C}$  values have anomalous  $\delta^{44}\text{Ca}$  and Sr to Ca ratios. For example, samples from the Wonoka event with  $\delta^{13}\text{C}$  value of  $-12\text{‰}$  have no significant calcium isotope or strontium anomalies (see Figure 2-7).

Higgins has found what appears to be a modern analogue to the anomalous Precambrian carbonates. It is sediment deposited on the Bahama Banks. Cores drilled into these sediments encountered a jumble of rock types: aragonite, low Mg calcite, high Mg calcite and dolomite. These rocks were all initially deposited as aragonite and subsequently were diagenetically altered. As shown in Figure 2-8, a scatter plot of  $\delta^{44}\text{Ca}$  against Sr to Ca obtained for the Bahama samples is similar to that for the Precambrian samples. In both cases samples with  $\delta^{44}\text{Ca}$  of less than  $-1\text{‰}$  have elevated Sr to Ca ratios.

It should be noted that coralline aragonite has a higher initial Sr to Ca ratio than other marine carbonates. Also aragonite has a half per mil lower  $\delta^{44}\text{Ca}$  than limestone (i.e.,  $-1.5\text{‰}$  instead of  $-1.0\text{‰}$ ). This is presumably the result of calcium isotope fractionation associated with aragonite formation. Taken together these results convinced the Higgins' team that the carbonates with anomalously negative  $\Delta^{44}\text{Ca}$  values have been totally recrystallized. If so, this is likely the case for carbon as well.

This offers an explanation for the anomalously negative  $\delta^{13}\text{C}$  results. Unlike the deep sea where only a tiny fraction of the organic matter produced in the surface ocean reaches the bottom, and is incorporated in sediments. Sediments formed on shallow banks are rich in organic matter. This likely explains why diagenesis is rare in deep sea sediments and rampant in shallow ones.

Although Higgins et al. make a convincing case that diagenesis has biased at least part of the snowball era carbon isotope record, he also creates an enigma. To the extent that the carbon isotope composition has been biased by diagenesis, one would expect that the record for a given event would differ from place to place. As much of the diagenesis is likely to have taken place well below the sediment–water interface, it is hard to imagine how diagenetic offsets from different

locales would be the same. Yet despite the anomalous  $\delta^{44}\text{Ca}$  and Sr to Ca ratios, records from different places are remarkably similar.

Two examples are shown. The first is the Bitter Springs event (see Figure 2-9). As can be seen, records from Australia and Svalbard are nearly the same in both magnitude and shape. The same is the case for the Wonoka event (see Figure 2-7). Partial records from four continents follow the same pattern. Also, the large negative Wonoka  $^{13}\text{C}$  spike is recorded on two continents. However, it must be pointed out that as radiometric dates are sparse, the assumption must be made in both cases that the steep  $\delta^{13}\text{C}$  changes occurred at the same time. To me, this assumption is solid. Were they placed at different times, it would be even more puzzling.

The enigma is that, as these anomalous carbonates cannot have been formed in open ocean water, some global link other than ocean chemistry must be involved in order to explain the near identity of records from widely separated places. The global links that come to mind are atmospheric  $\text{O}_2$ , global temperature and sea level. Of these, sea level appears to be the most likely. When large ice sheets are present, the Earth's orbital cycles cause them to oscillate in size. These oscillations cause sea level to go up and down. This subjects shallow banks to alternating times of submergence and emergence. As is well known, today when subjected to fresh water, marine aragonites rapidly recrystallize.

Although sea level oscillations provide a global link, they do not explain why diagenesis experienced at different locales produces identical  $\delta^{13}\text{C}$  anomalies. This is especially puzzling for sediments whose diagenesis occurred deep enough to be out of contact with the overlying seawater. So, although the Higgins team has made huge strides, there remains more to be learned.

It must be mentioned that during the Ordovician there was a glaciation and associated with it were both  $^{13}\text{C}$  and  $^{44}\text{Ca}$  anomalies (see Figure 2-10). In this case, the  $\delta^{13}\text{C}$  shift was toward more positive. There are of course positive anomalies in the Snowball record. They are more difficult to explain than the negative ones that are likely the result of the incorporation of  $\text{CO}_2$  produced by the breakdown of organic matter.

So, did CO<sub>2</sub> play a role in all this? Only in the case of the terminations of the snowball events can a firm case be made. The scenario is as follows. Even though frozen at its surface, the Earth interior would have remained hot. Volcanoes would continue to belch out CO<sub>2</sub>. But on a frozen Earth, there would be only sparse CaCO<sub>3</sub> and organic matter generation. Hence, the CO<sub>2</sub> could accumulate in the ocean beneath the ice and in the atmosphere. That which made its way to the atmosphere would increase the Earth's greenhouse strength warming the planet. Eventually (i.e., in a few million years) the buildup of CO<sub>2</sub> would have become large enough to cause the ice to melt. This would reduce the reflectivity and thereby amplify the warming. Once the ice was gone, heated by the excess CO<sub>2</sub> (and H<sub>2</sub>O vapor), the planet would have been quite hot. Further, acidified with CO<sub>2</sub>, the ocean and rain would attack exposed basalt releasing calcium oxide. The calcium oxide would combine with excess CO<sub>2</sub> to make limestone and eventually the Earth would cool to its normal state.

Evidence supporting this scenario is contained in what are referred to as 'cap carbonates' found immediately above the diamictites. They are distinct in their morphology unlike any other limestone. Instead of horizontal layering cap carbonates often contain large vertical blades. They presumably formed during the period when continental ice was melting. The consequent rise in sea level allowed the cap carbonates to be deposited on top of the glacial deposits. So one might say that CO<sub>2</sub> prevented our planet from remaining eternally frozen.

It is tempting to postulate that the decreases in  $\delta^{13}\text{C}$  which characterize the onset of the Bitter Springs, the Marinoan and the Wonoka events' record times of cooling and hence CO<sub>2</sub> drawdown. Perhaps photosynthetic organisms got so adept at packing away carbon in organic form that the atmospheric CO<sub>2</sub> content was drawn way down. If so, one might ask why the Bitter Springs drawdown did not culminate in a snowball. Rather, a point was reached where the production of organic matter went on for a million years or so before the  $\delta^{13}\text{C}$  returned to its pre-Bitter Springs value. It seems to me that understanding the Bitter Springs event is key to understanding the subsequent snowball episodes.

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$$\delta^{13}\text{C}_{\text{mantle}} = \delta^{13}\text{C}_{\text{carbonate}} \times (1 - f_{\text{org}}) + \delta^{13}\text{C}_{\text{org}} \times f_{\text{org}}$$

(-5‰)
(0‰)
(0.8)
(-25‰)
(0.2)

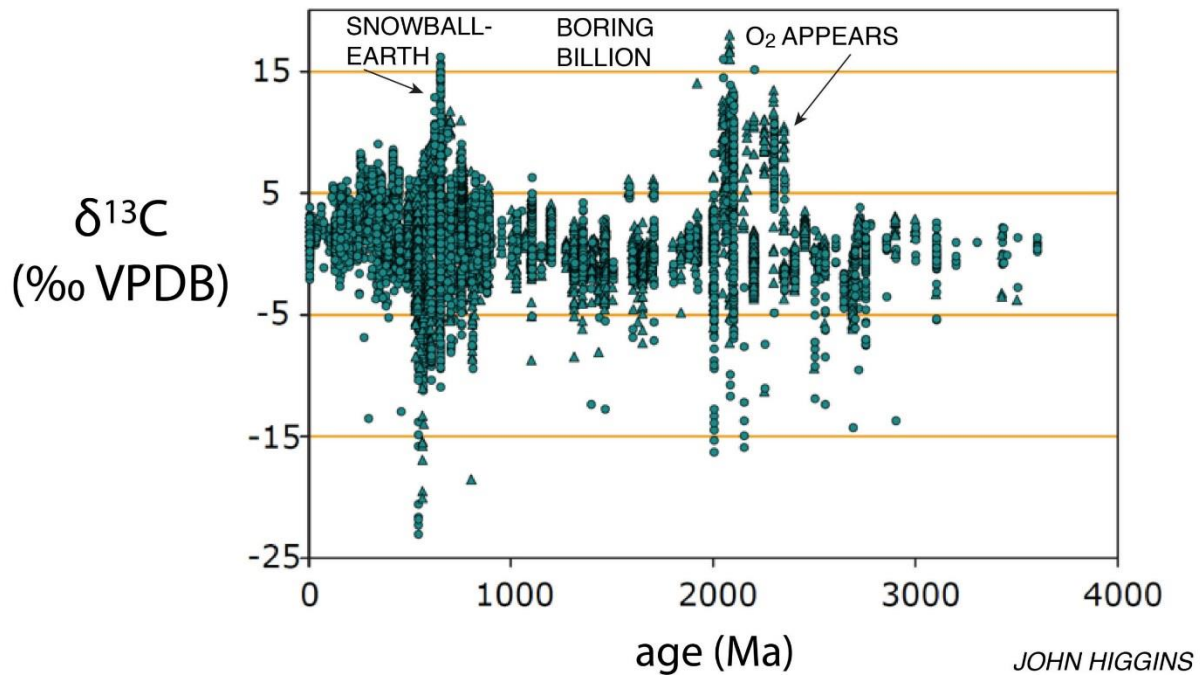


Figure 2-1. Summary of carbon isotope measurements on marine limestones and dolomites put together by John Higgins. It spans the last 3.6 billion years. As can be seen, except for two time intervals, the  $\delta^{13}\text{C}$  values fall mainly in the permissible range ( $-5$  to  $+6$ ‰). One of these anomalous periods spans the 2.4 to 2.0 billion-year time interval which immediately followed the appearance of  $\text{O}_2$  in our atmosphere. The second spans the time interval 0.8 to 0.5 billion years which includes the snowball episodes.

## SNOWBALL EARTH'S GLACIAL DEPOSITS

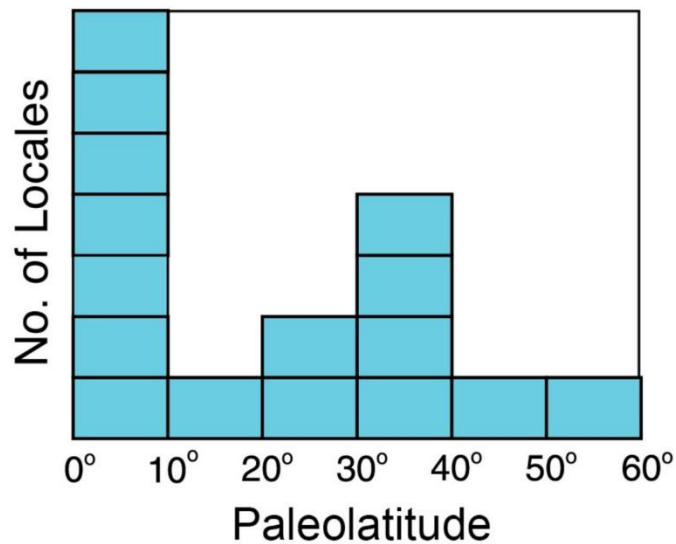
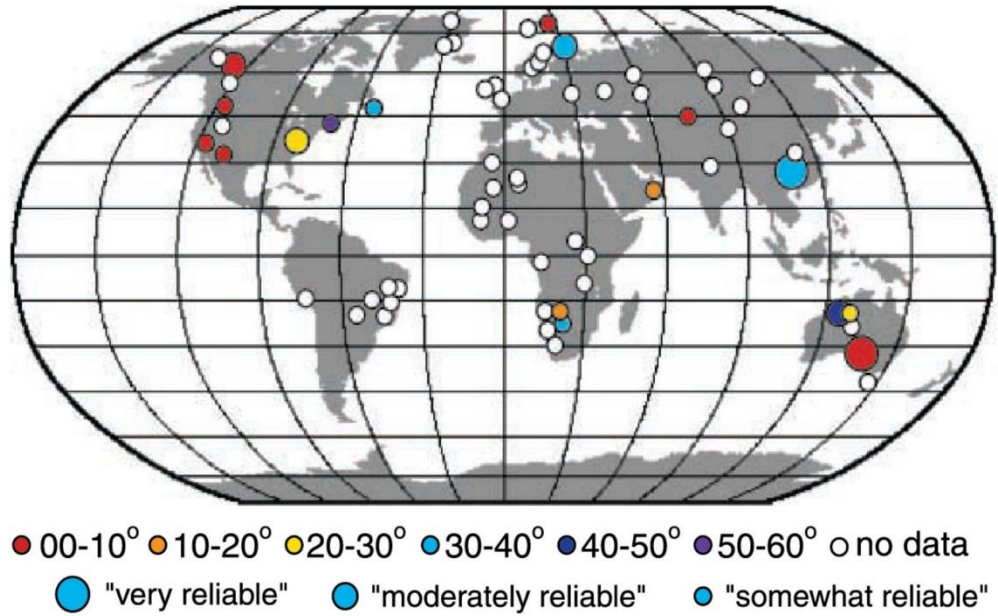


Figure 2-2. Shown in the upper panel are the fifty or so locations where snowball earth's glacial deposits have been found. Seven of these sites turn out to lie within the latitude range 10°S to 10°N. As in each case there is an association with marine sediment, it appears that glaciers in the tropics reached sea level.



## COMBINED $\delta^{13}\text{C}$ RECORDS

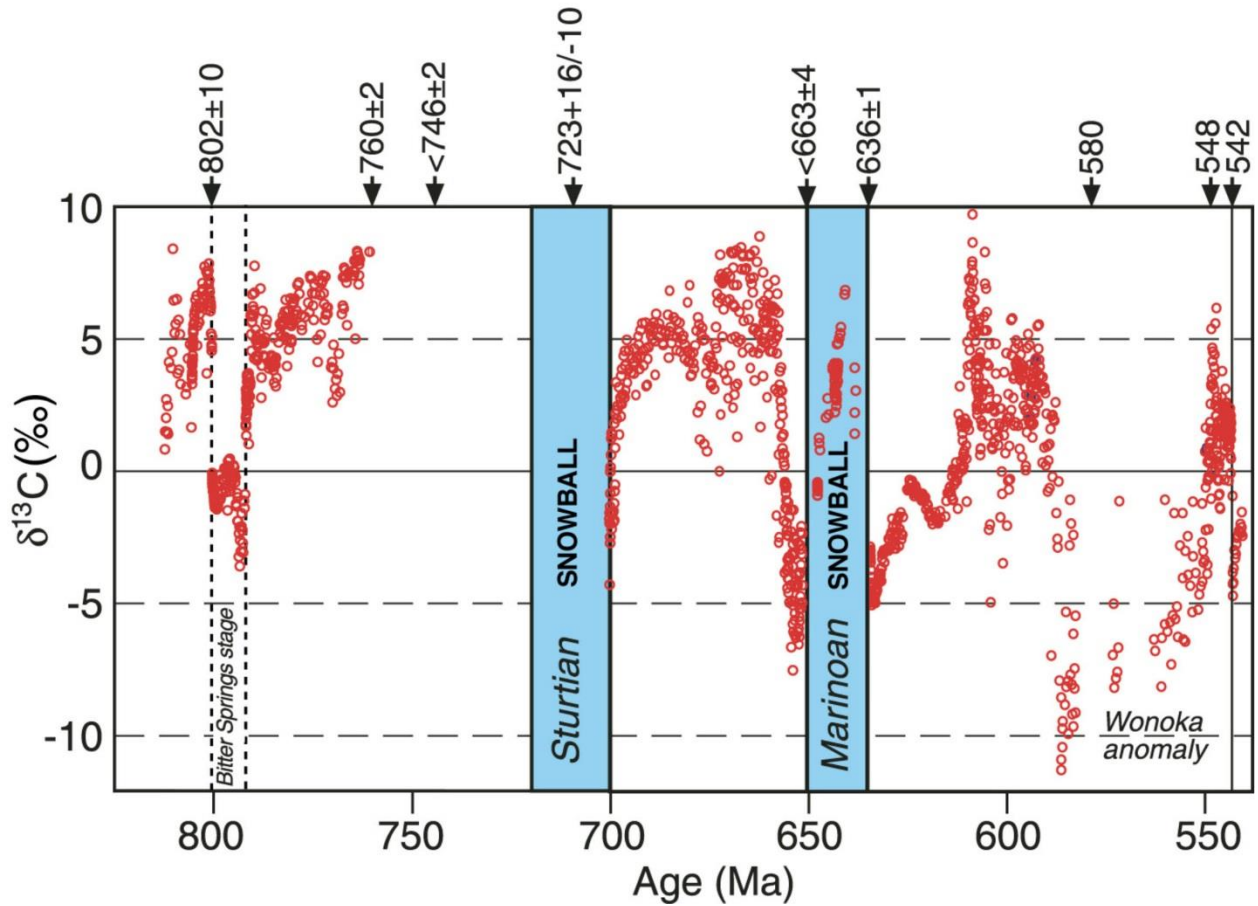


Figure 2-3. Carbon isotope record for limestones and dolomites formed during the time interval 0.81 to 0.54 billion years. The shaded bands represent the time intervals when ice covered the entire planet. Also shown are 9 highly precise ages based on uranium-lead ratio measurements on zircons. Note that the very low  $\delta^{13}\text{C}$  values in carbonates formed just before and just after the freeze ups. Similar drops characterize the Bitter Springs event for which there was no snowball.

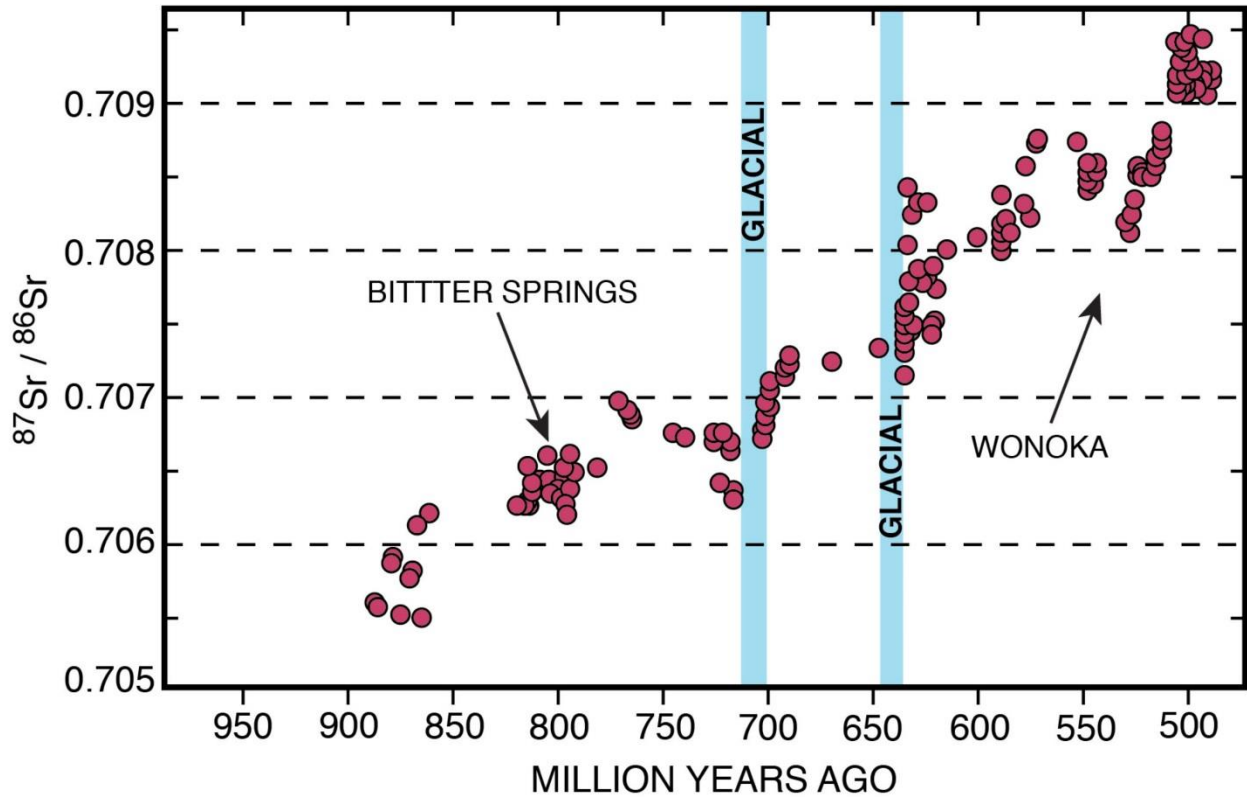


Figure 2-4. Complementing the sparse radiometric ages are  $^{87}\text{Sr}$  to  $^{86}\text{Sr}$  measurements on limestones. During the time interval 0.9 to 0.5 billion years ago, this ratio steadily increased. Even though there is considerable structure superimposed in this rise, there is no overlap between the values for the Sturtian and Marinoan Snowball events (Shown in blue). This allows the two to be distinguished at locales where no radiometric dates have been obtained.

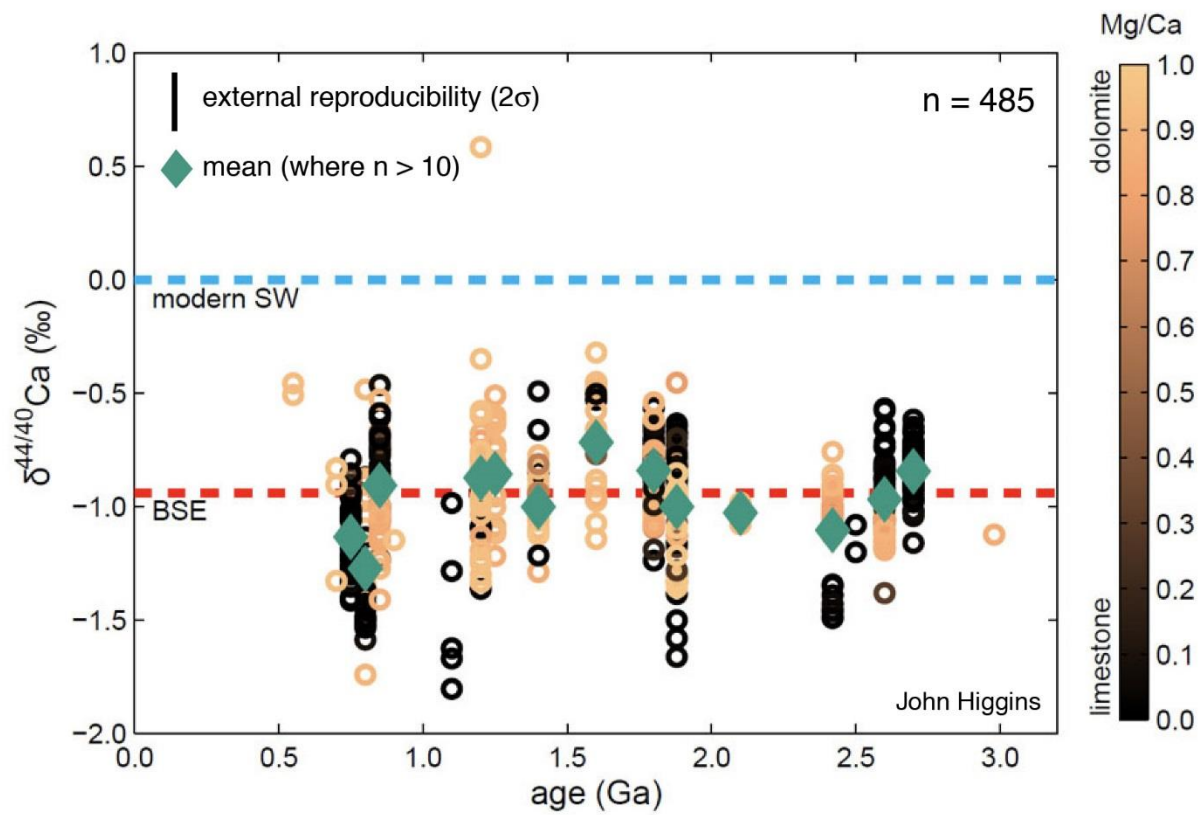


Figure 2-5. Calcium isotope measurements obtained by Blättler and Higgins on carbonate sediments with ages in the range 2.7 to 0.5 billion years. Most of these fall within the two sigma reproducibility range of the planetary  $\delta^{44}\text{Ca}$  value of  $-1.0\text{‰}$ .

## PRE-MARINONAN RECORD

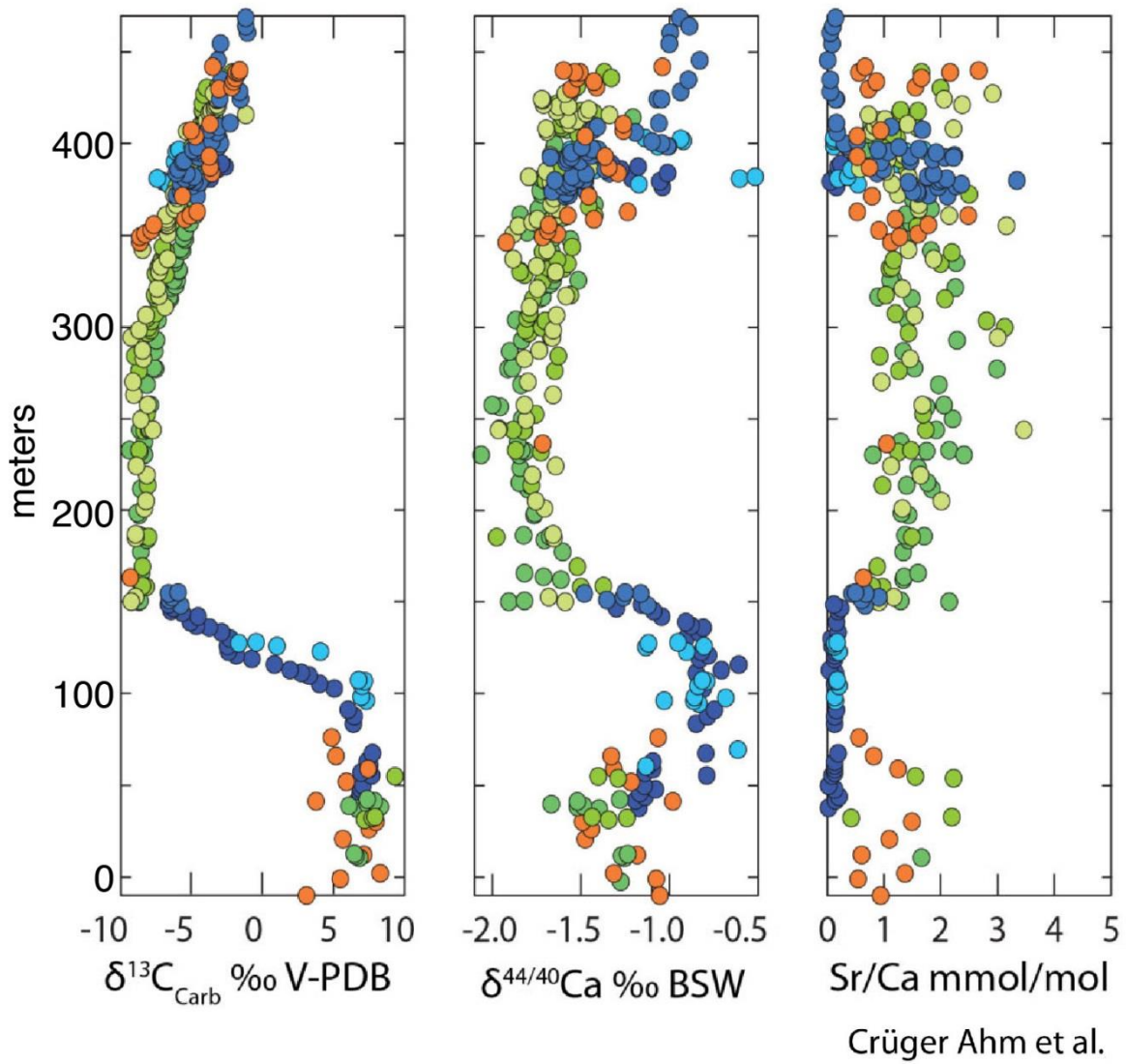


Figure 2-6. Records for  $\delta^{13}\text{C}$ ,  $\delta^{44}\text{Ca}$ , and Sr to Ca for the time period leading up to the Marinoan Snowball event. Note that major drop in  $\delta^{13}\text{C}$  (from +7‰ to -9‰) is accompanied by a decrease in  $\delta^{44}\text{Ca}$  and an increase in Sr to Ca. These anomalous values match those for  $\delta^{13}\text{C}$ .

## DIFFERENT PLACES SAME RECORD

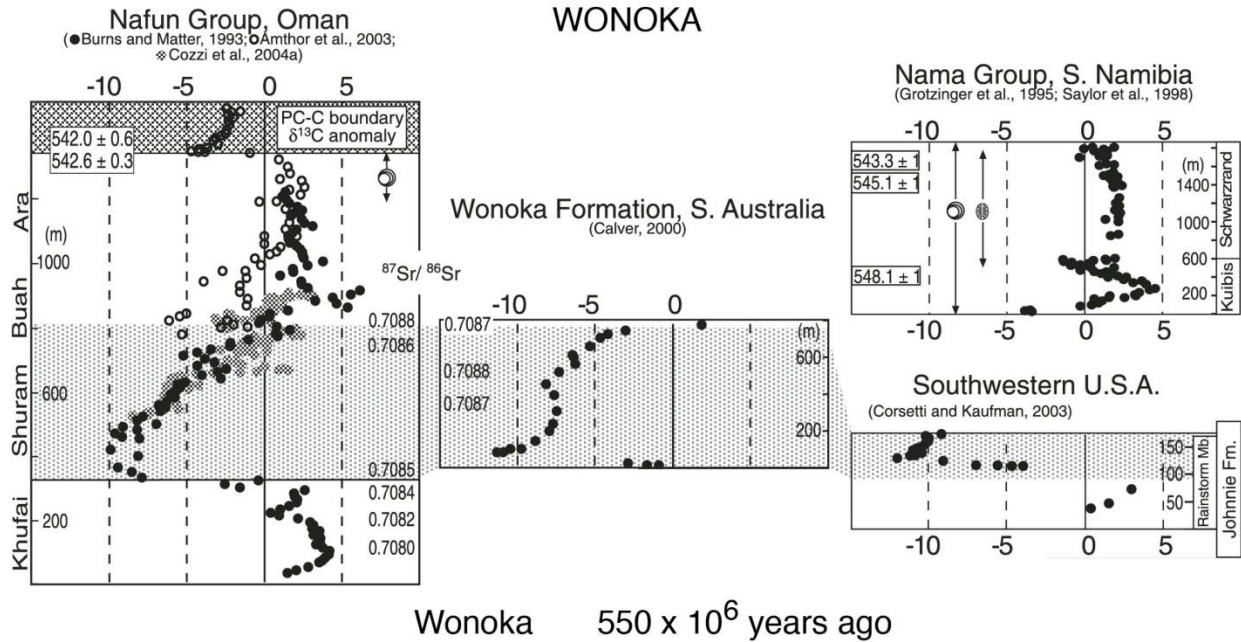


Figure 2-7. Comparison between the complete carbon isotope record obtained in Oman for the Wonoka and partial records in Australia, Africa and North America. Radiometric ages for the Namibia section nicely match those for Oman. Strontium isotope measurements for the Australian section nicely match those for Oman. Note that the shapes and amplitudes match quite well.

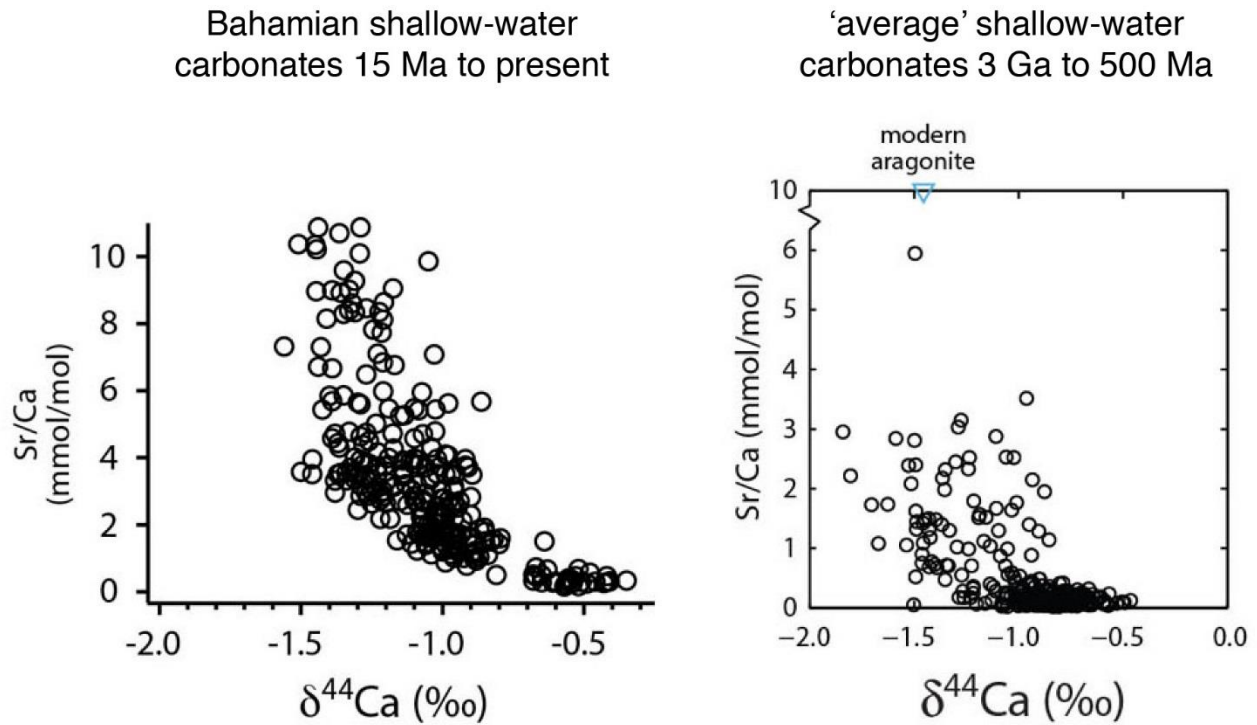


Figure 2-8. The Higgins team were pleased to discover that the trend of Sr to Ca ratios and of the calcium isotope in a core taken on the Bahama Banks are quite similar to that for the Snowball Earth carbonates. This match is particularly interesting because the carbonates in the Bahamas core were originally deposited aragonite with a  $\delta^{44}\text{Ca}$  of  $-1.3\text{‰}$  and a Sr to Ca ratio of 10 multimoles/mole. During recrystallization to calcite, the  $\delta^{44}\text{Ca}$  increases by about 0.5 and the Sr to Ca ratio drops by more than a factor of 10.

## DIFFERENT PLACES SAME RECORD

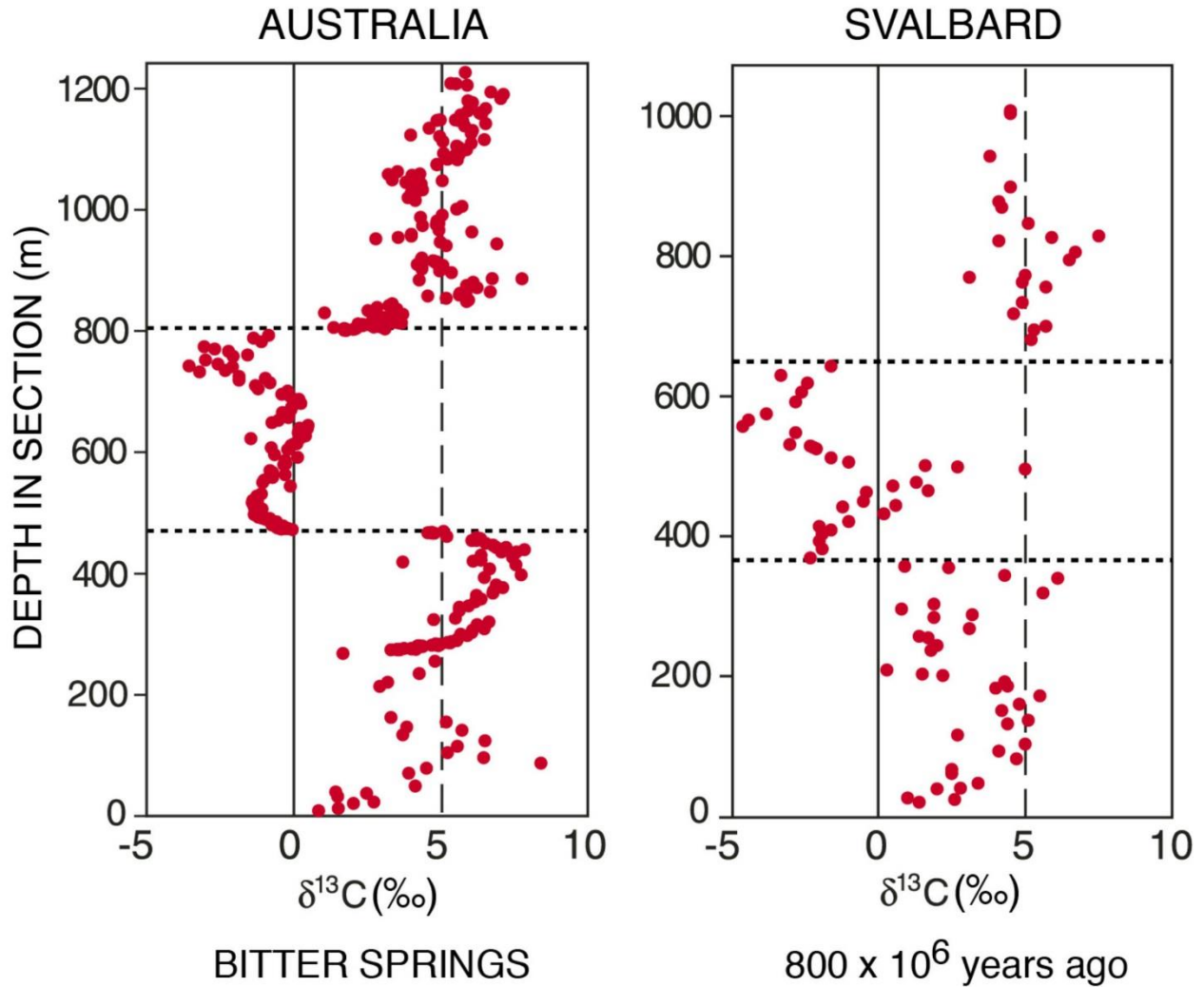


Figure 2-9. Comparison of the carbon isotope records for the Bitter Springs event in Australia and Svalbard. The similarity is so striking that it's difficult to believe that either was subjected to diagenesis. It will be interesting to see the calcium isotope and Sr to Ca ratio results when they become available.

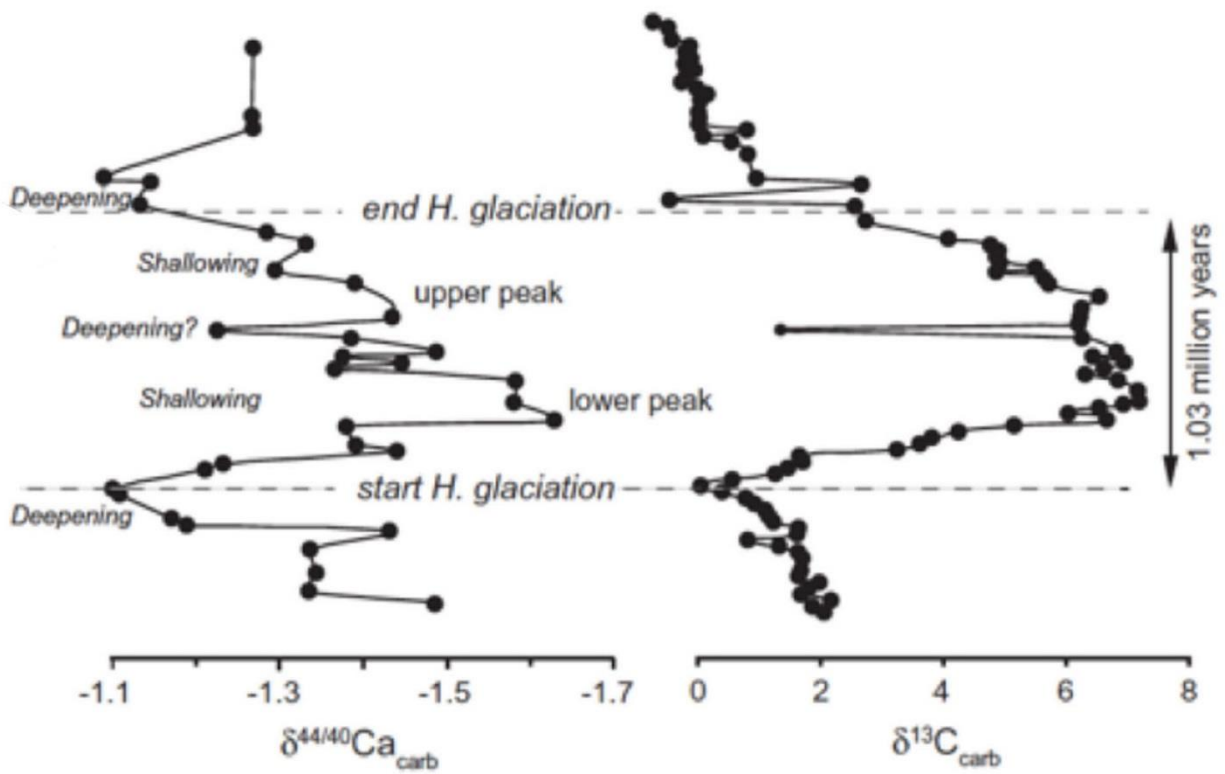


Figure 2-10. Calcium and carbon isotope shifts during the Ordovician glaciation (Holmden et al., 2012).



## Chapter 3

### A Collision Changes Everything

About 60 million years ago the breakup of a super continent centered over the South Pole initiated the northward drift of Africa, Australia and India (see Figure 3-1). Of particular interest is India for its drift was terminated by a head-on collision with Asia. Such a collision between continental blocks is geologically rare. That between India and Asia is the only one in the last 200 million years. A continent-continent collision is quite different than that involving ocean crust and continental crust. In this case the ocean crust is subducted intact into the mantle beneath the continent. Hence it is more a side swipe than a collision. But, when two 30 kilometer-thick granitic blocks run smack into one another, they are mashed into a single 60 kilometer-thick mass.

Based on studies of rock formations in the Himalayas, geologists have proposed a wide range of ages for the onset of this collision. As outlined below I would like to believe that the main blow was struck close to 50 million years ago. Indeed, according to Bouilhol et al. (2013), the suturing of the two continental masses began  $50.2 \pm 1.5$  million years ago and continued to  $40.4 \pm 1.3$  million years ago (see Figure 3-2). Two additional observations point to 50 million years as the key time. One is based on tectonic and the other on sedimentary observations.

As the Earth's plates must move harmoniously across the planet, just as highway wrecks change traffic patterns, plate wrecks disrupt plate movements. Indeed there is striking far field evidence that plate motions were disrupted close to 50 million years ago. The record is kept by a chain of islands and sea mounts in the northern Pacific (see Figure 3-3). This chain was produced by the drift of the ocean crust over a plume of molten basalt thought to be rising from the boundary zone separating the Earth's iron core from its silicate mantle. If the position of the plume is assumed to have remained fixed, then this chain records both the direction of drift and its speed. The head of the plume is currently located beneath the island of Hawaii. To the northwest is a chain of islands which make up the Hawaiian group. Farther away, the chain consists of seamounts. Slow cooling of the 10 km-thick ocean crust causes it to shrink and the islands it supports to slowly sink. Once they have descended beneath the sea surface they become sea mounts. Our interest lies

in the sharp kink in the chain's path which took place close to 50 million years ago. Prior to this time the Pacific plate was moving to the north. Then its path abruptly shifted to the northwest. Further, at this time the average rate of drift decreased by a factor of two. Of additional interest is that the Pacific plate's push to the west had repercussions south of Japan. In order to accommodate the stress created by the increased westward flow of ocean crust, the Mariana back-arc basin was created. It also dates at 50 million years.

The  $^{18}\text{O}$  to  $^{16}\text{O}$  ratio in the calcitic shells of bottom-dwelling (i.e., benthic) foraminifera changes with time for two reasons. One is that the isotopic fractionation between dissolved inorganic carbon and solid  $\text{CaCO}_3$  is temperature dependent. It increases by about 0.23‰ for each °C of cooling. The other is that the snow which falls on the Antarctic ice cap is depleted by several percent in  $^{18}\text{O}$ . The missing  $^{18}\text{O}$  is left behind in the ocean. Shown in Figure 3-4 is a benthic foraminifer  $\delta^{18}\text{O}$  record extending back 63 million years. Two aspects of the record are of particular interest. One is that prior to 50 million years ago the deep ocean was warming and since that time it has steadily cooled. This can be stated with confidence because between 60 and 40 million years ago, the polar regions were too warm to host ice caps, so the change in  $^{18}\text{O}$  must have been driven entirely by cooling. It is thought that Antarctica was ice free until about 33 million years ago. Glaciation of the northern polar region started about three million years ago. Second, note that the 'scatter' of the  $^{18}\text{O}$  record is quite small before 33 million years and increases progressively thereafter. For the last million or so years this scatter has become extremely large. I would like to believe that the variability in  $\delta^{18}\text{O}$  is, at least in part, related to the variability in the extent of ice cover driven by the Earth's orbital cycles. We know for sure that this is the case for the last million or so years.

The difference in  $\delta^{18}\text{O}$  between today (3.2‰) and 50 million years ago (-0.2‰) is 3.4‰. The ice present on Antarctica accounts for about 1.0‰ leaving 2.4‰ for deep-ocean cooling. Hence during the last 50 million years the deep sea has cooled by about 10°C. The transition from a warming deep ocean to a cooling deep ocean occurred at very close to the same time as the kink

in the Pacific plate's path. This suggests that this change is related to the collision of India with Asia.

So what is the connection between deep-ocean temperature and plate tectonics? I would like to believe that it is a drop in the atmospheric CO<sub>2</sub> content. Both the reconstruction of the <sup>13</sup>C to <sup>12</sup>C ratio in alkenones and that in ocean-sediment organics show shifts with time to the ever more positive values expected if atmospheric CO<sub>2</sub> content were declining (see Figure 3-5). Further, the planet-wide switch in horse diet from C-3 to C-4 grasses which took place about 8 million years ago is best explained by a drop in atmospheric CO<sub>2</sub> content. While this evidence suggests that atmospheric CO<sub>2</sub> has decreased, both the magnitude and shape of this decline remain uncertain. Hopefully, Morgan Schaller will come to the rescue with his improved soil CaCO<sub>3</sub> proxy.

In addition to the evidence for deep sea cooling kept in benthic foraminifera, several lines of evidence make it clear that the chemical and isotope composition of sea salt has changed over the last 50 million years. Most striking is the steady increase in the ratio of <sup>7</sup>Li to <sup>6</sup>Li recorded in the shells of planktic foraminifera (see Figure 3-6). Another is a sharp 4‰ jump in the ratio of <sup>34</sup>S to <sup>32</sup>S in marine barite which occurred 50 million years ago (see Figure 3-8). A third is a five-fold increase in the magnesium to calcium ratio of sea salt as recorded in aragonitic coral. A fourth is a two-fold decrease in calcium content as inferred from the increase in the uranium content of aragonitic corals. Although it is tempting to attribute these changes solely to the creation of the Himalaya Mountain chain, the great rivers draining this geomorphic feature currently account for only 16 percent of global input to the ocean. Hence the cause of these changes must be on a global scale rather than a local one.

Of the records in hand, that for lithium isotopes kept in planktic shells is the most detailed and noise free (see Figure 3-6). The  $\delta^7\text{Li}$  for planetary lithium is +5‰. Fifty million years ago the  $\delta^7\text{Li}$  in sea water was about +18‰. Since then, it has steadily risen. It is now +28‰. Riverine lithium is enriched in its heavy isotope. The reason for this is clear. Some of the lithium released during the weathering of primary igneous minerals is built into clay minerals in soils. During this

process, the light lithium isotope ( ${}^6\text{Li}$ ) is preferentially taken up leaving behind the heavy isotope. Hence the lithium in rivers is enriched in  ${}^7\text{Li}$ .

As of this writing, there was no consensus regarding the cause of this very large increase in the  $\delta^7\text{Li}$  in seawater. As the magnitude of isotope fractionation increases as temperature falls, it is tempting to attribute it to the planetary cooling. However a ten per mil increase in  $\delta^7\text{Li}$  for a tropical cooling of only about  $3^\circ\text{C}$  is clearly unreasonable. Although the cause of this large  $\delta^7\text{Li}$  change remains unclear, of importance here is that the increase began close to the time of the collision.

A second isotope record, i.e., that for the ratio of  ${}^{87}\text{Sr}$  to  ${}^{86}\text{Sr}$  in marine  $\text{CaCO}_3$  (see Figure 3-7) has been a source of considerable controversy. The problem is that although its shape resembles that of the lithium isotope record, its rise began some 10 million years later. Geologists who favor a younger date for the collision often point to the  ${}^{87}\text{Sr}$  record in support of this choice. However unlike  ${}^{18}\text{O}$  and  ${}^7\text{Li}$  which record things going on at the Earth's surface, as first pointed out by the late John Edmond,  ${}^{87}\text{Sr}$  likely records metamorphism which took place 50 or so kilometers beneath the Earth's surface. Edmond attributed the 10 million-year delay to time required to bring the product of this metamorphism to the surface exposing it to chemical weathering.

Unlike the variations in the isotopic composition of oxygen and lithium which are created by the tendency of light isotopes to react faster than the heavy isotopes, those for strontium are the result of the production of  ${}^{87}\text{Sr}$  by the radioactive decay of the long-lived  ${}^{87}\text{Rb}$  ( $t_{1/2}=50$  billion years). This production is of interest because the ratio of Rb to Sr varies widely among Earth reservoirs. In Earth's mantle strontium is far more abundant than rubidium. So large is the difference that the  ${}^{87}\text{Sr}$  produced there over geologic time has generated only a very small increase in the mantle's ratio of  ${}^{87}\text{Sr}$  to  ${}^{86}\text{Sr}$ . The same is true for basalts produced by melting of mantle rock. At the other end of the scale are granites which have unusually high ratios of Rb to Sr. The reason is that Rb is a highly-enriched relative to Sr during partial melting. As granites are the

ultimate product of partial melting, they have a much higher Rb to Sr ratio than do basalts. This difference is amplified by their large mean age (averaging two or so billion years).

Although rich in  $^{87}\text{Sr}$ , granites are loath to give it up during chemical weathering. Not only are granites more resistant to weathering than basalts, but the K feldspar and K mica rich in rubidium are particularly resistant. Along with quartz, these two minerals tend to end up in detritus. One only has to take a look at beach sand to realize this.

Taken together with the fact that much of the strontium in basalt resides in easily weathered Ca feldspars, strontium in seawater is relatively poor in the  $^{87}\text{Sr}$  produced by the decay of  $^{87}\text{Rb}$ . Edmond realized this and it is the basis for his hypothesis explaining the  $^{87}\text{Sr}$  record for the past 40 million years. He postulated that the lower portion of the 60 kilometer-thick granitic crust created by the collision of India with Asia was geothermally heated to the point where the high  $^{87}\text{Sr}$  content strontium in potassium-bearing minerals was mixed with the low  $^{87}\text{Sr}$  content strontium present in calcium-bearing minerals. Tens of millions of years later these deeply buried granites were unroofed and exposed to weathering. Unlike the situation for their unmetamorphosed brothers, the dissolution of Ca feldspar released strontium enriched in  $^{87}\text{Sr}$ . This un-roofing continues today as the Himalaya is sculptured by its glaciers.

Edmond's idea is confirmed by the unusually high  $^{87}\text{Sr}$  to  $^{86}\text{Sr}$  in rivers draining the Himalaya. As these rivers are also unusually rich in strontium, their contribution to the ocean increases its  $^{87}\text{Sr}$  to  $^{86}\text{Sr}$  ratio. So unlike the  $^{18}\text{O}$  and  $^7\text{Li}$  signals which are global, the  $^{87}\text{Sr}$  signal is local. Further, the 10 million-year lag in its appearance is a measure of the time required to unroof rock metamorphosed at a depth of 50 or so km.

The sulfur isotope record kept in marine  $\text{BaSO}_4$  has a different shape than those for oxygen and lithium. Close to 50 million years ago, the ratio undergoes a 4‰ upward jump and then remains at this new level all the way to the present (see Figure 3-8). Jess Adkins, puzzled by this record, set out to duplicate it. Rather than employing marine barite, he turned to traces of  $\text{SO}_4$  present in the shells of plankton foram. He reproduced both the four per mil jump and the post-jump constancy.

Although the results for  $\delta^7\text{Li}$  and  $\delta^{34}\text{S}$  tell us that a change in ocean chemistry occurred at the time of the collision, they don't tell us anything about the actual changes in seawater's ionic composition. In particular, how did the concentrations of  $\text{Mg}^{++}$ ,  $\text{Ca}^{++}$ , and  $\text{SO}_4^-$  change? Three archives offer information in this regard: fluid inclusions in marine halite, the magnesium content of ridge-crest calcites and the magnesium and uranium contents of aragonitic corals.

Halite inclusions offer information regarding the evolution of the magnesium content of seawater but not that of  $\text{Ca}^{++}$  and of  $\text{SO}_4^-$ . The reason is that gypsum (i.e.,  $\text{CaSO}_4$ ) is less soluble than halite. Hence fluid-inclusion in halite formed 5, 17 and 36 million years ago contains no calcium. The reason is that precipitation of gypsum removed all of it. And, of course, it also removed part of the sulfate. Fortunately, there is no magnesium mineral that is less soluble than halite. Hence, the water trapped in the fluid inclusions should contain all the original magnesium. However, in order to reconstruct the original concentration, the inclusion magnesium content must be ratioed to that of a constituent which remained unchanged with time. The choice of those conducting this research is potassium. Based on this assumption the answer turns out to be that over the last 37 million years magnesium content of seawater has increased by roughly a factor of two.

Recently Anne Gothmann, as part of her thesis research at Princeton, measured the magnesium content of well-preserved aragonitic corals extending in age back 200 million years. She demonstrated the integrity of these corals by demonstrating that their uranium-helium ages agreed with their geologic ages. Her results are consistent with the halite-based factor of two increases in magnesium.

Anne Gothmann also picked up on a means to reconstruct the calcium content of seawater based on the uranium content of her corals. Decades ago, I proposed this approach. It involved three assumptions.

- 1) That the U to Ca ratio in corals was close to that in seawater.
- 2) That the U content of seawater was proportioned to its  $\Sigma\text{CO}_2$  content.
- 3) That the product of  $\text{Ca}^{++} \times \text{CO}_3^-$  in the ocean has remained constant.

The defense for these assumptions is as follows:

- 1) Holocene and modern corals have U to Ca ratios within  $\pm 15$  percent that for seawater.
- 2) Both the U content and  $\Sigma\text{CO}_2$  content of Mono Lake water are two orders of magnitude higher than that in seawater. Gothmann located a paper documenting that a lake in Mongolia has U and  $\Sigma\text{CO}_2$  contents similar to those in Mono Lake.
- 3) The depth of the calcite lysocline in the ocean has remained constant to within about one kilometer. Hence its Ca to  $\text{CO}_3^-$  has varied by no more than 20 percent.

To the extent that these assumptions are correct:

$$\frac{Ca^{seawater\ then}}{Ca^{seawater\ now}} \sim \sqrt{\frac{U\ coral\ now}{U\ coral\ then}}$$

Gothmann found that the uranium content of her corals was about four times lower 35 million years ago than it is today. Based on the above set of assumptions, this suggests that the calcium content of sea salt decreased by a factor of two over this time period (see Figure 3-8).

Taken together, these reconstructions suggest that the Mg to Ca ratio in seawater has risen by at least a factor of four since India collided with Asia. Magnesium has gone up by roughly a factor of two and calcium has gone down by roughly a factor of two. So, what is it that could account for this large change? The answer that first comes to mind is that the formation of dolomite has steadily decreased as shallow inland seas disappeared. This would have increased the residence time of magnesium in the sea and decreased that of calcium. But, while this reduction has certainly taken place, John Higgins and Anne Gothmann have shown that the shift of Mg isotope ratios expected to accompany the demise of dolomite formation is not seen in their aragonitic corals. It is expected because there is a small separation between  $^{26}\text{Mg}$  and  $^{24}\text{Mg}$  during the formation of dolomite (see Figure 3-9).

Although I know of no satisfactory explanation for the rise in the ratio of Mg to Ca, I suspect that something happened at the time of the collision which set the world on a new environmental track. The deep ocean has been cooling, the enrichment of heavy lithium has

become ever larger, and the Mg to Ca ratio in sea salt has risen. I suspect that behind it all is a reshaping of the continents and ocean basins. One way to bring the atmosphere's CO<sub>2</sub> content down is to make the continents more mountainous. Mountains serve as crunchers and grinders creating material that is subject to rapid chemical weathering. Increased weathering would lead to an increase in the supply rate of silicate-bound calcium to the ocean. This would create a mismatch between the inputs of Ca and CO<sub>2</sub> (see Figure 3-10) leading to a drawdown of atmospheric CO<sub>2</sub>. The cooling produced in this way would decrease weathering rates, restoring the CaO – CO<sub>2</sub> balance.

How long will this cooling trend continue? If, as proposed here, it is tectonic, then one might guess that it will continue until another head-on continent-continent collision occurs. In its absence, the Earth will continue to cool. As the deep sea is already close to its freezing point, it can't get much colder. But the sea ice that aprons surrounding the polar land masses will become ever more extensive. The Laurentian and Scandinavian ice sheets will join Antarctica as permanent features. Eventually the Earth would once again become a snowball (see Figure 3-11). But, as it will take several tens of millions of years for this scenario to play out, chances are that something will intervene and off the Earth's environment will go on a different track.

A very approximate budget for the Ca, Mg and SO<sub>4</sub> contents of seawater is shown in Figure 3-12.



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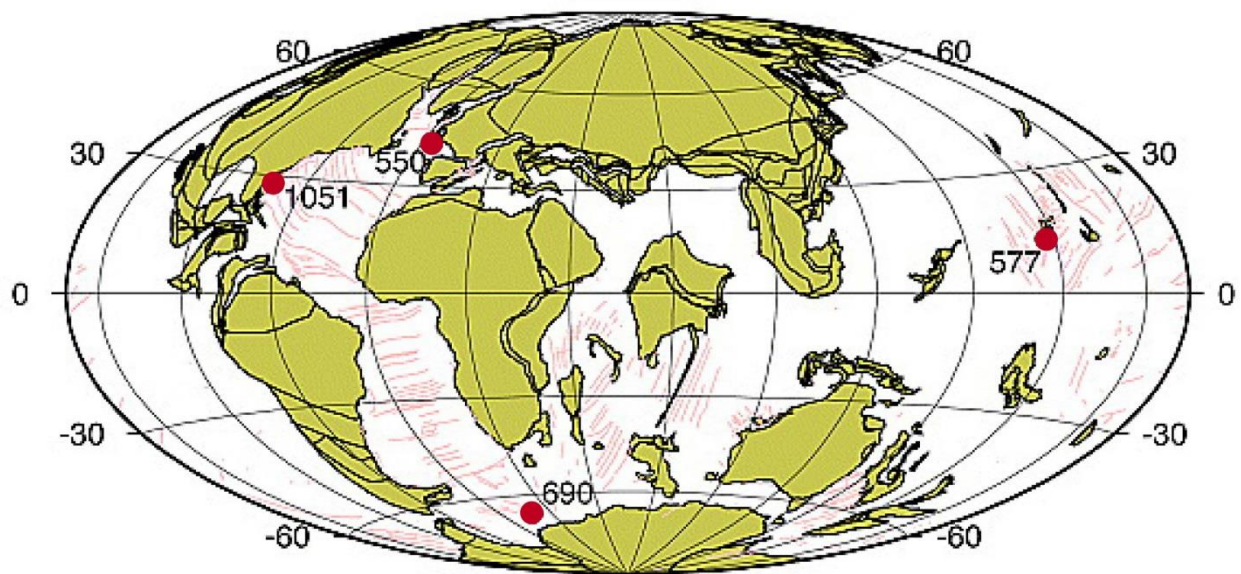


Figure 3-1. Sixty million years ago a super continent consisting of what is now Antarctica, Africa, India and Australia broke up. The pieces drifted apart. Of interest here is India which drifted to the north eventually colliding with Asia.

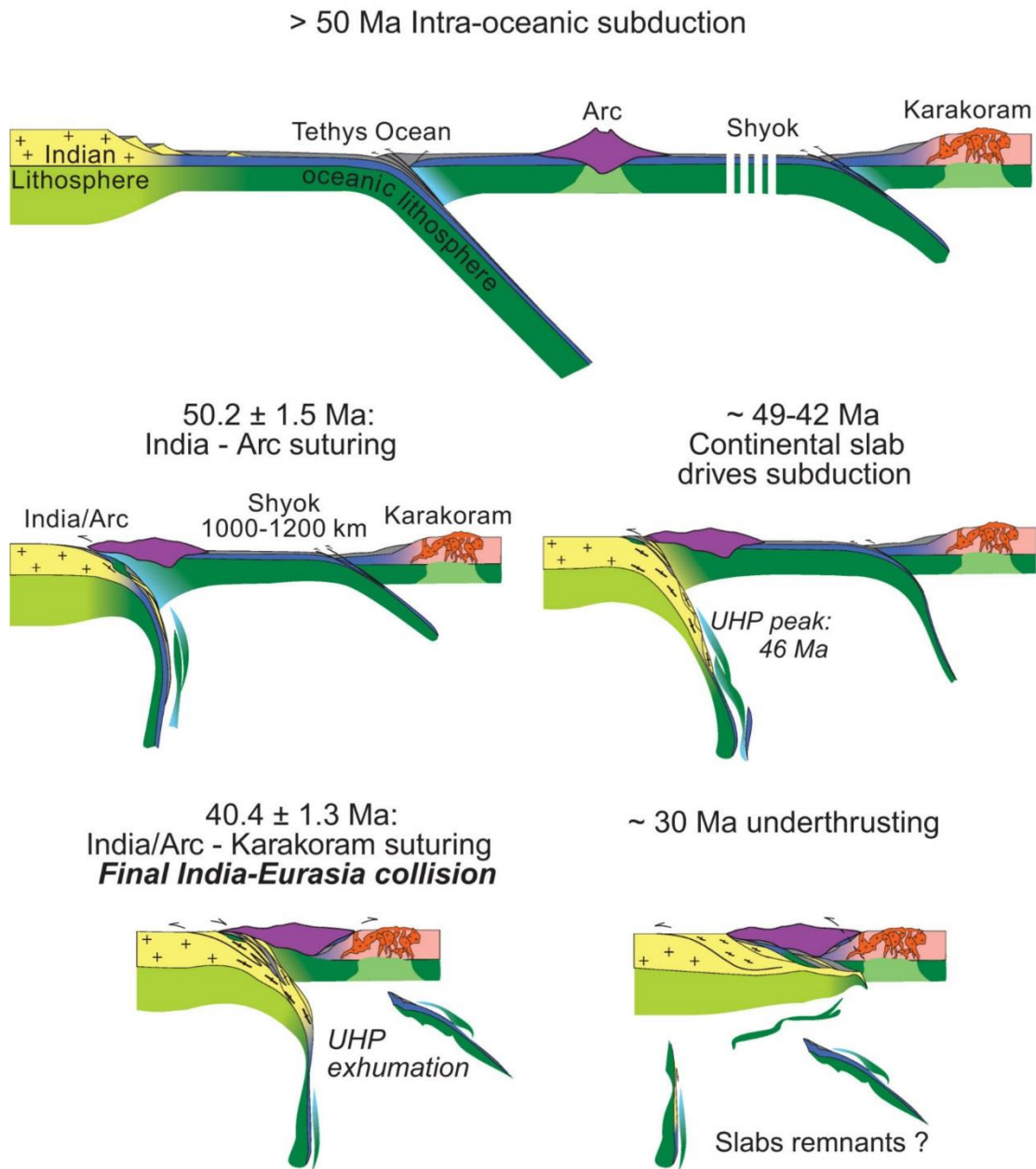


Figure 3-2. One view of the sequence of events associated with the collision of India with Asia (Bouilhol, et al., 2013).

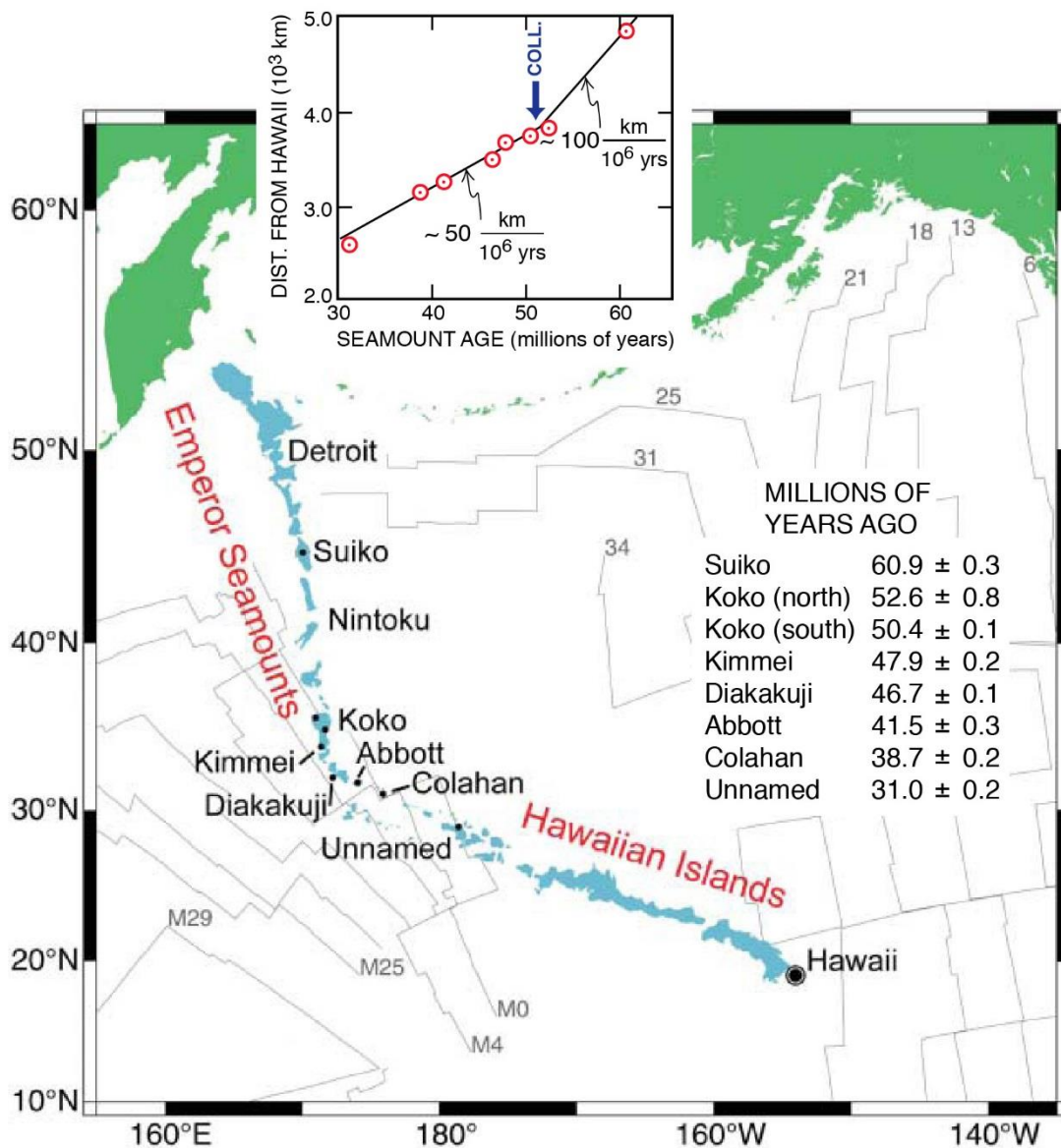


Figure 3-3. The trace of volcanoes left behind as the Pacific plate moved over a mantle plume; the K-Ar ages of some of these volcanoes are listed on the right. Prior to 50 million years ago the Pacific plate was headed north. After this time it has been moving WNW. It currently underlies Hawaii. As can be seen in the insert, the rate of movement of the plate slowed by roughly a factor of two at the time of the collision.

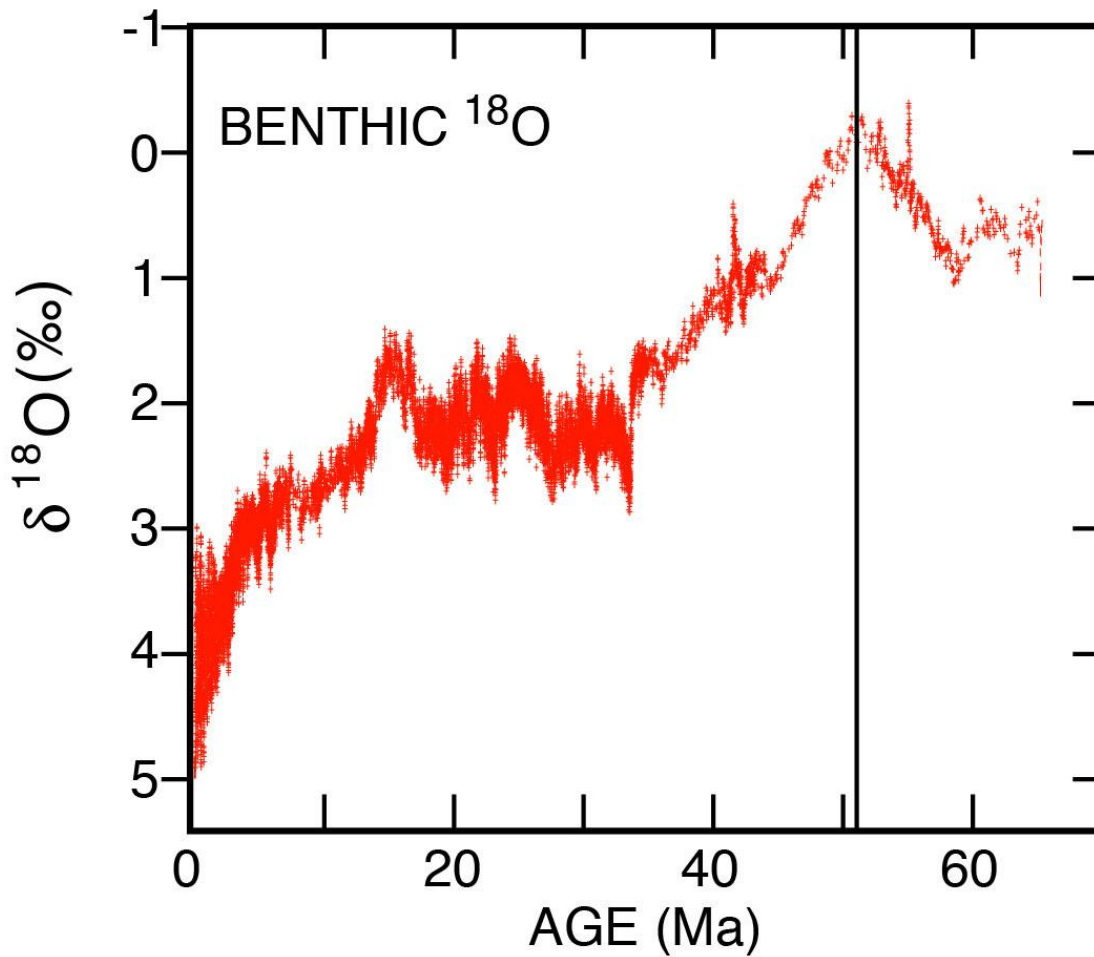


Figure 3-4. Record of the  $^{18}\text{O}$  to  $^{16}\text{O}$  ratio in the calcitic benthic foraminifera shells. Two aspects are important to us. First, a change in trend from a warming to cooling took place close to 50 million years ago. Second, although a pause in the cooling took place between 33 and 15 million years ago, it continues right up to the present. At least some of the scatter is the result of changes in size of the polar ice caps driven by orbital cycles.

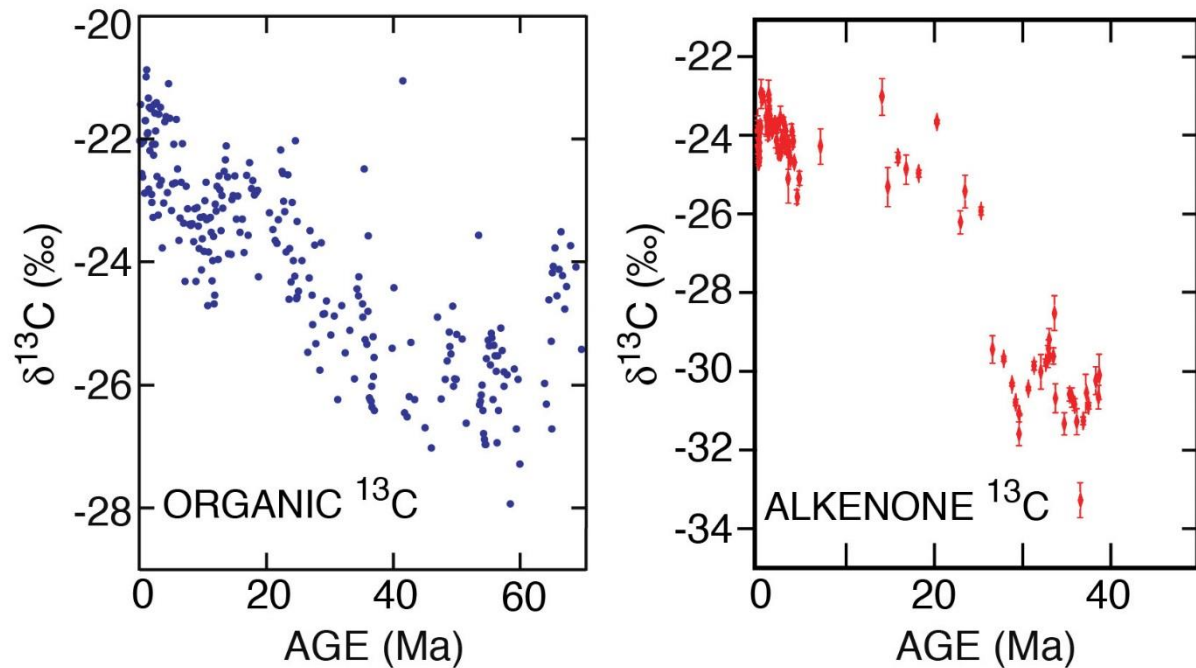


Figure 3-5. Two carbon isotope-based reconstructions of the  $\delta^{13}\text{C}$  in marine organic matter. On the left is the record for traces of organic carbon preserved in deep sea sediments (Falkowski et al., 2004). On the right is the record for alkenones contained in the  $\text{CaCO}_3$  coccolith tests produced by *Emiliana Huxleyi* (Zhang, et al., 2013). As can be seen, both records show that  $\delta^{13}\text{C}$  has increased with time as would be expected if the  $\text{CO}_2$  content of the surface ocean has been decreasing. But, as can also be seen, the two records have different amplitudes and shapes. Also it is not clear how to convert  $\delta^{13}\text{C}$  to  $\text{CO}_2$  content.

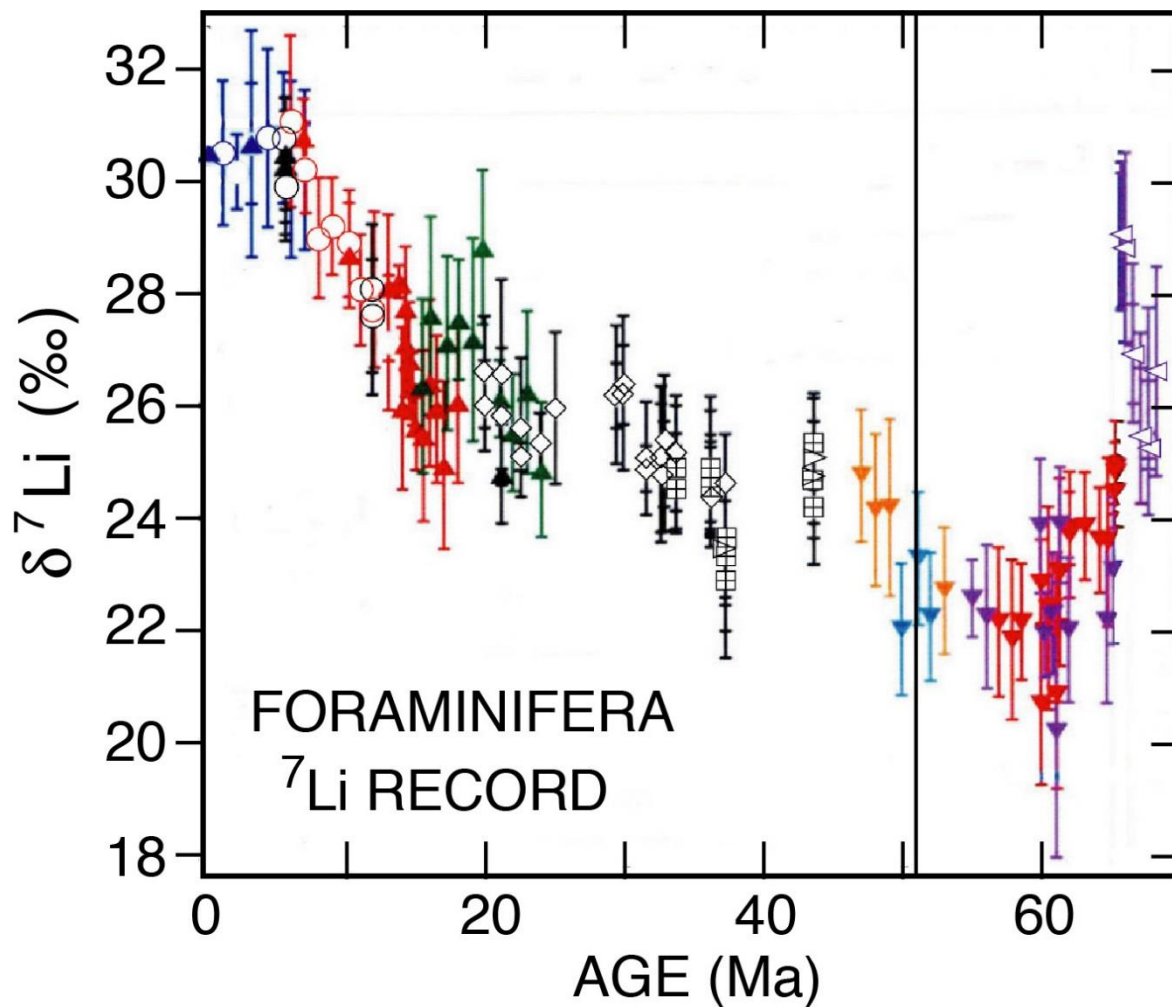
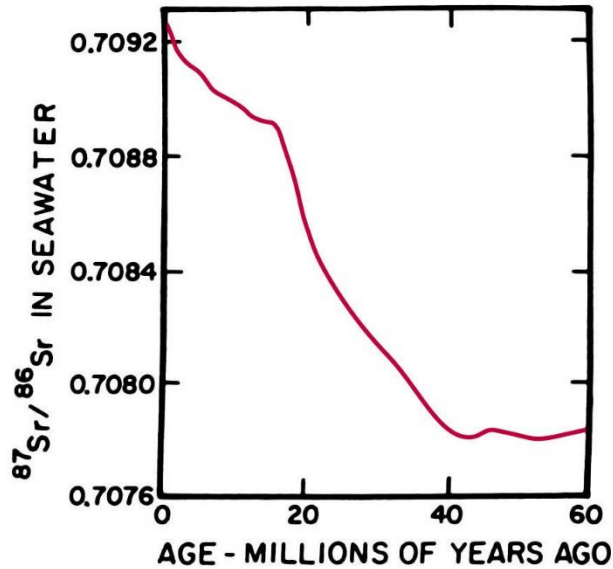


Figure 3-6. The isotopic composition of seawater lithium as recorded in planktic foraminifera shells (Froelich and Misra, 2014); as can be seen, starting about 50 million years ago, the  $\delta^7\text{Li}$  began an increase which continues today. Note that, as is the case for the  $^{16}\text{O}$  record kept in benthic foraminifera shells, there appears to be a 15 million-year pause centered about 25 million years ago.

## SOURCE OF SEAWATER STRONTIUM



	<u><math>^{87}\text{Sr} / ^{86}\text{Sr}</math></u>		<u>Sr FLUX RATIO</u>
		<u>TODAY</u>	
RIVER	0.7119	} 0.0027 } 0.0057	<u>RIVER</u> <u>HYDRO</u> ~ 2
SEA	0.7092		
HYDRO	0.7035		
		<u><math>50 \times 10^6</math> YRS AGO</u>	
RIVER	0.7099	} 0.0030 } 0.0060	<u>RIVER</u> <u>HYDRO</u> ~ 2
SEA	0.7078		
HYDRO	0.7035		

Figure 3-7. The graph shows the record for the  $^{87}\text{Sr}$  to  $^{86}\text{Sr}$  ratio in seawater as recorded in the shells of planktic foraminifera. Note that the rise begins 10 million years after that for  $\delta^7\text{Li}$ . Shown below is Edmonds' scenario regarding the cause of the rise. He envisioned that it was the result of high  $^{87}\text{Sr}$  to  $^{86}\text{Sr}$  ratio and high Sr concentration in Himalayan Rivers (see text).



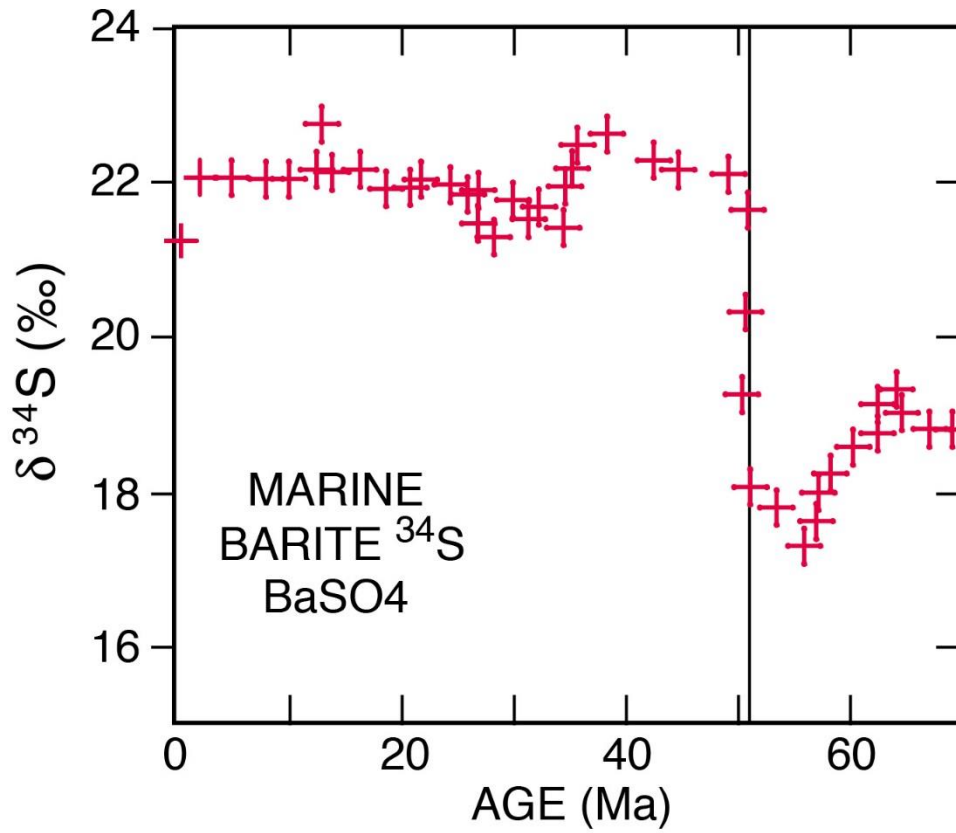


Figure 3-8. As shown by Payton et al. (2004), the record for the ratio of  $^{34}\text{S}$  to  $^{32}\text{S}$  kept in marine barite has a quite different shape than that for  $^7\text{Li}$  to  $^6\text{Li}$ . It undergoes an abrupt 4‰ jump 50 million years ago and remains constant thereafter.

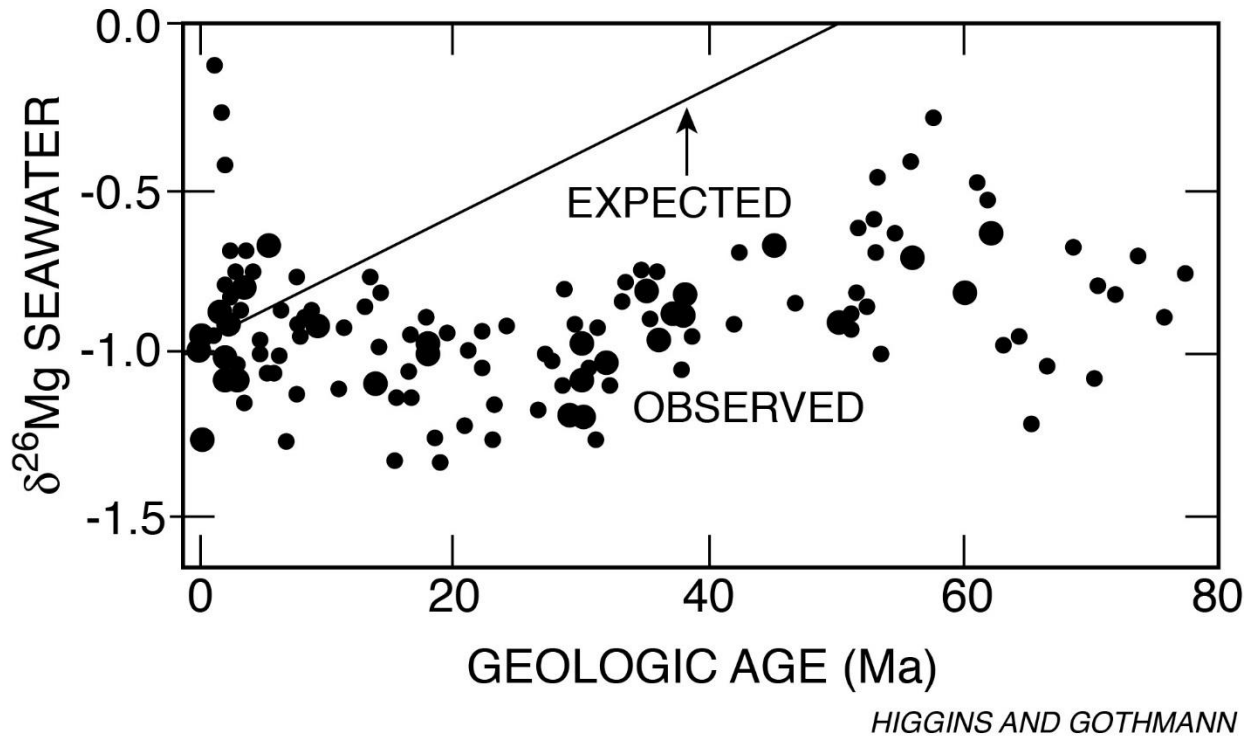


Figure 3-9. Magnesium isotope composition of seawater over the last 80 million years as reconstructed from aragonitic corals ((large dots) and other carbonates (small dots). Were the increase in Mg to Ca ratios in sea salt driven by the demise of dolomite formation, then over the last 50 million years there should have been a 1‰ drop in  $\delta^{26}\text{Mg}$ . Based on this record, Higgins and Gothmann conclude from this that dolomite formation 50 million years ago accounted for no more than 15 percent of the Mg budget.

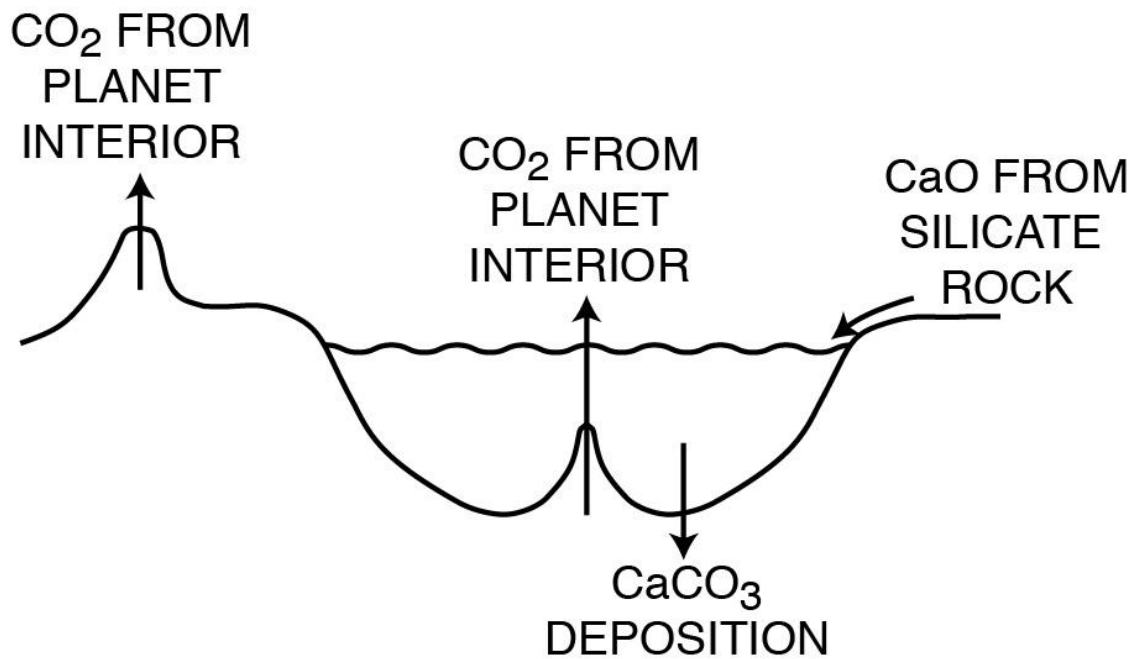


Figure 3-10. As almost all the calcium entering the ocean is removed as CaCO<sub>3</sub>, for each calcium atom added by silicate weathering, there must be a matching molecule of planetary CO<sub>2</sub>. If this balance is disrupted, a feedback will drive the CO<sub>2</sub> content of the atmosphere up or down restoring the Ca-CO<sub>2</sub> match.

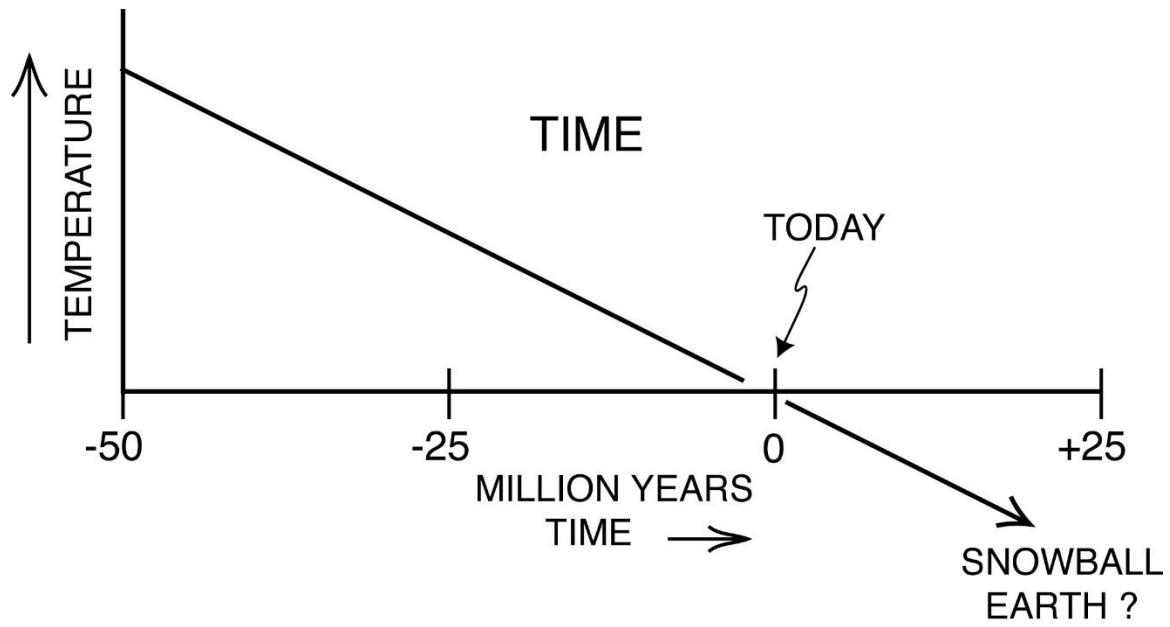


Figure 3-11. Expectation if the Earth's temperature continues along the trend experienced during the last 50 million years.

## CHANGE OVER LAST $50 \times 10^6$ yrs

	NOW	THEN
$Mg^{++} / Ca^{++}$	5.1	~1
$SO_4^- / Ca^{++}$	2.6	~1
$Ca^{++}$	+ $21 \times 10^{-3}$ equ/L	+ $40 \times 10^{-3}$ equ/L
$Mg^{++}$	+ $107 \times 10^{-3}$ equ/L	+ $40 \times 10^{-3}$ equ/L
$SO_4^-$	- $58 \times 10^{-3}$ equ/L	- $40 \times 10^{-3}$ equ/L
	<hr style="width: 50%; margin: 0 auto;"/>	<hr style="width: 50%; margin: 0 auto;"/>
	+ $70 \times 10^{-3}$ equ/L	+ $40 \times 10^{-3}$ equ/L
$\Delta Na^+$		+ $30 \times 10^{-3}$ equ/L

Figure 3-12. Possible but very uncertain estimate of the concentrations of Mg, Ca and  $SO_4$  in the ocean 50 million years ago.

## Chapter 4

### A Short-lived Hot Spell

Five million years before India collided with Asia, the Earth experienced a sharp warming. It is known as the PETM (Paleocene-Eocene Thermal Maximum). Over a time span of no more than four thousand years, the Earth's surface temperature jumped up by about 5°C. This abrupt hot spell lasted for about 20 thousand years, after which the temperature slowly returned to its pre-PETM level.

This event was discovered by Jim Kennett and Lowell Stott. At a depth of 170 meters in a sediment core from the Southern Ocean, they encountered puzzling anomalies in the  $\delta^{18}\text{O}$  and in the  $\delta^{13}\text{C}$  recorded in foraminifera shells. The  $^{18}\text{O}$  in dropped by about 1.4 per mil suggesting that the Southern Ocean warmed by about 6°C (see Figure 4-1). When placed on the long-term benthic record, this anomaly stands out like a sore thumb (see Figure 4-2).

It was, however, the carbon isotope record that came as the biggest surprise. The  $\delta^{13}\text{C}$  for both the upper and the deep Southern Ocean plunged by about 2.6 per mil. In order to create such a drop in today's ocean would require adding 3500 gigatons of carbon with a  $\delta^{13}\text{C}$  of -26 per mil (i.e., that for terrestrial organic material). This is roughly the amount of carbon contained in our entire fossil fuel reserve.

This finding triggered a debate regarding the source of this carbon. It continues 25 years after the PETM discovery. Attention was initially focused on methane clathrates stored in continental margin sediment. The idea was that they were destabilized by a precursory warm event releasing the trapped methane. This  $\text{CH}_4$  was (as it is now) promptly oxidized to  $\text{CO}_2$ . A problem plagues this explanation. As only about 1500 gigatons of carbon are thought to be stored in today's methane clathrates, in order to get enough  $\text{CO}_2$  all the clathrates present at the end of the Paleocene would have to have been released in less than 4000 years. This global release would require some sort of precursory heating. But there is no evidence for such a precursor.

At the other extreme is a hypothesis put forth by Dennis Kent. He postulated that the carbon was delivered by a comet. As carbon-bearing gases are thought to make up about 10 percent of a

comet's mass, in order to bring in even one thousand gigatons of carbon, the comet would have to have been a whopping 10 or so kilometers in diameter. The impact of such an object would have been catastrophic. Yet, the only extinctions which occurred were those of a few species of benthic foraminifera. Also, no PETM-related tsunami deposits have been found.

Kent's idea was supported by a claim by Jim Wright that the PETM Marlboro clay present on New Jersey's coastal plain was deposited at the huge rate of two or so centimeters per year. Measurements of  $\delta^{13}\text{C}$  in bulk  $\text{CaCO}_3$  indicated that the entire  $^{13}\text{C}$  drop occurred in the first 15 of these annual layers. If correct, this would mean that the PETM carbon must have been added during a period of 10 or so years. Only Kent's comet could deliver the carbon this rapidly.

Then Paul Pearson dropped a bomb. When he examined the New Jersey margin cores, he concluded the layers were not seasonal layers. Rather, they are 'biscuits' produced by rotary drilling. Pearson's explanation received wide acceptance. Wright's claim fell to the wayside.

But it did focus attention on the PETM record kept in the Marlboro clay present on much of the coastal plain of eastern North America. This fine clay is very different from the silty sediment which underlies and overlies it. The Marlboro has little kaolinite whereas the silty sediments are rich in it. Something quite unusual must be responsible for the deposition of this 8-meter-thick package of fine sediment.

Then it was Morgan Schaller's turn to drop bombs. His first was the discovery of tektites in a centimeter-thick layer located at the base of the Marlboro clay (see Figure 4-3). These tektites contained an unusually large amount of the element calcium suggesting that the impact which created them occurred in  $\text{CaCO}_3$ -rich sediment or rock. Argon-argon ages confirm that the tektites formed about 55 million years ago. Until the size of the strewn field for these objects has been established, little can be said about the size of the impactor which produced them. But the tektites do suggest that PETM was triggered by the impact of an extra-terrestrial object.

Schaller's second bomb dropped when he identified a charcoal peak a few centimeters above the tektite peak (see Figure 4-4). To explain this separation Schaller proposes the following scenario. The impact generated wildfires which extended over much of New Jersey's coastal plain.

Charcoal produced by these fires was sluiced into the sea by the first large PETM rain event. In support of this scenario, Schaller points out that the  $^{13}\text{C}$  to  $^{12}\text{C}$  ratio in the charcoal is the same as that in pre-PETM organic material. Hence the wildfires must have consumed Paleocene organic matter. He also shows that the peak temperature experienced by this charcoal ( $550^\circ\text{C}$ ) was larger than that experienced by traces of charcoal above ( $250 \pm 30^\circ\text{C}$ ) and below ( $\sim 325^\circ\text{C}$ ) the PETM peak. Finally, the magnetic properties of the Marlboro clay are markedly different than those in the underlying Paleocene silt, likely due to the wildfire's heat. Schaller concludes that the Marlboro clay consists of the fire-modified soil sluiced off the New Jersey coastal plain.

Schaller's hypothesis can only be correct if the Marlboro clay was deposited at a rate at least as high as that proposed by Wright for it is difficult to accept even a decade delay between the tektite fall and the precipitation event responsible for the delivery of the charcoal. I'll come back to Schaller's third bomb, but first one other piece of the puzzle needs to be discussed.

As pointed out by Michael Storey at the PETM meeting held in January 2016 at ASU, the Skaergaard sill which was injected into North Sea sediments has an age within the uncertainty (one half percent) of that for the onset of the PETM. Further, the heat released as this sill cooled appears to be responsible for 100 or so mud volcanoes identified in seismic surveys (see Figure 4-5). Svensen et al. suggest that the organic matter in the sediment was 'cooked' producing  $\text{CO}_2$  and  $\text{CH}_4$ . They calculate that something like 1500 gigatons of carbon could have been released over a period of about 4000 years.

So we have an enigma. Wildfires generated a three per mil drop in  $\delta^{13}\text{C}$  in less than a decade in the shallow waters bathing New Jersey's coastal plain. The Skaergaard sill released enough  $^{13}\text{C}$ -deficient carbon to create a comparable drop in  $\delta^{13}\text{C}$  of the entire ocean on the time scale of a few thousand years. One way to explain this would be to say that the  $\text{CO}_2$  released by the wildfires was initially confined to the atmosphere and upper ocean. Then over a period of some decades was mixed into the ocean interior. The dilution created in this way reduced its amplitude to a few tenths per mil (i.e., undetectable). Only after this dilution had occurred did the  $\delta^{13}\text{C}$



lowering caused by the release of CO<sub>2</sub> and CH<sub>4</sub> from the Norwegian Sea sediment become detectable. Further, by this time, accumulation of the Marlboro clay would have ceased.

While a feasible scenario, it involves a bothersome coincidence. The amplitude of the  $\delta^{13}\text{C}$  drop created by both the brief wild-fire event and the long-lived cooking event are close to the same. Just as I don't like to explain the similarity of the ages of the onset of the PETM and the intrusion of the Skaergaard sill as a coincidence, I don't feel comfortable passing off the similarity between the  $\Delta\delta^{13}\text{C}$  from the wildfire and that for the Skaergaard cooking as a coincidence. Further, there is an inconsistency in the above scenario. The  $^{13}\text{C}$  drop recorded in foraminifera shells from the Marlboro clay persists from its base to its top (see Figure 4-6). Even if the Marlboro accumulated at the rate of 2 cm/year, to deposit the 8 meters would require about 400 years. By analogy with the present ocean, the  $^{13}\text{C}$ -deficient wildfire CO<sub>2</sub> would have mixed into much of the ocean.

Only if all the carbon were delivered by a comet which struck the open ocean could the overshoot be avoided. The comet impact would have to have spread the carbon throughout the entire ocean. But this explanation makes no sense for the huge impact itself would have heated up the atmosphere far more than 5°C.

The  $^{18}\text{O}$  record contained in the New Jersey margin cores (see Figure 4-6) adds to the mystery. Like that for  $\delta^{13}\text{C}$ , the amplitude of the abrupt drop at the onset of Marlboro clay deposition is comparable to that recorded in deep sea sediments. Also, the reduced  $\delta^{18}\text{O}$  persists during the entire duration period of Marlboro deposition.

Key to this discussion is the cause of the  $\delta^{18}\text{O}$  drop. If it was a warming, then it had to be global. If it was fresh water, then it could have been local. But if the latter, then the similarity in amplitude of the New Jersey margin and the deep sea  $\Delta\delta^{18}\text{O}$  has to be attributed to yet another coincidence.

If the rapid accumulation scenario is the correct one, then in order to explain the persistence of the  $\delta^{18}\text{O}$  (and  $\delta^{13}\text{C}$ ) shifts requires that the deposition of the Marlboro took place in less than the time it took to mix the upper ocean with the ocean's interior.

So, we are left with an enigma. The charcoal peak convinces me that the 8 meter-thick Marlboro clay accumulated extremely rapidly. But I can't generate a satisfactory isotope scenario.

So the question becomes how rapidly did the Marlboro clay accumulate? Schaller's third bomb was to come up with an accumulation proxy. He found one. It involves the rain of so-called cosmic spherules. Based on their composition, these objects are thought to be created in space by collisions between small asteroids. Schaller finds these objects in the Marlboro clay and in the Paleocene sediment beneath it. The important observation is that their concentration in the Marlboro is 70 times lower than that in the Paleocene sediment. Although this observation strongly supports a far faster Marlboro accumulation rate, quantifying this rate remains problematic. The reason is that the proximity to a terrestrial source raises the question regarding the contribution of laterally reworked cosmic spherules. If, for example, the rare spherules present in the Marlboro clay were reworked from the coastal plain, then the contrast in spherule concentration would be even larger than a factor of 70.

Jim Wright reported an interesting observation which speaks to a high accumulation rate. It is a one-cm thick, five-cm long stick standing close to vertical in one of the New Jersey margin cores (see Figure 4-7). Were the accumulation rate of the Marlboro clay only about five-cm per kyrs as suggested by Zachos and the other Wright detractors, it would have had to have stood in place for a millennium before being fully buried.

If the PETM is to be used as a poster for the ongoing global warming, then it will be necessary to establish the atmosphere's  $p\text{CO}_2$  before and during this warming event. Hence one might ask whether the information in hand makes it possible to calculate the increase in atmospheric  $\text{CO}_2$  content during the PETM. The answer is 'no.' But there are two pieces of information which constitute steps forward. The first of these is the record of  $\text{CaCO}_3$  dissolution. As shown in Figures 4-8 and 4-9, five cores taken by Zachos at various depths on Atlantic's Walvis Ridge located off the southern tip of Africa offer an insight into how much the ocean's carbonate ion content dropped. The problem associated with harnessing this observation is that the  $\text{CaCO}_3$  content drops to zero. Hence any estimate of the drop in  $\text{CO}_3^-$  ion would be a minimum. So while

this record makes it clear that the PETM CO<sub>2</sub> acidified the deep ocean, it doesn't help to pin down the PETM pCO<sub>2</sub>.

More promising is the boron isotope record. It is well established that the ratio of <sup>11</sup>B to <sup>10</sup>Be in the boron contained in foraminifera depends on the pH of the water in which the shells formed. This ratio has now been measured across the PETM in several deep sea cores. In each the δ<sup>11</sup>B drops sharply by about one per mil and then recovers slowly (see example in Figure 4-10). Unfortunately, this Δδ<sup>11</sup>B cannot be converted to a ΔpH. The reason is that during the PETM the <sup>11</sup>B to <sup>10</sup>B ratio for the borate dissolved in the ocean must have been lower than today's. So far no one has come up with a way to determine by how much.

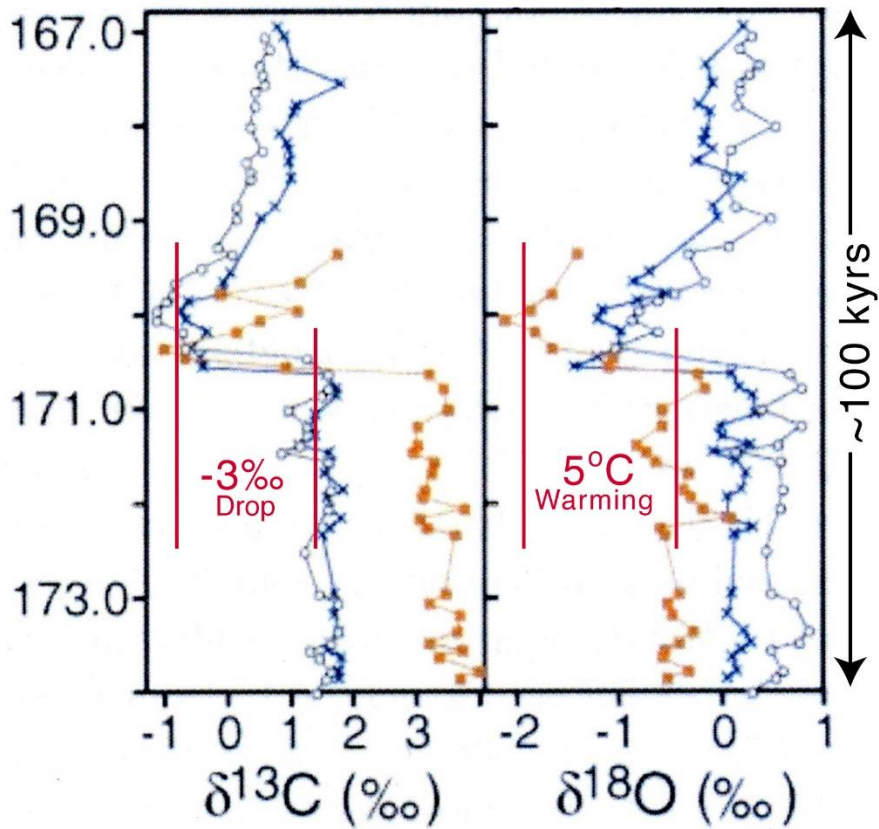
As outlined in Figure 4-11, I would like to believe that the impact of an extraterrestrial object created wildfires and also triggered the intrusion of the Skaergaard sill. The intrusion cooked Norwegian Sea sediments releasing the CO<sub>2</sub> (and CH<sub>4</sub>) which warmed the planet by about 5°C. Perhaps the PETM heat caused the release of CH<sub>4</sub> stored in continental-rise sediment extending the duration of the warming.

If we are to get to an answer of what caused the PETM and how much the atmosphere's pCO<sub>2</sub> changed, it will be necessary to:

- 1) establish the global footprint of the PETM impact's debris.
- 2) create a better understanding of what controls the accumulation rate of cosmic spherules in coastal sediments.
- 3) find a proxy for the <sup>11</sup>B to <sup>10</sup>B ratio in seawater 55 million years ago.
- 4) establish the pre-PETM increase in the atmosphere's CO<sub>2</sub> partial pressure.

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■ Surface                      Southern Ocean  
× Thermocline              ODP Site 690  
○ Benthic

*Kennett and Stott 1991*

Figure 4-1. Carbon and oxygen isotope records for a Southern Ocean sediment core. It was this record that tipped off Kennett and Stott to the existence of the brief warming event now referred to as the PETM. Surface, thermocline and bottom-dwelling foraminifera record abrupt decreases in  $\delta^{13}\text{C}$  and in  $\delta^{18}\text{O}$ . The former requires the addition of roughly 3500 billion tons of  $^{13}\text{C}$ -depleted carbon ( $\delta^{13}\text{C} = -26\text{‰}$ ) and the latter a roughly  $5^\circ\text{C}$  warming of the ocean.

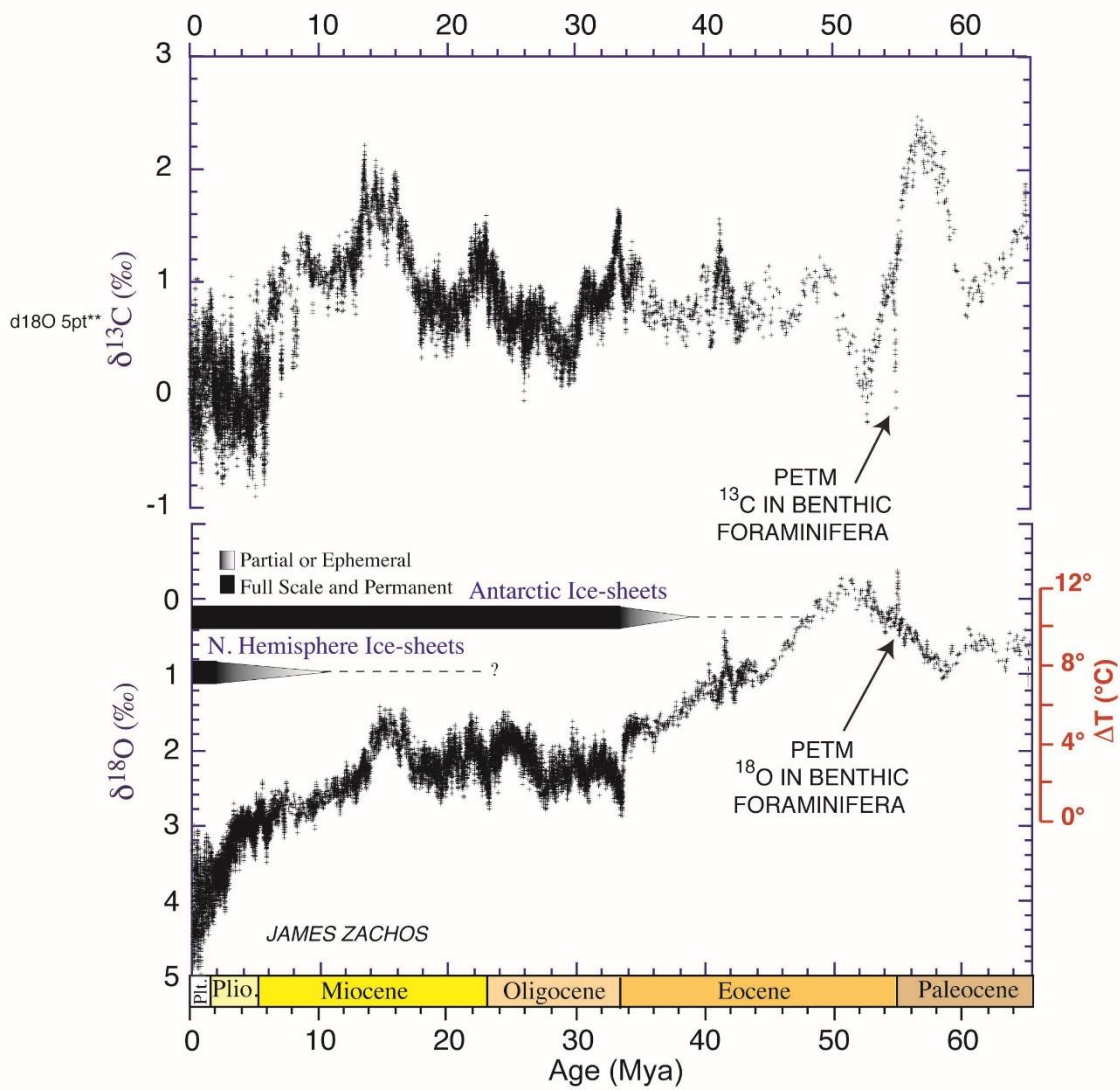
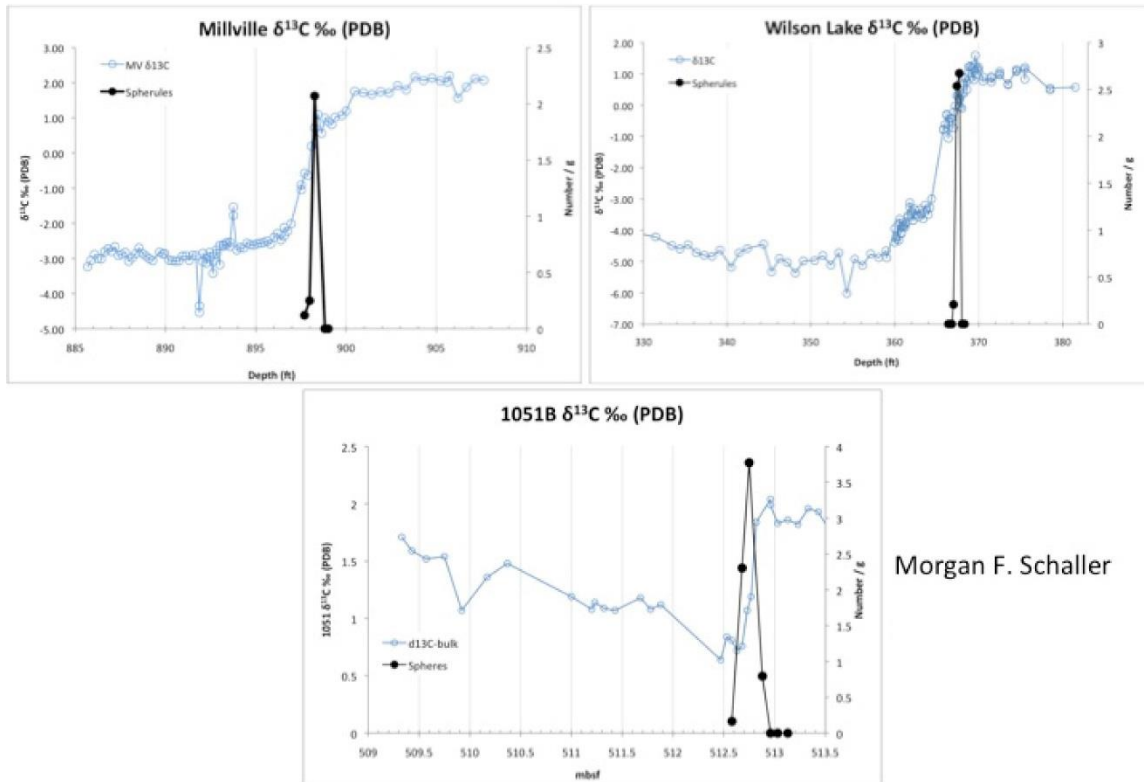


Figure 4-2. When placed on Zachos' benthic  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  records for the last 60 million years, the PETM is unique. Although other excursions of the same amplitude are present, they were long-lived compared to the PETM.

## Glass Spherules



Morgan F. Schaller

Figure 4-3. Abundance of glass spherules (i.e., tektites) in two sediment cores from New Jersey's coastal plain (above) and in a core from the continental margin off the Carolinas (below). In all three, the sharp peak is located at the base of the Marlboro clay. The widths of these peaks can be explained by bioturbation. The  $\delta^{13}\text{C}$  records on bulk  $\text{CaCO}_3$  records are also shown.

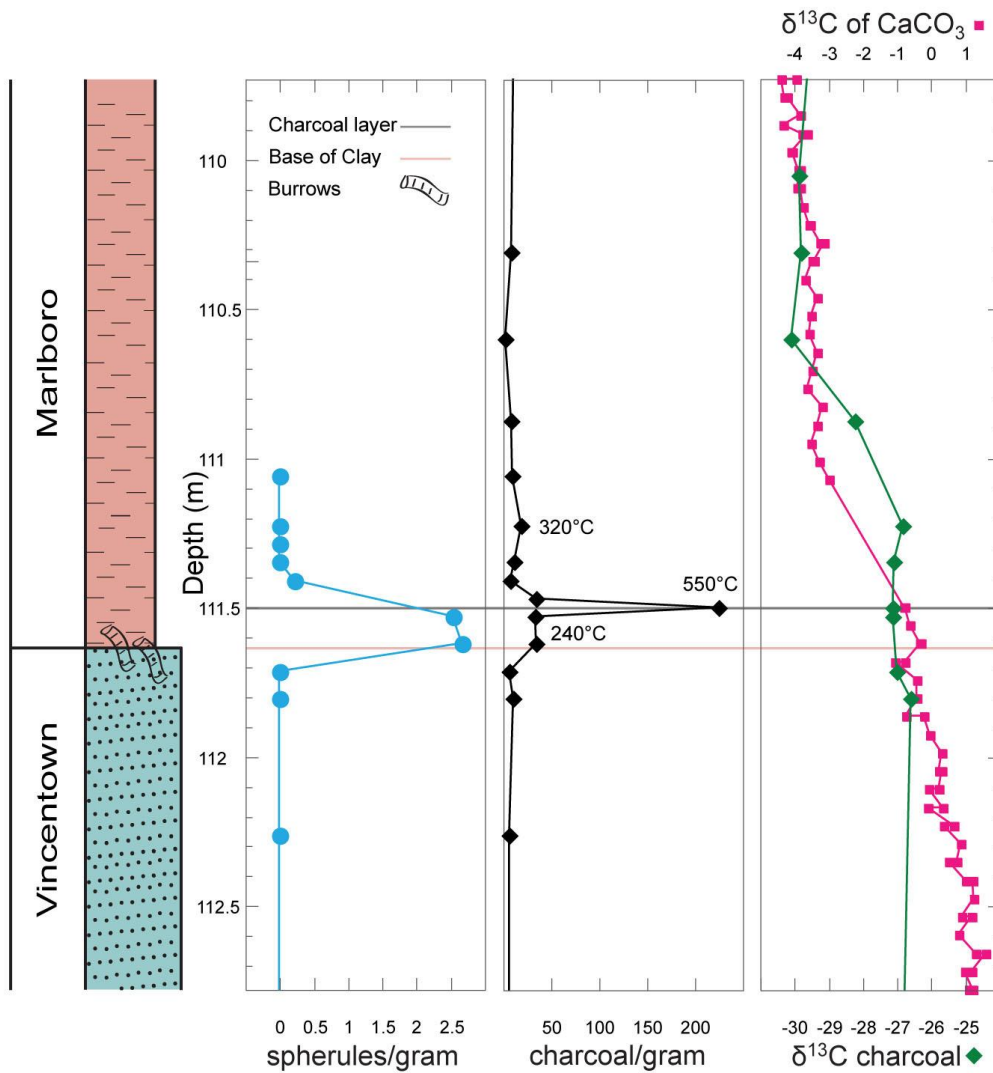
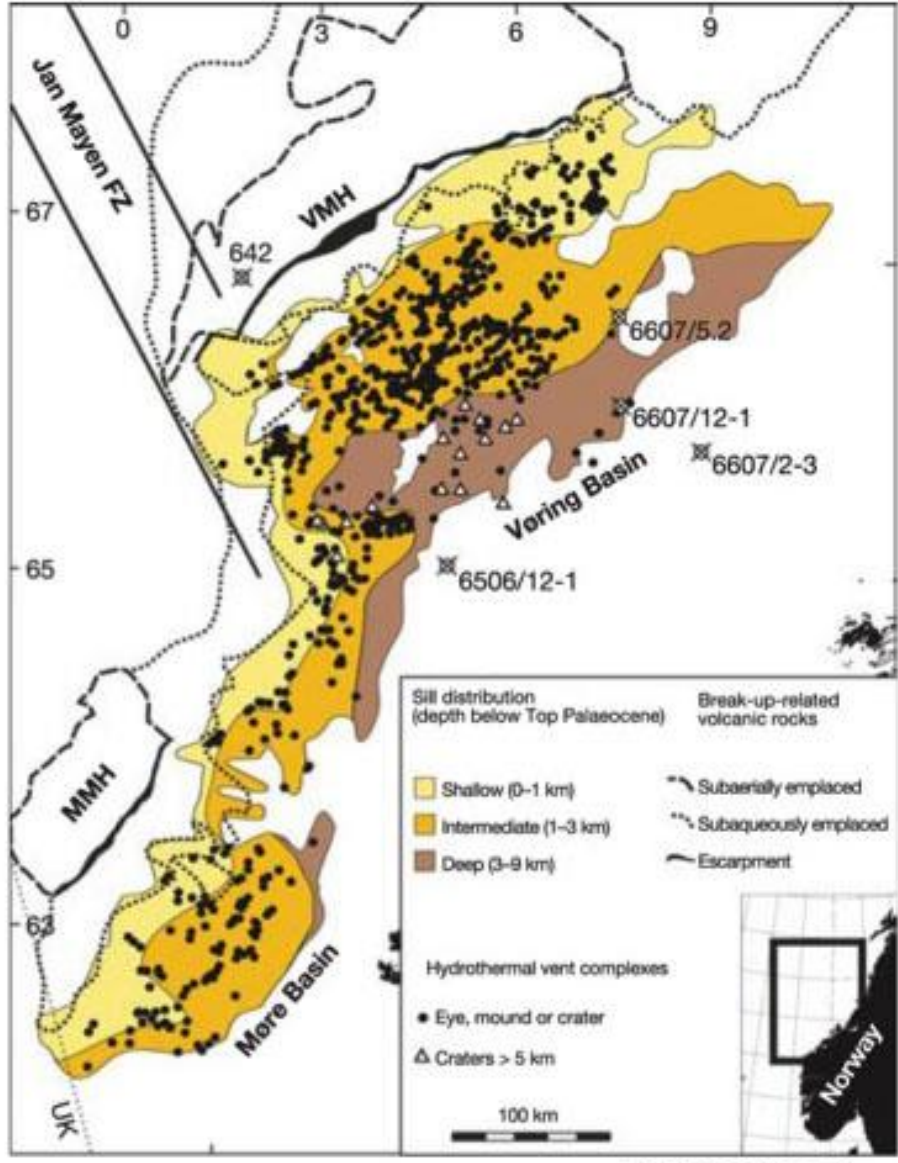


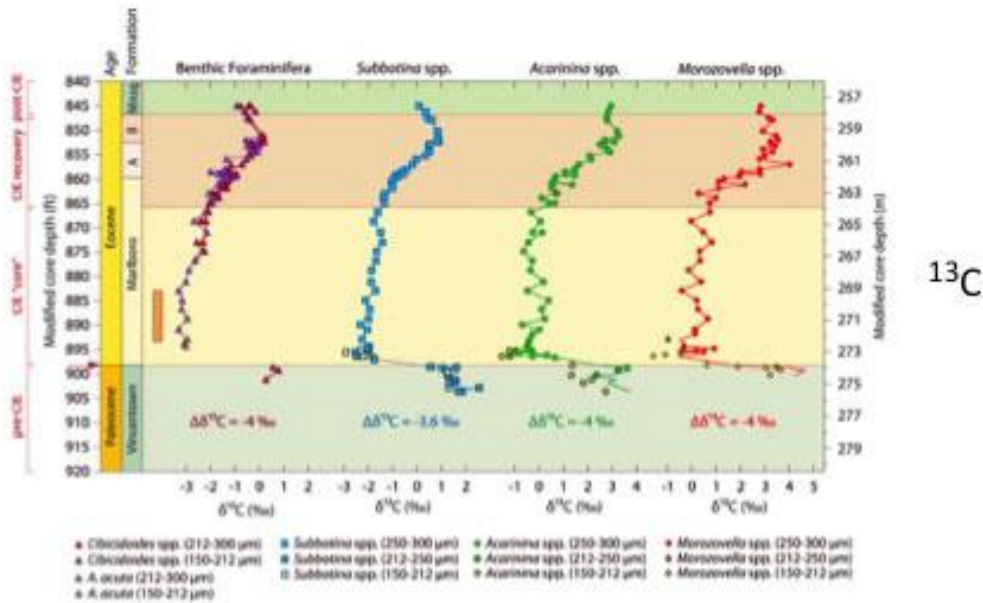
Figure 4-4. Relationship between the charcoal and tectite peaks in a core from New Jersey's coastal plain established by Morgan Schaller. Note that the charcoal peak is located about 13 cm above the base of the Marlboro clay. Also shown is the  $\delta^{13}\text{C}$  record for bulk  $\text{CaCO}_3$  and for charcoal. Note that the PETM  $\delta^{13}\text{C}$  shift for charcoal is delayed relative to that for bulk  $\text{CaCO}_3$ . The  $\delta^{13}\text{C}$  for the charcoal peak is the same as that for Paleocene organics.



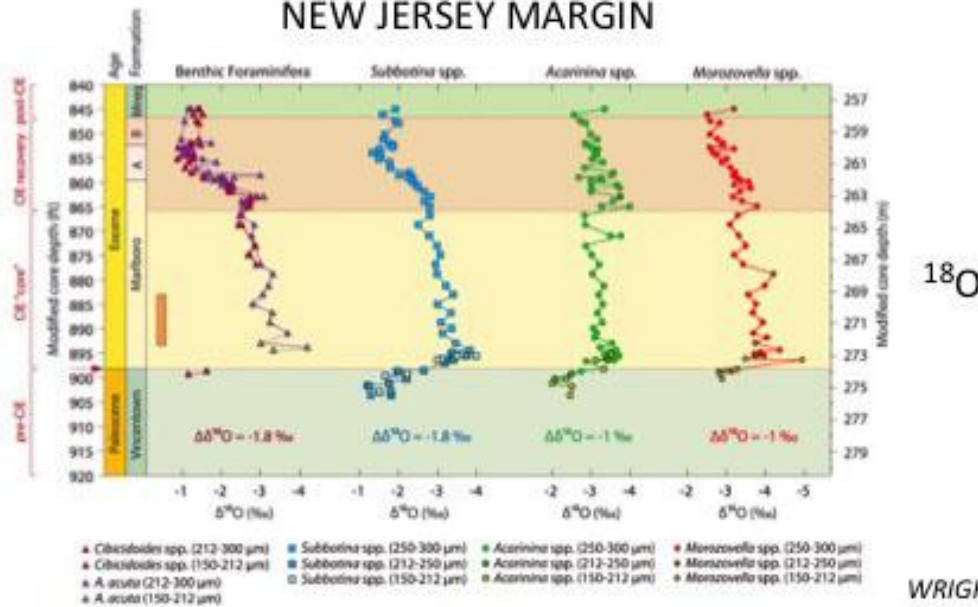


**SVENSEN ET AL.**

Figure 4-5. The Skaergaard intrusion cooked the overlying Norwegian Sea sediments releasing both CO<sub>2</sub> and CH<sub>4</sub>. Seismic surveys suggest that this gas created mud volcanoes (see black dots). This intrusion outcrops along the margins of Greenland's ice sheet. Its age is identical to that for the onset of the PETM. Model calculations by Svensen et al. suggest that the amount of carbon released over a time period of about 4 kyrs was on the order of 1500 billion tons.



### NEW JERSEY MARGIN



WRIGHT ET AL.

Figure 4-6. Records of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  for foraminifera shells from one of the New Jersey margin cores. These results replace the earlier less reliable ones on bulk  $\text{CaCO}_3$ . Two aspects of the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  records merit mention. One is the very sharp drops at the onset of Marlboro deposition. The other is the near constancy during the course of Marlboro. The interpretation depends on whether the sedimentation rate is several centimeters per kyrs as claimed by Wright's detractors or centimeters per year as proposed by Schaller.

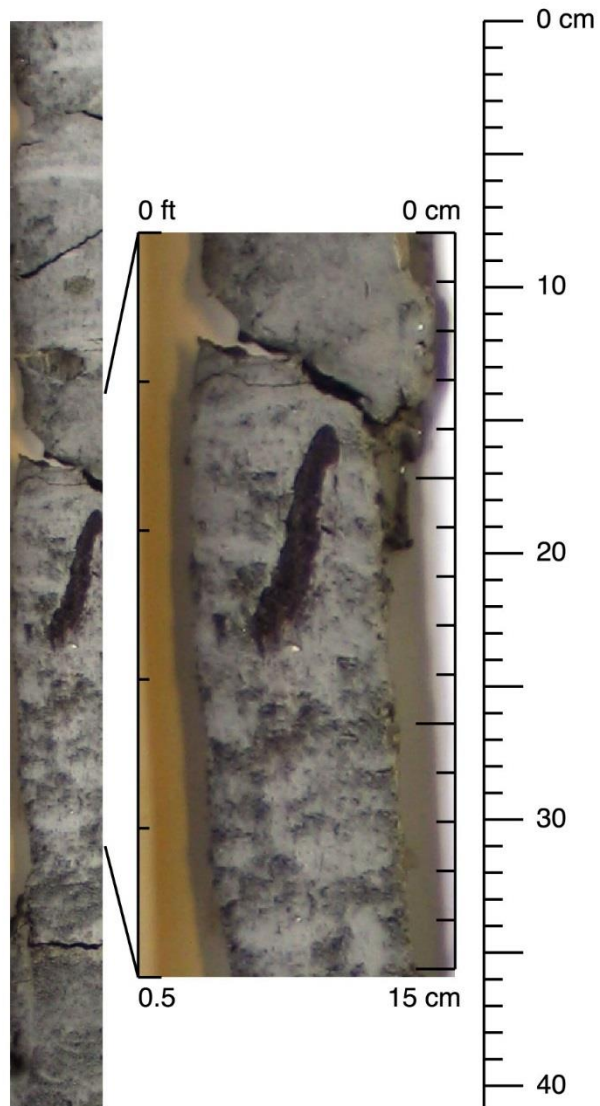


Figure 4-7. Photo of a 5-cm long stick in vertical position in one of Wright's New Jersey coastal plain cores. It is difficult to believe that this twig could have survived if the sedimentation were on the order of 5 cm per kyr. Rather, it speaks to a very high sedimentation rate.

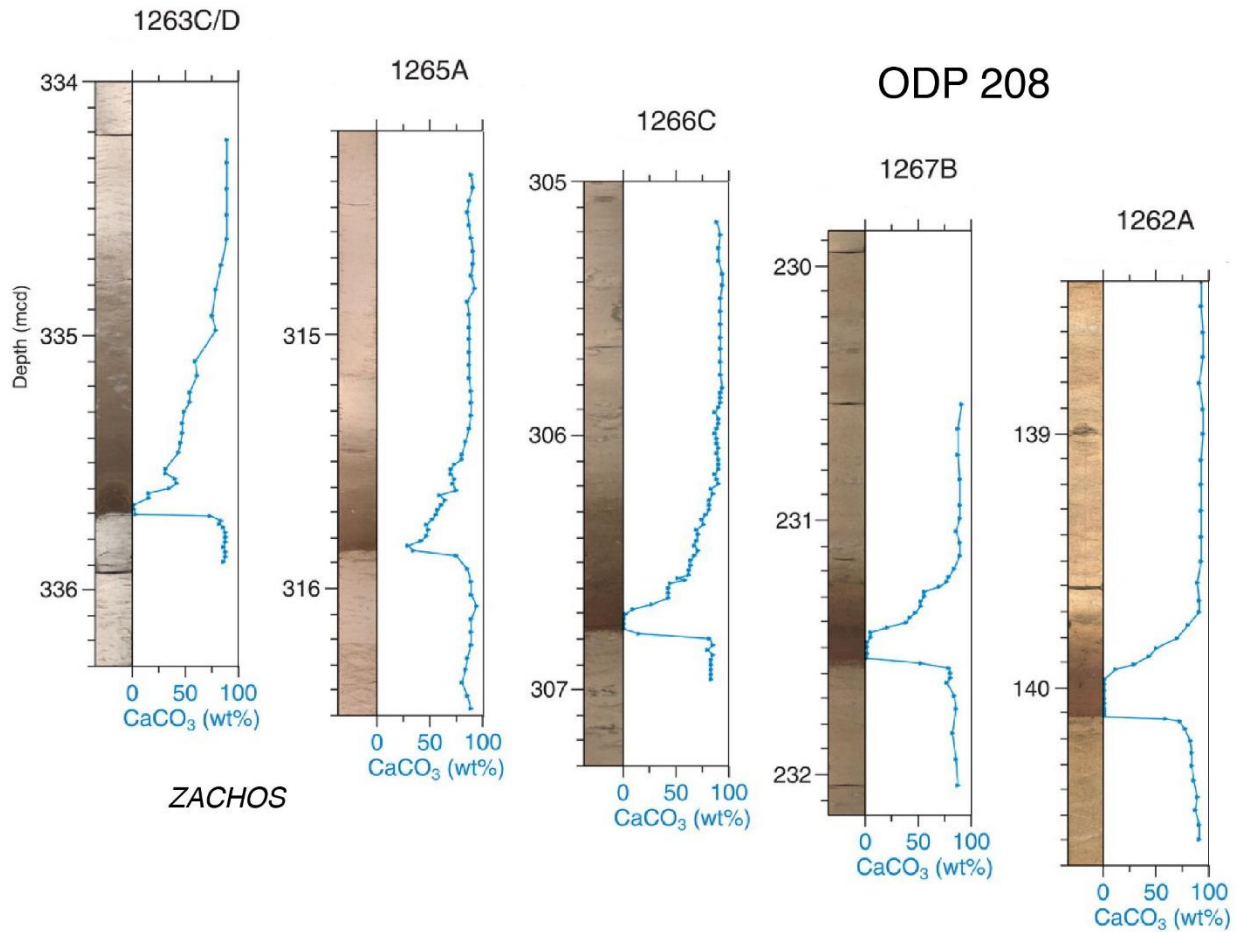


Figure 4-8. Plots of CaCO<sub>3</sub> content versus depth in sediment cores from the South Atlantic. The PETM is recorded in each core by a drop to zero (or in one core near zero). This change shows up in photos. The CaCO<sub>3</sub>-rich sediment above and below the PETM is light in color and the CaCO<sub>3</sub>-free PETM is dark. Note that the thickness of the dark layer increases with water depth (see Figure 4-9 for explanation).

The transition zone ascends when  $[\text{CO}_2]$  is added

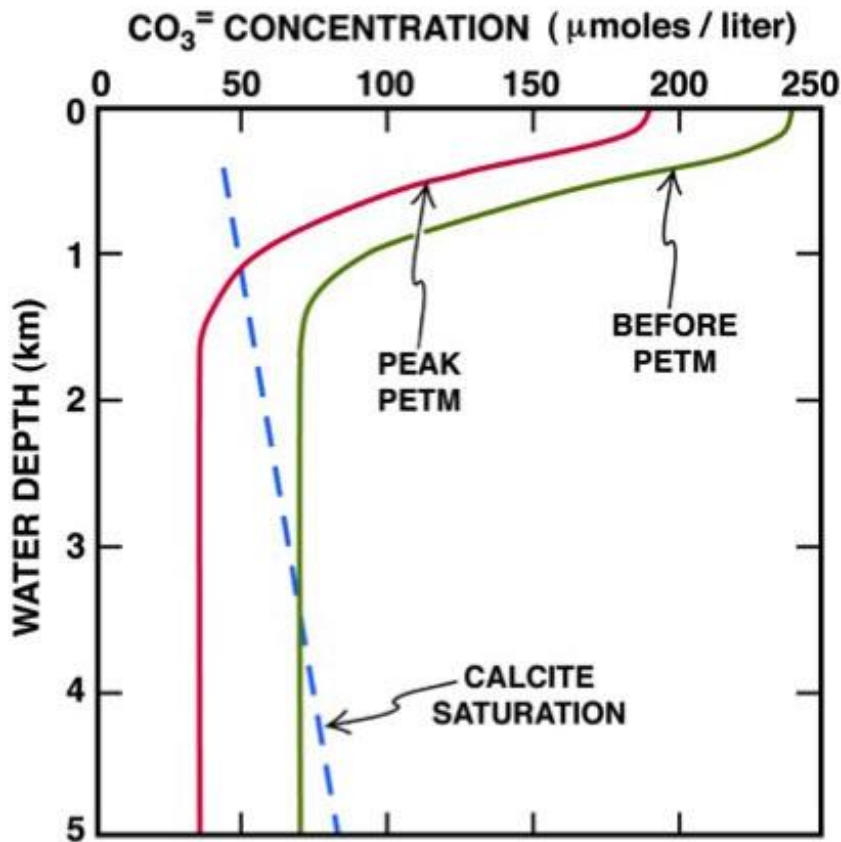


Figure 4-9. Shown here is the relationship between the water-depth profile of carbonate ion (solid lines) and the solubility of calcite (dashed line). The depth at which these lines cross marks the boundary between waters supersaturated with respect to calcite and waters undersaturated with respect to calcite. Prior to the PETM, this cross-over occurred at about 3.5 km. Most of the extra  $\text{CO}_2$  added to the ocean at the onset of the PETM mated with  $\text{CO}_3^-$  (and  $\text{H}_2\text{O}$ ) to form two  $\text{HCO}_3^-$  ions. The consequent lowering of the ocean's carbonate ion content caused the cross over to move up water column to a depth of about one kilometer. The water overlying all five of Zacho's core sites became undersaturated. The resulting dissolution of sea-floor calcite slowly raised the  $\text{CO}_3^-$  content of the water back to its pre-PETM saturated state.

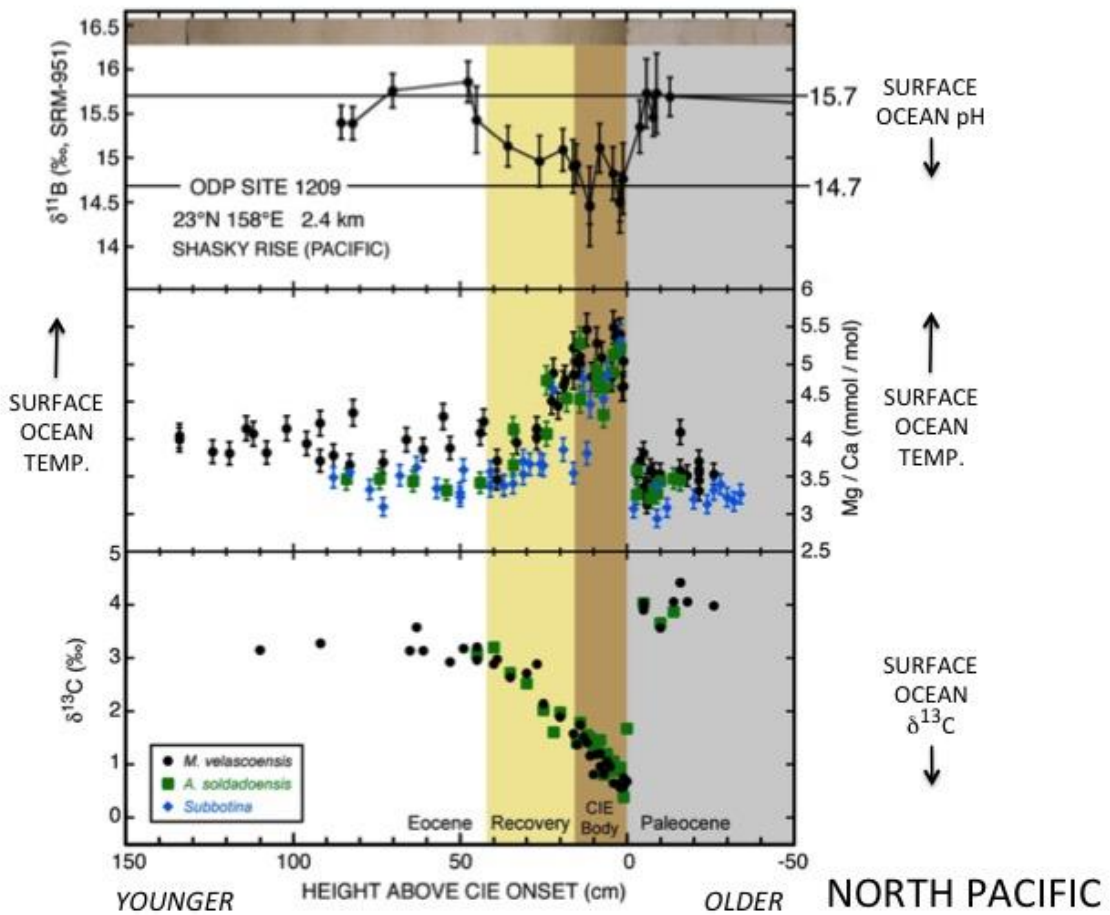


Figure 4-10. PETM records for  $\delta^{11}\text{B}$ , Mg/Ca and  $\delta^{13}\text{C}$  for a sediment core from the deep Pacific Ocean; all three properties underwent abrupt changes at the Paleocene-Eocene boundary. The drop in  $\delta^{11}\text{B}$  records the decrease in surface ocean pH created by the extra  $\text{CO}_2$ . The sharp rise in Mg to Ca ratio is the result of surface-ocean warming.

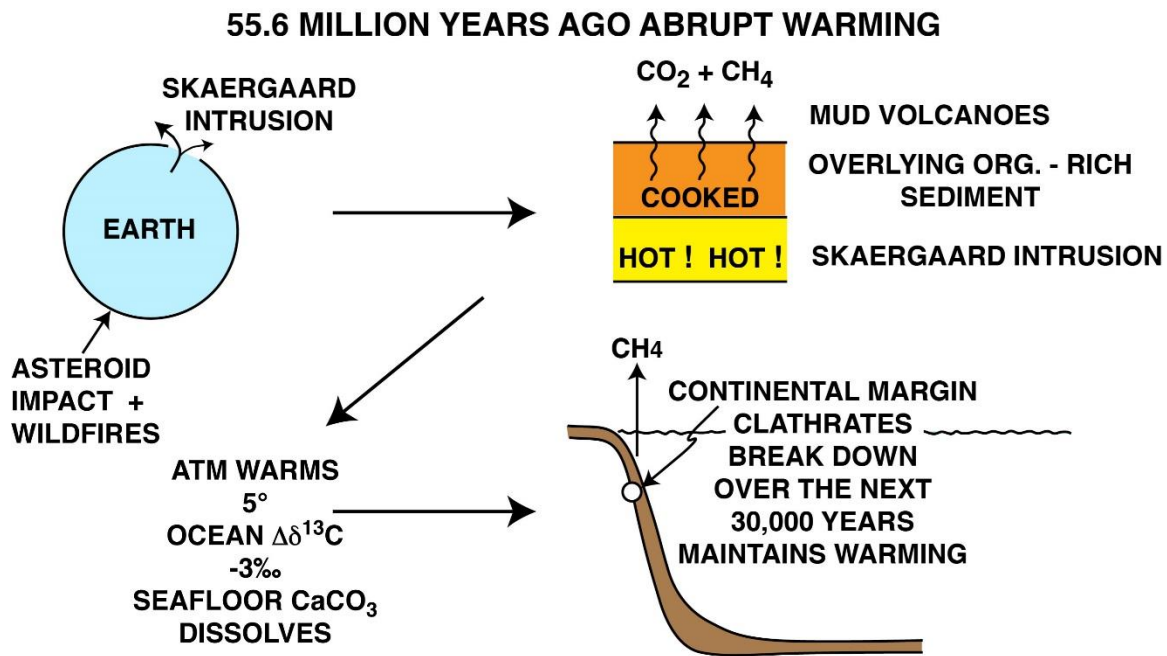


Figure 4-11. A possible scenario linking the impact which produced the tektites to the release of the  $\text{CO}_2$  which generated the PETM warming. It must be kept in mind that  $\text{CH}_4$  released from Norwegian Sea sediments would then, as now, have been rapidly oxidized to produce  $\text{CO}_2$  and  $\text{H}_2\text{O}$ . Of importance to the ongoing production of  $\text{CO}_2$  by fossil fuel burning is whether the warming of the ocean will lead to the breakdown of the methane clathrates present in continental margin sediments. Modeling by Richard Zeebe suggests that the long duration of the PETM warming required such a release.

## Chapter 5

### Glacial Cycles

For the last 800-thousand years we have a precise record of atmosphere CO<sub>2</sub> content. It is based on the analysis of air trapped in Antarctic ice. During the sintering process which converts snow into ice, air is trapped in small bubbles. They occupy about 10 percent of the volume. The trapped air is pristine. It preserves the original composition including that for the trace gases CO<sub>2</sub> and CH<sub>4</sub>.

As shown in Figure 5-1, this CO<sub>2</sub> record is similar to that for Antarctic air temperature. This led Al Gore in his movie “An Inconvenient Truth” to claim that changes in atmospheric CO<sub>2</sub> content drove glaciation. Based on this he concluded that the CO<sub>2</sub> produced by burning fossil fuels would certainly have important climatic consequences. However, as correlation need not involve causation, a closer look must be taken before accepting this claim. Although CO<sub>2</sub> is the obvious candidate, it is not the only one. Others must be considered including changes in summer insolation driven by cycles in the Earth’s orbit, variations in atmosphere’s dustiness and reorganizations of the ocean’s thermohaline circulation.

The elements of the Earth’s orbit are precession, ellipticity and axial tilt. Of importance here is that the Earth’s precession which gives rise to a 20-kyr cycle that produces summer insolation changes in the Southern Hemisphere that are antiphased with those in the Northern Hemisphere. The reason is that when the Southern Hemisphere is having its summers closest to the Sun, the Northern Hemisphere is having them when it is furthest from the Sun and vice versa (see Figure 5-2). This antiphasing of distance seasonality provides a way to distinguish between the influences of summer insolation and CO<sub>2</sub>. Were it CO<sub>2</sub>, then glaciation in the Southern Hemisphere should be synchronous with that in the Northern Hemisphere. On the other hand, were it summer insolation, they should be antiphased.

Where put together with variations in the Earth’s tilt and eccentricity, these motions generate a complex record of summer insolation (see Figure 5-3). Even so, the 20-kyr cycle stands out. As can be seen, its amplitude changes following the 100-kyr eccentricity cycle. Although the



tilt seasonality changes are latitude dependent, those associated with distance are not. This accounts for the difference in shape from latitude to latitude.

It has long been clear that the Laurentide Ice Sheet which once covered Canada and the northern part of the USA reached its maximum extent close to 21 kyrs ago. The timing is based on radiocarbon measurements on material associated with the outermost moraines in Ohio, Indiana and Illinois. This is also the time when sea level stood at its lowest level. As the Antarctic ice sheet did not change very much in size, sea level records are dominated by the size of the great northern ice sheets.

Following up on a single radiocarbon age obtained by John Mercer which suggested that mountain glaciers in the southern Andes reached their maximum extent at the same time as the North American ice sheet, George Denton decided to do a thorough job of establishing the chronology for the Southern Hemisphere mountain glaciation. He started by collecting samples for radiocarbon dating from peats associated with glacial moraines in Chile. Then, joining forces with Joerg Schaefer, he obtained a host of  $^{10}\text{Be}$  exposure ages on massive boulders from the outermost moraines located in the eastern side of New Zealand's Alps. Once the job has been completed, no doubt remained that in both Chile and New Zealand glaciers stood at their maximum extent about 21 kyrs ago. So Mercer had it right, there wasn't a half-precession cycle offset between the hemispheres.

Ages for the outermost last glacial mountain moraines have now been established at 10 widely spread locales (see Figure 5-4). For each the answer comes back  $21 \pm 1$  kyr. As shown by Meredith Kelly, this includes equatorial East Africa. As the minimum in atmospheric  $\text{CO}_2$  also occurred at this time, these results appeared to confirm that Gore was correct in his judgement.  $\text{CO}_2$  was more important than summer insolation.

The Denton group also established that the rapid demise of New Zealand's mountain glaciers which commenced 18 kyrs ago broadly paralleled the rise in atmospheric  $\text{CO}_2$  content (see Figure 5-5). I say, 'broadly' because both the rate of retreat of the glaciers and the rate of rise in Antarctic air temperature appear to have outstripped the  $\text{CO}_2$  rise.

Additional evidence in support of the role of CO<sub>2</sub> comes from a detailed study made by Aaron Putnam on the historic retreat of New Zealand's Campbell Glacier. As shown in Figure 5-6, he found a match between the rate of this retreat and the CO<sub>2</sub> content of the atmosphere. Further, the extent of the retreat following the Little Ice Age requires a warming of about 0.8°C in agreement with that recorded by thermometers.

But it turns out that CO<sub>2</sub> cannot have been the only player in glacial cycles. In particular, it did not initiate each 100-kyr cycle. Evidence for this comes from the record kept in Antarctic ice. As can be seen in Figure 5-1, the width of the interglacial CO<sub>2</sub> peak centered at 125 kyrs is larger than that for Antarctic air temperature. So either the temperature rise at the onset of the interglacial lagged the CO<sub>2</sub> rise or the CO<sub>2</sub> drop at its end lagged the temperature drop. The choice between these scenarios proved elusive. The reason is that the CO<sub>2</sub> record kept in bubbles is displaced up core from that for air temperature kept in ice. The reason is that bubbles closure occurs at 70 or so meters deep in the firm. As the cores from the Antarctic interior which provide these long records accumulate at only two or so cm per year, the bubbles are several thousand years younger than the ice which contains them. Further, the actual age difference has a large uncertainty. Because of this the answer has to come from ice cores taken at the margin of the Antarctic ice sheet where accumulation rates are ten times higher and the ice age – gas age difference is correspondingly far smaller. These cores made it clear that for the last deglaciation which started 18 kyrs ago, there was no significant lag between CO<sub>2</sub> and temperature. While it would be comforting to demonstrate this for earlier interglacials, the high accumulation-rate margin cores reach only to the latter part of the penultimate glaciation. Based on these records, it appears that the CO<sub>2</sub> decline at the end of the peak interglacial lagged the temperature decline by several thousand years. If so, something other than CO<sub>2</sub> triggered the onset of glacial cycles. I believe that the 'something' was a reorganization of the ocean's thermohaline circulation.

The tipoff that the ocean's circulation is subject to global-scale reorganizations came from the record kept in Greenland's ice (see Figure 5-7). During the last glacial period, the <sup>18</sup>O to <sup>16</sup>O ratio in the ice underwent a series of abrupt back and forth jumps. These jumps were accompanied

by abrupt changes in both the dust content of the ice and the methane content of the air bubbles. These shifts were caused by abrupt changes in the amount of deep water produced in the northern Atlantic. When lower than now, the winter sea ice cover expanded from the Arctic into the northern Atlantic. The resulting cooling of the northern polar cap caused a freeze-up of the marshes and ponds responsible for the production of a sizable fraction of the Earth's methane. It also increased the pole to equator temperature gradient and hence the atmosphere's gustiness. Extra gustiness led to extra dustiness.

Of particular interest here is the very large  $^{18}\text{O}$  to  $^{16}\text{O}$  shift which took place at the end of the last glacial period (i.e., 11.4 kyrs ago). It is twice as large as the other jumps. The smaller jumps in  $^{18}\text{O}$  correspond to temperature changes of 8 to 10°C. The large jump of 11.4 kyrs in age corresponded to a 20°C or so warming. The reason is that deep water production in the northern Atlantic jumped from its glacial low to its interglacial high.

It should be mentioned that the methane record in ice cores allows the air temperature record for Antarctica to be tightly correlated with that for Greenland. It turns out that the abrupt events in Greenland have correlatives in Antarctica. Sharp warmings in the north are followed by slow coolings in the south and vice versa. Further, taken together, the  $\text{CH}_4$  correlation and annual layer counting demonstrate that there was a 200-year delay between the sharp warming in the north and the onset of the cooling in the south. The delay suggests that the message was carried to the south by slow transport through the ocean rather than by rapid transport through the atmosphere. In today's ocean, deep waters formed in the north Atlantic take about 200 years to reach the Southern Ocean.

Comparison of the benthic  $^{18}\text{O}$  record with the sea level record for the last interglacial (i.e., 130 to 75 kyrs) makes clear that deep sea temperature changes accompanied those of sea level (see Figure 5-8). Note the amplitude of the  $^{18}\text{O}$  peak at 124 kyrs is about twice that for sea level. This extra magnitude suggests that the deep ocean warmed by 2°C or so at the abrupt onset of deglaciation and cooled by 2°C or so at the end of the peak interglacial. The conclusion is that the

cooling which initiated the last glacial period was primarily the result of the reorganization of the ocean's thermohaline circulation. CO<sub>2</sub> had no role!

CO<sub>2</sub> and thermohaline circulation are not the only drivers of glaciation. This can be seen by comparing the CO<sub>2</sub> record for the last glacial cycle with that for sea level (see Figure 5-8). An important difference is seen at 103 kyrs. The peak in sea level at this time has no correlative in the CO<sub>2</sub> record. But there is a peak in summer insolation at 103 kyrs (see Figure 5-3), this suggests that summer insolation modulated the size of the Northern Hemisphere's ice sheets. Another difference is that the small bumps in CO<sub>2</sub> content between 60 to 30 kyrs ago are not present in the benthic <sup>18</sup>O record. This suggests that each Heinrich ice armada somehow impacted the atmosphere's CO<sub>2</sub> content. So, while Gore was correct that CO<sub>2</sub> played a major role in glaciation, it did not act alone.

But what caused the atmosphere's CO<sub>2</sub> content to change? Five contributors can be identified.

- 1) Surface-ocean cooling: The partial pressure of CO<sub>2</sub> drops by 10 μatm for each °C of cooling. At the time of the glacier maximum, the surface ocean was 4 ± 1°C colder than now. Hence it reduced pCO<sub>2</sub> by 40 ± 10 μatm.
- 2) Surface ocean salinification: At the time of the glacial maximum, sea level was about 120 meters lower than now. As the salt remained behind, the ocean's salinity was about three percent higher. This raised the pCO<sub>2</sub> of surface water by 10 μatm.
- 3) Reduction of terrestrial biomass: Measurements of the δ<sup>13</sup>C in benthic foraminifera suggest that at the time of the glacial maximum the δ<sup>13</sup>C of dissolved inorganic carbon was 0.3 per mil lower than now. If the decrease is attributed to the reduction of terrestrial biomass, then the glacial ocean must have contained about 500 more gigatons of carbon than it does now. Once this extra CO<sub>2</sub> had been buffered by the dissolution of CaCO<sub>3</sub> in deep sea sediments, it would have increased the atmosphere's CO<sub>2</sub> by about 15 μatm.
- 4) Increased storage of respiration CO<sub>2</sub> in the ocean's interior: This led to a decrease of CO<sub>2</sub> in surface water and hence also in the atmosphere. This increase appears to have been

driven by a combination of Southern Ocean iron fertilization and stratification of the deep sea. However, the magnitude of the extra CO<sub>2</sub> storage remains uncertain.

- 5) Pulsing of the input of CO<sub>2</sub> from the Earth's interior: Ice loading of the continents suppressed eruptions of terrestrial volcanoes. But the drop in sea level could also have modulated the release of CO<sub>2</sub> from the ridge crests. Hence the magnitude of this reduction in CO<sub>2</sub> input remains uncertain.

Taken together, the pCO<sub>2</sub> changes resulting from deforestation, cooling and salinification account for a 15±10 μatm CO<sub>2</sub> reduction. If so, the remaining 80 μatm must have been the result of some combination of deep ocean storage and input starvation. Although both storage in the deep sea and reduction of planetary 'leakage' contributed, it is as yet not possible to quantify either one. In the case of storage in the deep sea, we do know that nutrient utilization in the Southern Ocean increased (see Figure 5-9) and that, at least at times, the deep Atlantic was stratified (see Figure 5-10). In the case of the input of planetary CO<sub>2</sub>, we do know that terrestrial volcanoes adjacent to ice masses shutdown during peak glacial time and that they were rejuvenated between 13.5 kyrs and 7.5 kyrs (see Figure 5-11). We also know that the release of iron and manganese from ridge crests appeared to have peaked during times of deglaciation but have no confirmation that the release of CO<sub>2</sub> accompanied that of Fe and Mn (see Figure 5-12).

The records of temperature, sea level and dust make it clear that the planet's orbital cycles somehow pace climate cycles. The reason is that each of these records has a clear 20-kyr component. Further, the termination of each 100-kyr sawtooth occurs at the time of a pronounced increase in Northern Hemisphere summer insolation (see Figure 5-3). However these records have a common feature not shown by insolation, namely a 90-kyr-duration ramp. This ramp is also seen in the atmospheric CO<sub>2</sub> content record. Of interest therefore is a climate record which lacks this ramp. It is the strength of monsoon rainfall (see Figure 5-13). In cold regions where temperature dominates, there is a pronounced ramp. In the tropics where rainfall dominates there is no ramp. I say dominates because precipitation changes occur in cold regions and temperature changes occur in the tropics.

One other difference between cold region and tropical records must be mentioned. Although cold region temperature changes are synchronous in the two hemispheres, tropical precipitation changes are antiphased. This suggests that CO<sub>2</sub> is most important in setting Earth temperature and that summer insolation is most important in setting precipitation. This being the case, as our interest lies in CO<sub>2</sub>, the question is, how do orbital cycles pace their atmospheric content?

It is my guess that a reorganization of the ocean's thermohaline circulation kicked off each glacial cycle. It cooled the Earth initiating the expansion of its ice sheets. The weight of this ice shut down volcanoes reducing the input of CO<sub>2</sub> and creating a ramp-like decrease in atmospheric CO<sub>2</sub>. Northern Hemisphere's summer insolation modulated this decline. The abrupt increases and decreases of polar sea-ice extent changed the pole to equator temperature gradient and hence iron delivery to the ocean

Of course there are a number of alternate scenarios which could explain these records. The point is not that the one presented here is the correct one but that the situation is complex. Not only CO<sub>2</sub> but also summer insolation, ocean circulation, atmospheric dustiness, and the redistribution of mass on the planet's surface were involved.

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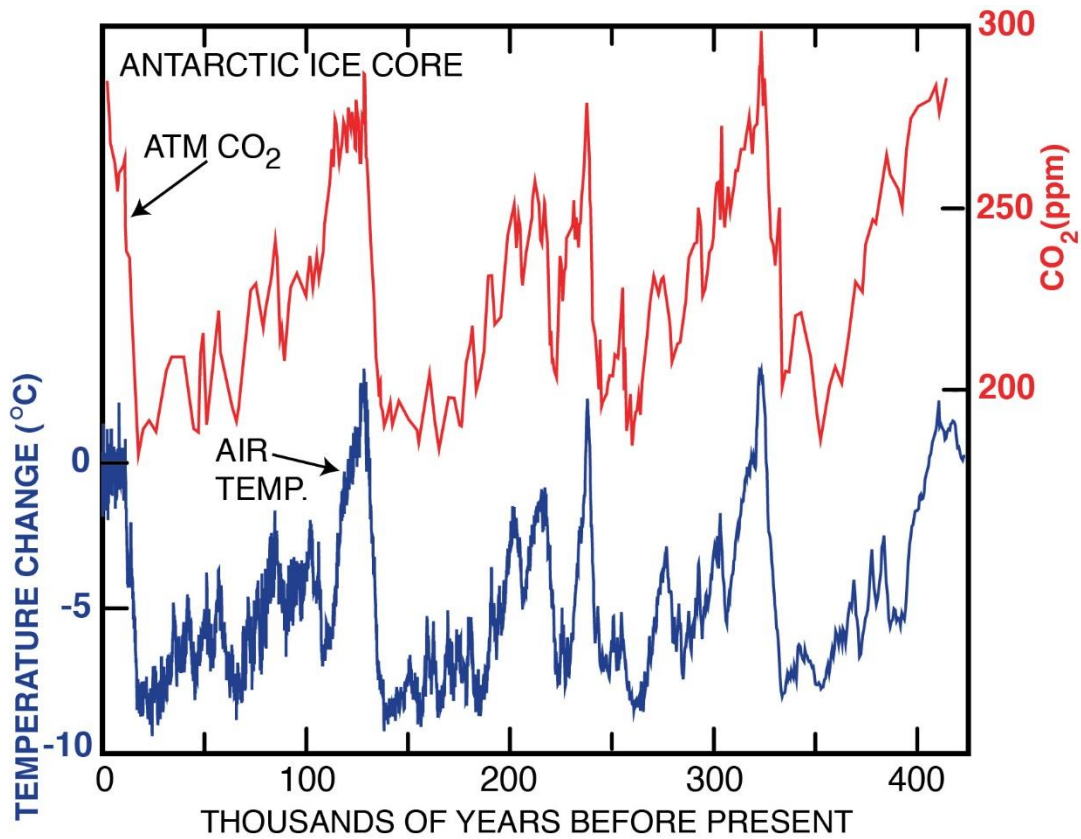


Figure 5-1. Record for the last 420 kyrs of the CO<sub>2</sub> content of air trapped in Antarctic ice and of the extent of Antarctic cooling (based on the <sup>18</sup>O to <sup>16</sup>O in ice). Note that while similar in shape, the match between Antarctic cooling and CO<sub>2</sub> is not perfect. For example, the interglacial CO<sub>2</sub> peaks are wider than those for air temperature.

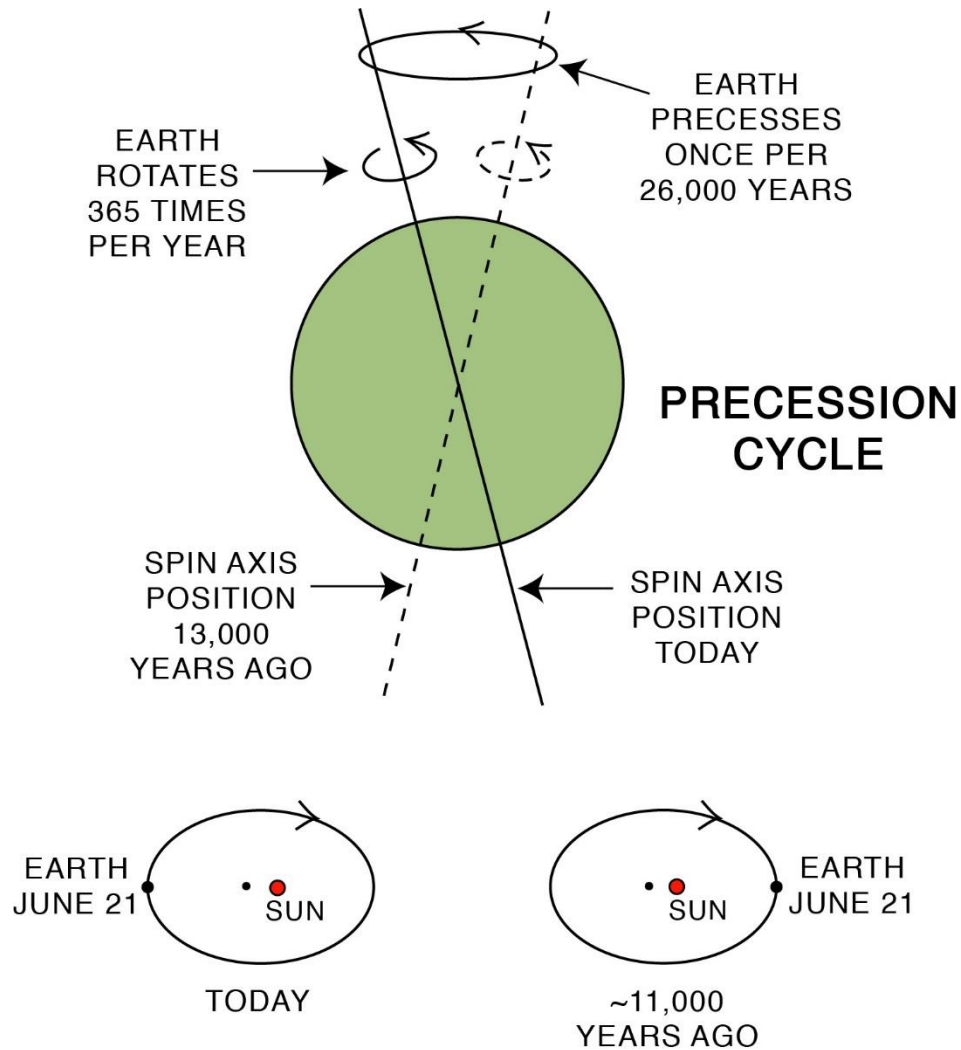
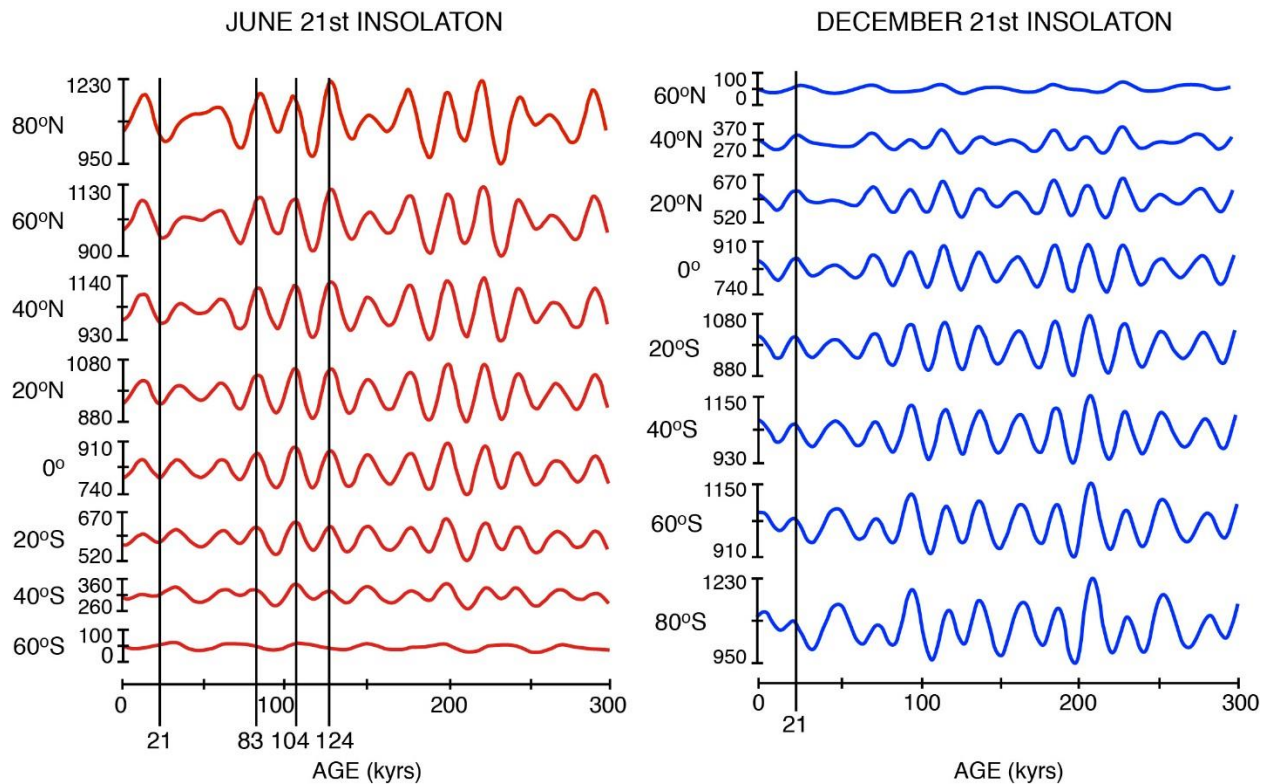


Figure 5-2. The gravitational pull of the moon on the Earth's equatorial bulge causes our planet to precess. It does so once every 26 kyrs. As the Sun occupies one of the foci of the Earth's elliptical orbit, precession creates a seasonal distance cycle. Further, it generates an out-of-phase relationship between summer insolation in the Northern and Southern Hemispheres. Note that time between the peak of summer insolation in the north and that in the south is 11 kyrs rather than 13 kyrs. The reason is that the Earth's orbit 'hula-hoops' around the Sun shortening the repeat times.



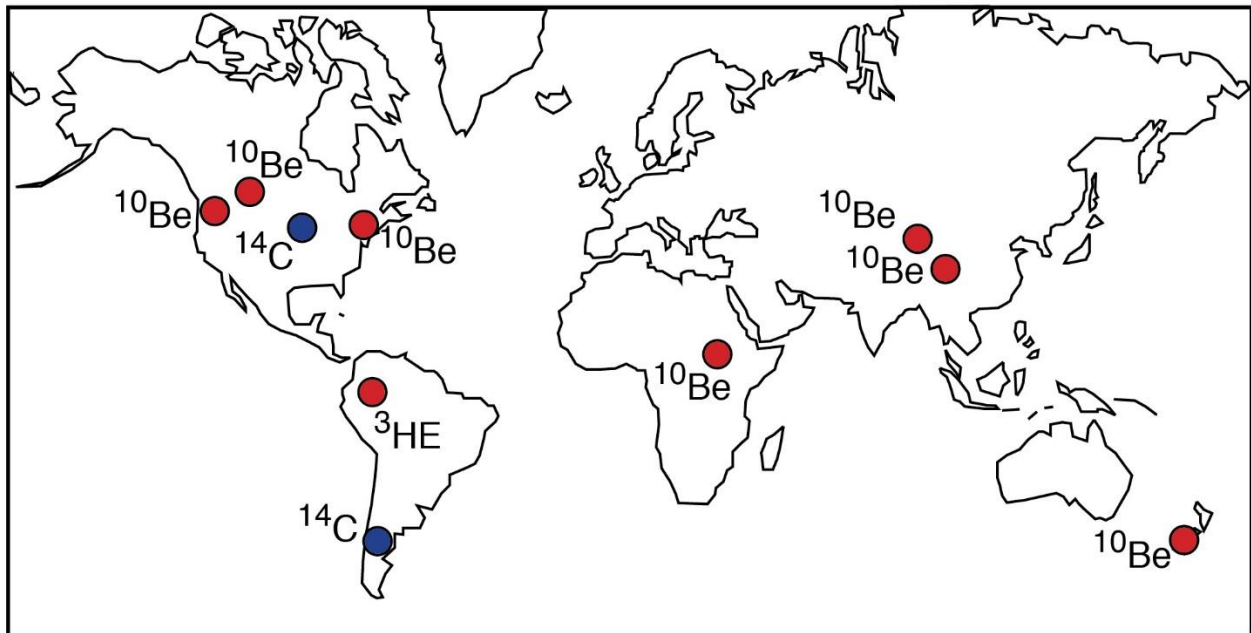
MILUTIN MILANKOVITCH



JOHN IMBRIE

Figure 5-3. Summer insolation changes at various latitudes. Northern summer is on the left and southern summer is on the right. Note the prominence of the 21-kyr precision cycle and also its antiphasing between the hemispheres. Also note that insolation has no ramp. Finally, note the summer insolation peak at 103 kyrs in the Northern Hemisphere. Curiously it shows up in the sea level and monsoon records but not in those for CO<sub>2</sub> and iron and manganese release from the ridge crests. Milankovitch was the first to make these calculations. Imbrie was the first to show that orbital frequencies showed up in climate records.

## PLACES WHERE THE AGE OF THE LGM HAS BEEN DOCUMENTED



SAME EVERYWHERE 23 TO 18 KYRS AGO

Figure 5-4. Locations where the time of the maximum extent of mountain glaciation has been precisely determined. Note that these ages were obtained using three cosmogenic isotopes:  $^{14}\text{C}$ ,  $^{10}\text{Be}$  and  $^3\text{He}$ . The planet-wide synchrony of these advances suggest that they were driven by  $\text{CO}_2$  rather than summer insolation.

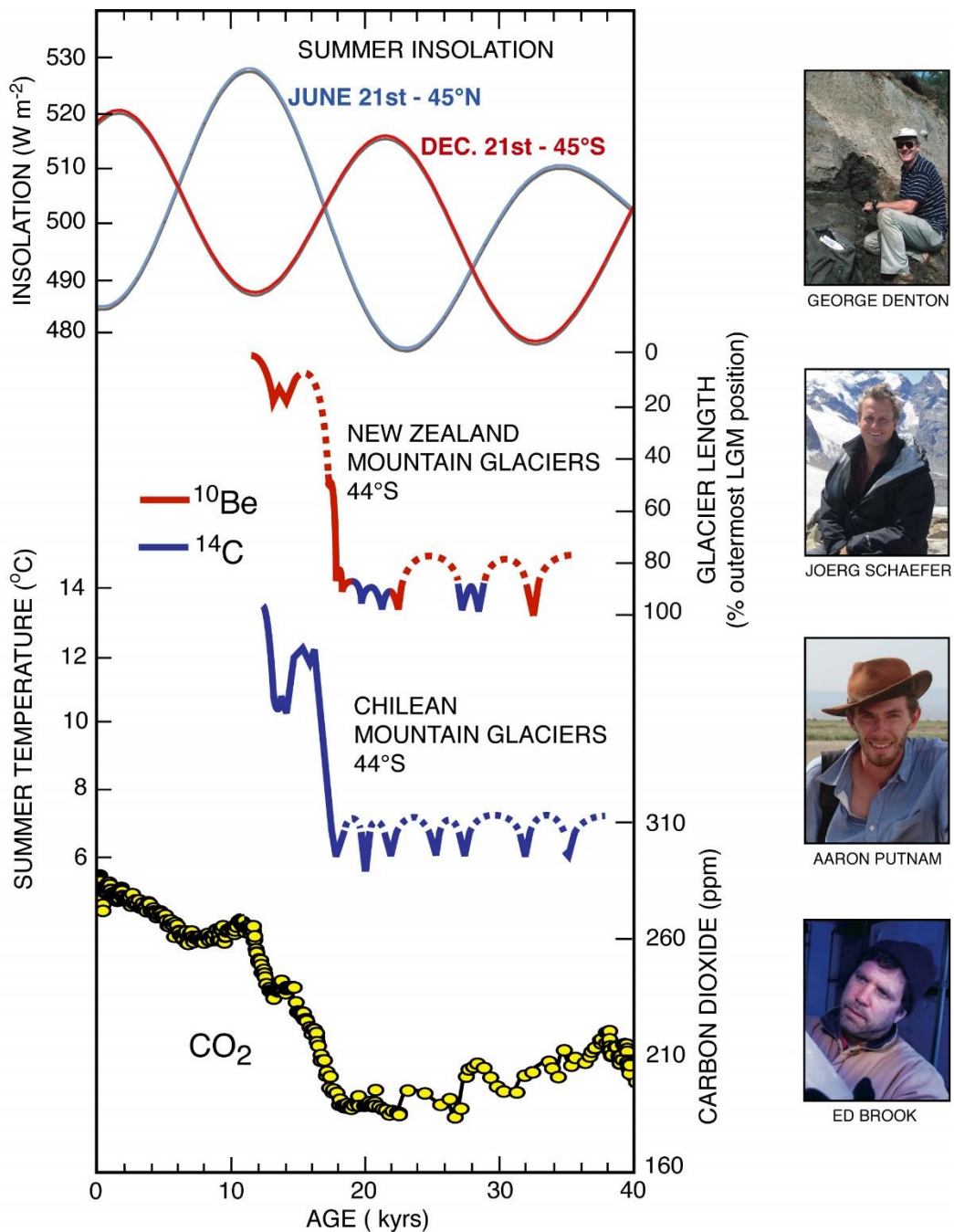


Figure 5-5. The maximum extent of mountain glaciers in the Southern Hemisphere occurred at the time of a summer insolation maximum. This suggests that these glaciers responded to CO<sub>2</sub> rather than summer insolation. Note that the retreat of these glaciers commenced at the same time as CO<sub>2</sub> started to increase (i.e., 18 kyrs ago). Also note that this retreat was steeper than the rise in CO<sub>2</sub>. The ‘heroes’ of this effort are shown on the right. Ed Brook is included because he is responsible for the very precise CO<sub>2</sub> record.

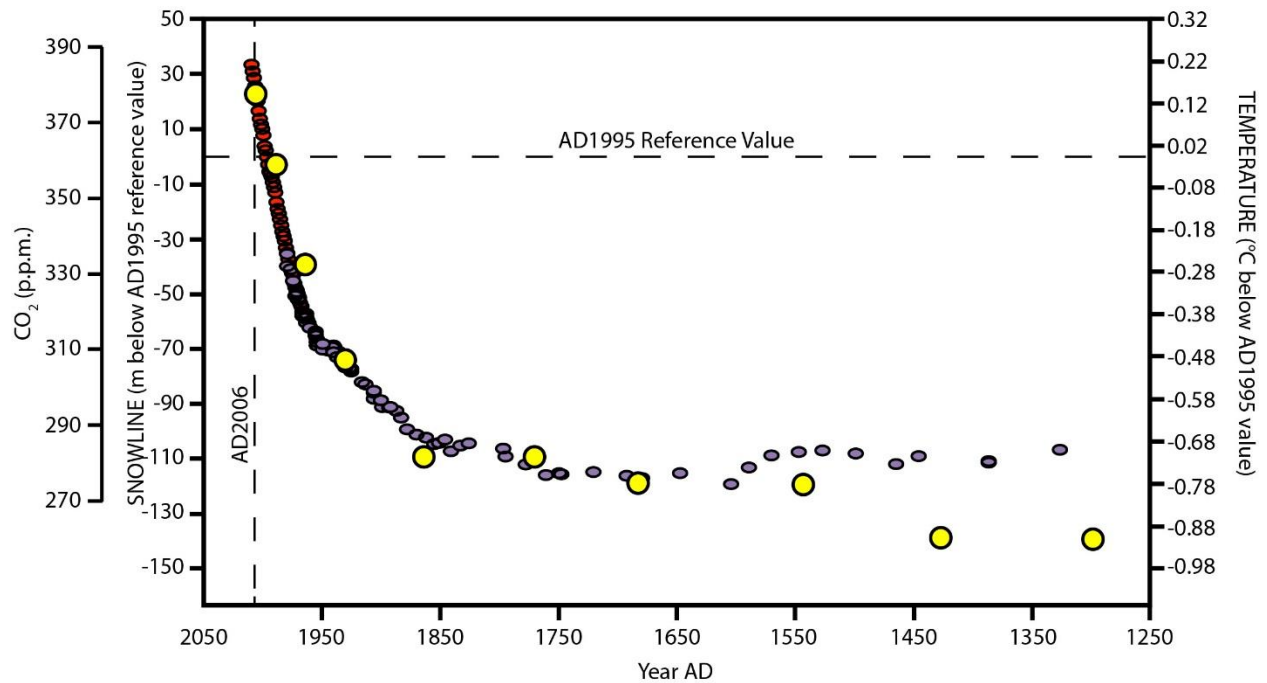


Figure 5-6. Snowline elevation for the last 800 years as recorded by New Zealand’s Campbell Glacier (yellow dots). Also shown is the atmosphere’s CO<sub>2</sub> content (red dots). The nearly perfect match in shape provides evidence that CO<sub>2</sub> drives summer warming and hence the extent of glaciers. Further, the warming required to produce this rise in snowline (~0.8°C) matches that recorded by thermometers. Note that this does not mean that other forcings are unimportant. Rather, none were in play during the last several hundred years.

## GREENLAND ICE CORE RECORD PROXY FOR AIR TEMPERATURE

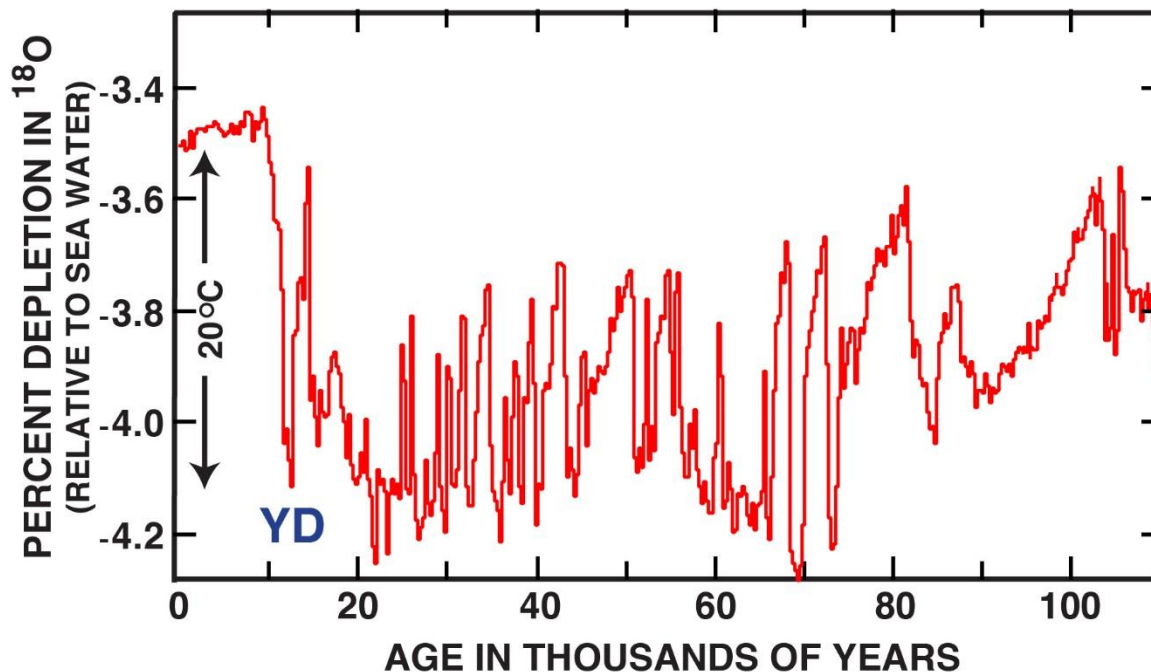


Figure 5-7. The  $^{18}\text{O}$  to  $^{16}\text{O}$  record kept in Greenland ice serves as a stand-in for air temperature. Important here are the abrupt back and forth jumps which characterize the last glacial period. They record 8 to 10°C up and down jumps in air temperature caused by the sudden expansions and retractions of the winter sea-ice cover in the northern Atlantic. The sea-ice cover expanded when the production of deep water in the northern Atlantic was reduced.

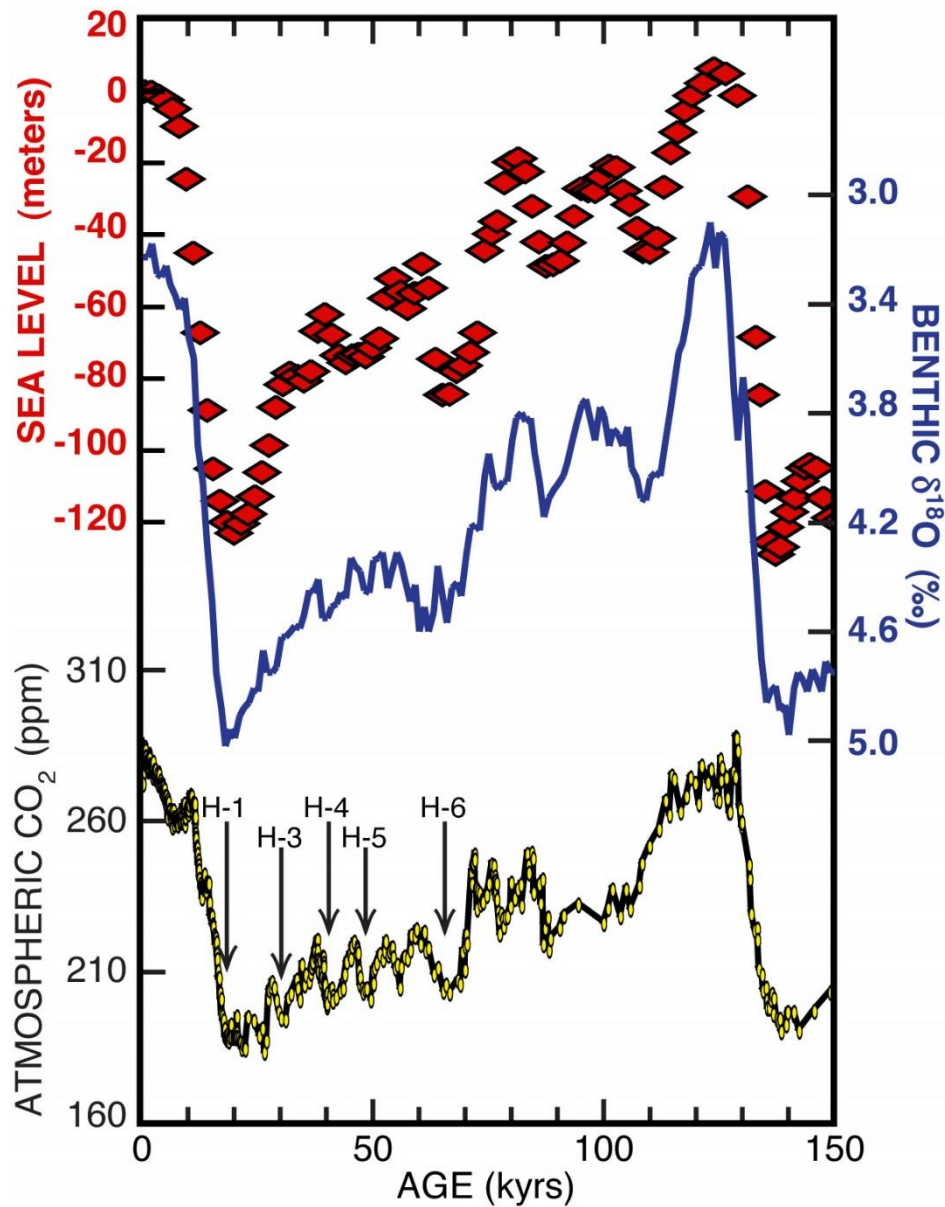


Figure 5-8. Comparison of the records of sea level, benthic foraminifera  $\delta^{18}\text{O}$  and  $\text{CO}_2$ . Although all three have downward ramps which are terminated by abrupt warmings, they differ in detail (a broader interglacial  $\text{CO}_2$  peak, an extra high interglacial benthic  $\delta^{18}\text{O}$  peak, the absence of a 103-kyr  $\text{CO}_2$  peak and distinct bumps in the  $\text{CO}_2$  record associated with Heinrich events).



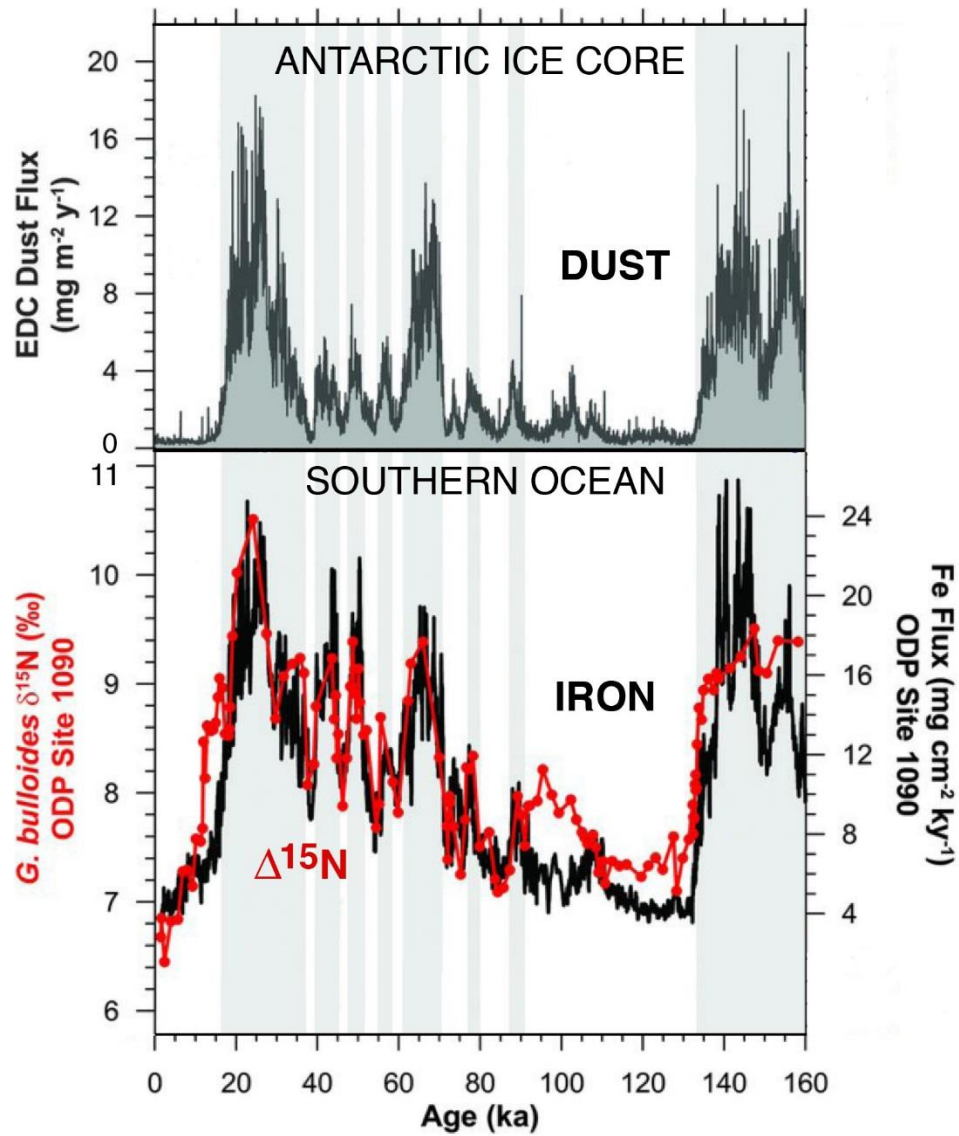


Figure 5-9. Records for the last 160 kyrs of the dust rain onto the Antarctic ice cap and of iron accumulation in the Southern Ocean. Also shown is the record of the <sup>15</sup>N to <sup>14</sup>N ratio of NO<sub>3</sub> in foraminifera shells obtained by Daniel Sigman. This ratio serves as a proxy for nutrient utilization. The larger the δ<sup>15</sup>N, the greater its extent. Clearly iron fertilization drove nutrient utilization in the Southern Ocean. Further, the extra iron was delivered by dust.

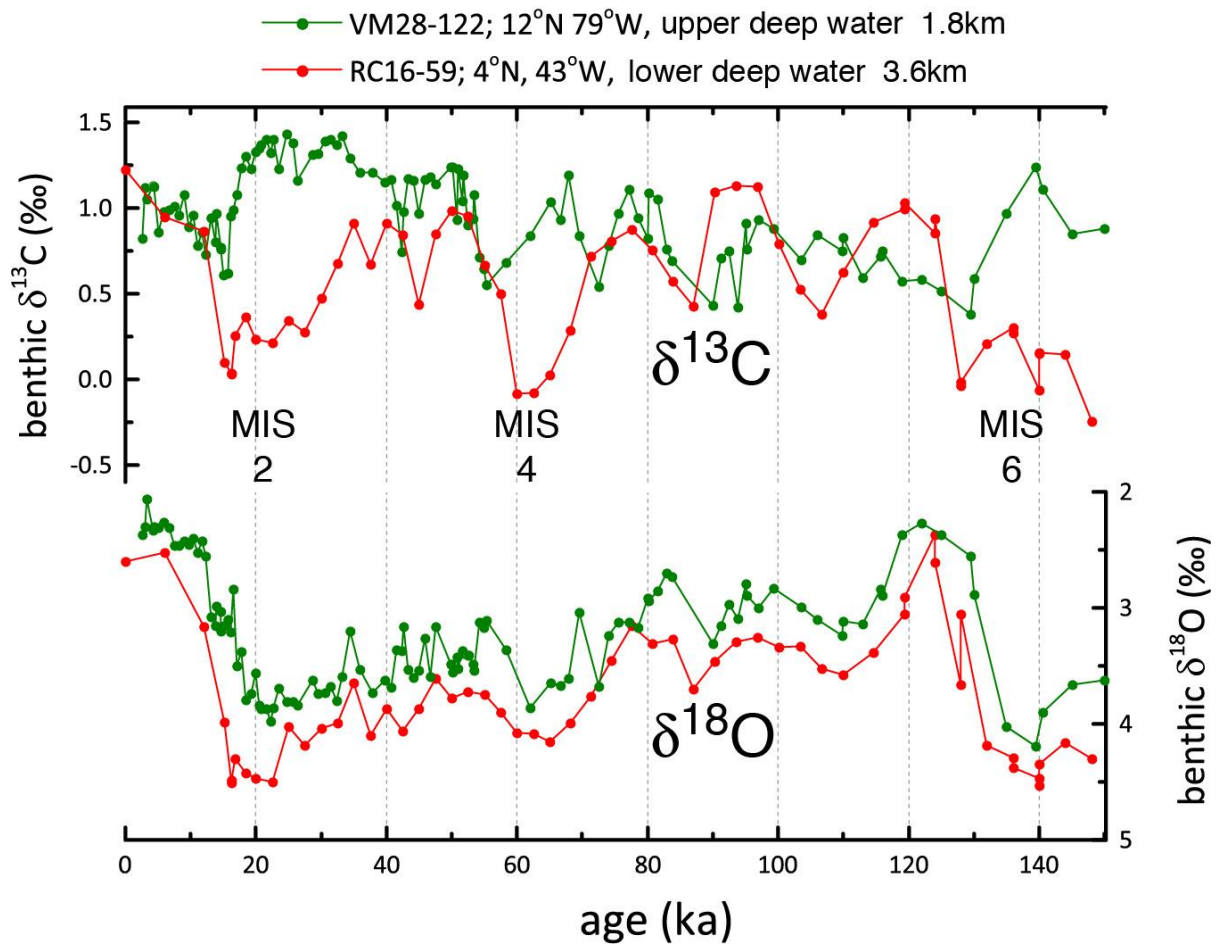
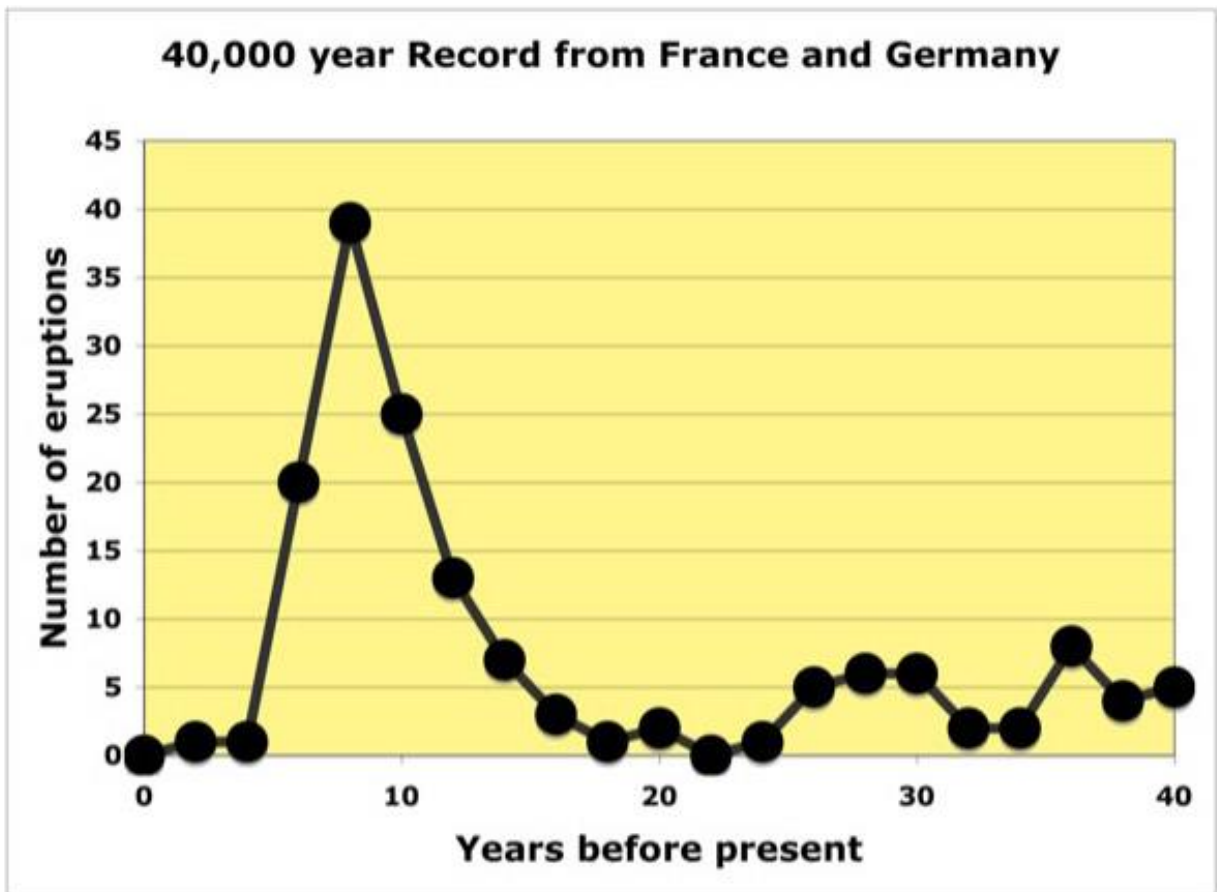


Figure 5-10. Records obtained by Jimmin Yu of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  for benthic foraminifera shells from two tropical Atlantic sediment cores. One records the water spilling over a 1.8-km depth sill into the deep Caribbean Sea and the other water at a depth of 3.6 km on the Ceara Rise. The  $^{18}\text{O}$  record allows a time scale to be placed on these  $\delta^{13}\text{C}$  records. The variations in  $\delta^{13}\text{C}$  serve as a proxy for respiration  $\text{CO}_2$ . As can be seen, during marine isotope stages 2, 4 and 6, the equatorial Atlantic was strongly stratified. There was more respiration  $\text{CO}_2$  than now at 3.6 km and less at 1.8 km. Note that while the  $^{18}\text{O}$  record has a distinct ramp, the  $^{13}\text{C}$  does not.



Data from Nowell, Jones & Pyle 2006

Figure 5-11. Number of volcanic ashes in each 2-kyr-interval back to 40 kyrs (Nowell, Jones and Pyle, 2006). Note that between 24 kyrs and 18 kyrs (i.e., the LGM), there were very few. Then during the time of deglaciation and the early Holocene there were many. During the last 4 kyrs, there have been only 2. The volcanoes which produced these ash layers were not overlain by ice. Rather they are located north of the Alps and south of the Scandinavian ice sheet.

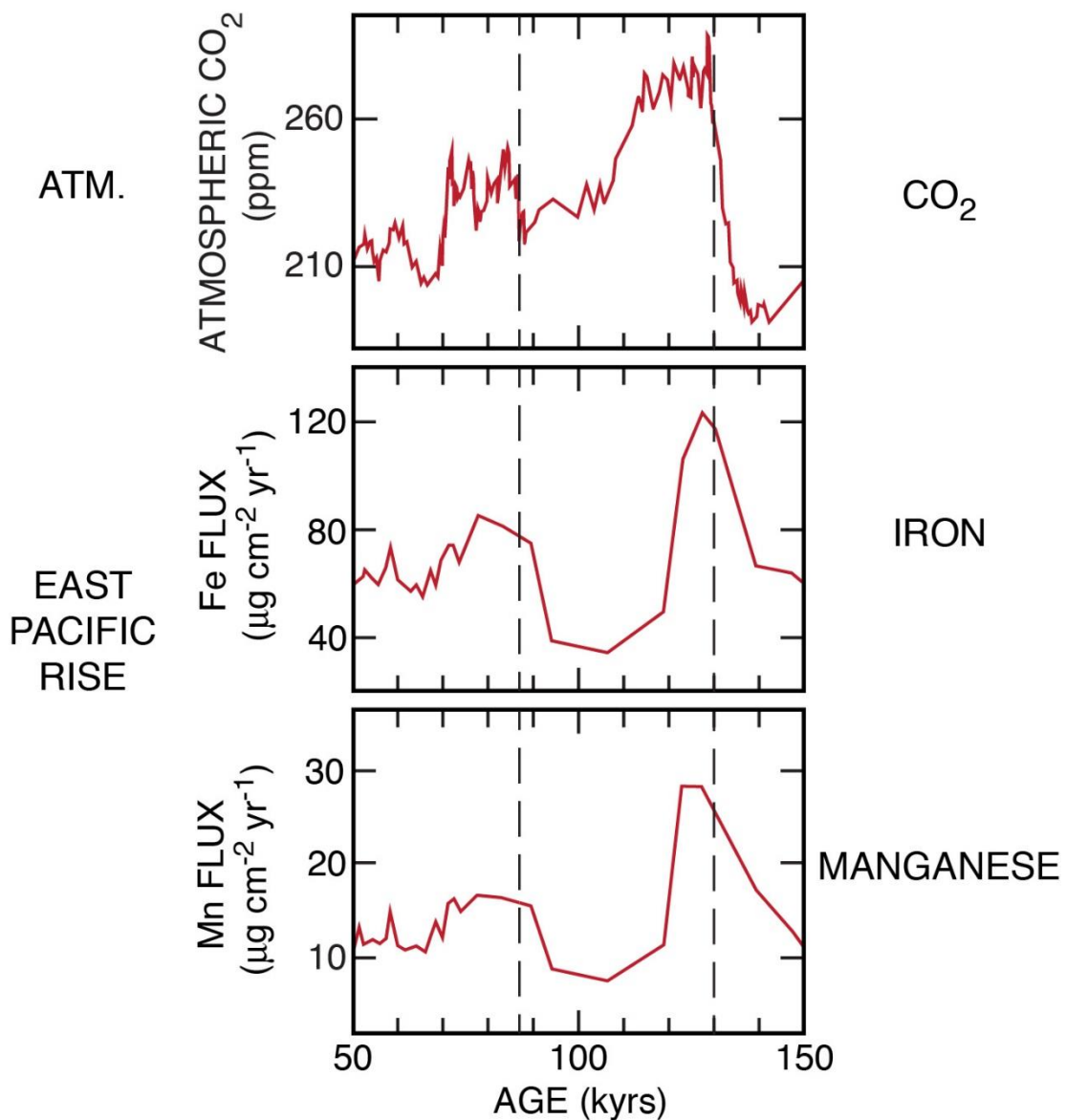
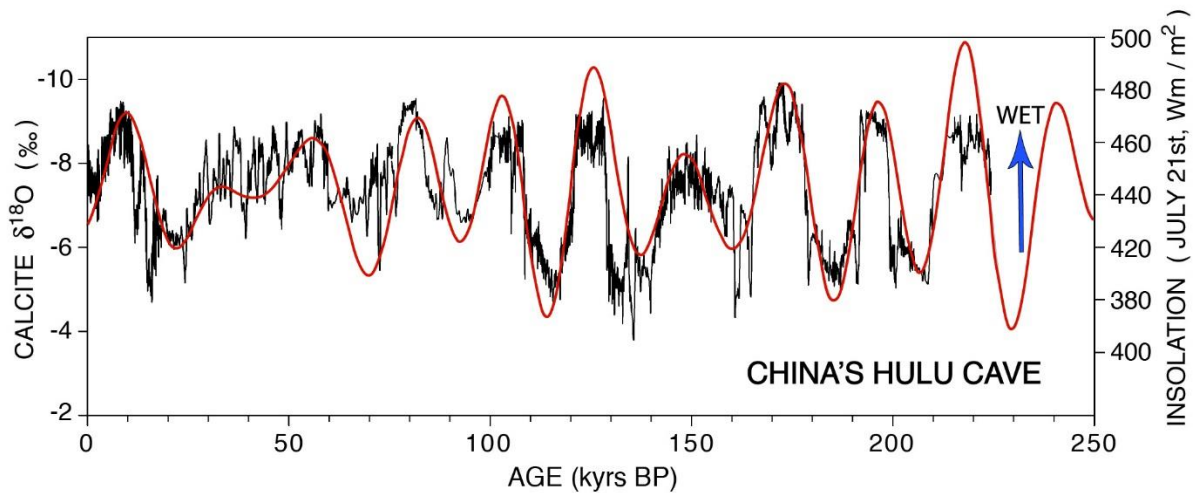


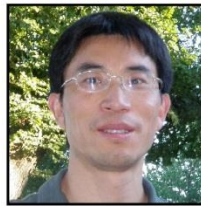
Figure 5-12. During the time of the peak interglacial which took place between 135-120 kyrs, the concentration of iron and manganese emanating from the East Pacific Rise jumped up by a factor of about three. Then at the time of the 103-kyr sea level maximum, it had dropped to its base level. This was followed by a smaller increase centered at about 80 kyrs. Not shown here is that a similar increase occurred during the time period 18 and 7 kyrs. Although there is no direct evidence that CO<sub>2</sub> accompanies Fe and Mn, were that to be the case, it would suggest that CO<sub>2</sub> release planet-wide was higher during times of deglaciation.



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Figure 5-13. The  $^{18}\text{O}$  record for Hulu cave stalagmites is thought to record the strength of monsoonal rainfall in China. As can be seen, it follows summer insolation, deficient  $^{18}\text{O}$ -strong monsoons. The use of  $^{18}\text{O}$  to  $^{16}\text{O}$  ratios in stalagmites was pioneered by Larry Edwards who demonstrated that using  $^{230}\text{Th}$  they could be dated with very high precision. His work on Chinese caves was done in cooperation with Hai Cheng and Xianfeng Wang. Important here is that this record lacks a ramp. Rather, it has a close resemblance to Northern Hemisphere summer insolation.

## Chapter 6

### Fossil Fuel CO<sub>2</sub>

Many of the questions regarding the role of CO<sub>2</sub> in past climate changes are about to be answered. The reason is that we are documenting the changes brought about by the CO<sub>2</sub> produced by the burning of coal, petroleum and natural gas. The late Roger Revelle called it “man’s greatest geophysical experiment.” And that it is, for our ability to accurately predict its consequences remains marginal. We know that mountain glaciers are retreating, that Arctic sea ice is thinning and that Greenland’s outlet glaciers are retreating, but the uncertainties in the predictions of how rapidly sea level will rise remain extremely large. We know that coral reefs are being bleached. We also know that most of them recover. However, as the ocean warms, the spacing between these events is likely to become shorter than the recovery time. Then what? High northern latitudes are warming several times faster than high southern latitudes. As a consequence, the Earth’s rain belts are being pushed to the north. We suspect that this will dry out the western U.S. but we don’t have the ability to say by how much. And the list goes on. By how much will extreme climate events intensify? Can we save species threatened by extinction? Can we cope with climate-induced migration of every living thing (including us)?

Although our ability to predict the rate at which sea level will rise remains inadequate, we do have some indication that if we don’t remove the excess CO<sub>2</sub> from the atmosphere, that on the time scale of a century or two, a several-meter rise could occur. One of these is that during the last interglacial, sea level appears to have stood 5 to 8 meters higher than today. Another, based on the presence of cosmogenic isotopes beneath the Greenland ice sheet, is that at least once in the last 400,000 years Greenland was ice free. Still another is that part of the West Antarctic ice sheet which is afloat is vulnerable to collapse. The big problem in applying these observations to our situation is the time scale. Our understanding of how large ice sheets respond to warming is not good enough to say anything useful about how long it will take. It could be many hundreds of years. However, as a several-meter increase in sea level would imperil much of the world’s infrastructure, it behooves us to take it seriously.

One would hope that Revelle's experiment would better constrain the relationship between CO<sub>2</sub> content of the atmosphere and Earth temperature. We know that since 1950 the Earth has warmed by about 1°C. During this 70-year period, atmospheric CO<sub>2</sub> has risen by 100 ppm (i.e., from 310 to 410 ppm). Unfortunately, this doesn't allow us to reliably predict the temperature change to be brought about as CO<sub>2</sub> continues its rise and eventually levels off. The reason is that our climate system has not yet achieved equilibrium with the increased CO<sub>2</sub> content of the atmosphere. The reason is that the ocean is sucking up heat from the atmosphere holding back its warming. As the ocean is mixed on the time scale of hundreds of years, this transfer of heat from the atmosphere to the ocean will continue for a long time. We know this because only 57 percent of the CO<sub>2</sub> we have produced by fossil fuel burning is present in the atmosphere (see Figure 6-1). Although uncertain, of the 43 percent that has gone elsewhere, about 25 percent is in the ocean (as HCO<sub>3</sub><sup>-</sup> ion). As the main barrier for both CO<sub>2</sub> and heat uptake by the ocean is the rate of mixing, one might guess that about one third of the extra heat has been taken up by the ocean. Hence, if it were not for the ocean, the atmosphere would have warmed by about 1.5°C instead of 1.0°C.

Between 15 and 20 percent of the fossil fuel CO<sub>2</sub> is being taken up by the terrestrial biosphere. We know that this is happening because the O<sub>2</sub> content of the atmosphere is not going down as fast as it is being consumed by fossil fuel burning. The rate of this 'greening' exceeds by a factor of something like three the rate of deforestation. While it must be driven in part by CO<sub>2</sub> and NO<sub>3</sub> fertilization, we lack the ability to quantify either of these drivers. This raised the question regarding long-term storage of carbon in the terrestrial biosphere. We have no way to say whether it will continue to increase or turn around and decrease.

Revelle's experiment is far from over. As of 2018, the CO<sub>2</sub> content of the atmosphere is still going up a bit faster each year. True, the developed nations are making cuts in their fossil fuel consumption but increasing usage in developing countries is more than compensating.

There is a big difference between this CO<sub>2</sub> episode and the others we have discussed. Namely, 'intelligent life' can intervene and alter its course. Unfortunately, despite our intelligence, very little is being done.

On top of the list of things we could do is to ‘retire’ fossil fuels and substitute a mix of solar, wind and nuclear. We are moving in this direction but at a snail’s pace. Last year less than one percent of the fossil fuel energy was replaced by renewables. Experts agree that only when a sizable tax is placed on fossil fuel use will the situation change. But, since a sizable fraction of the voting population are deniers, imposition of such a tax would constitute political suicide.

Another option is to remove CO<sub>2</sub> from the atmosphere and bury it. A few groups are currently carrying out research aimed at creating a removal device and a few others are researching means of storing it. We are currently adding about 35 billion tons of carbon dioxide to the atmosphere each year. Were the removal of an amount of the CO<sub>2</sub> equal to that produced in 2017 accomplished with modular units capable of removing one ton of CO<sub>2</sub> per day, then about one hundred million units would be required. Each module would have the mass and complexity of an automobile. Were they mass produced, each would likely cost something like \$25,000. Hence, a tenth of a billion would cost about 2.5 trillion dollars.

The CO<sub>2</sub> removed from the atmosphere would be stored in either sandstone or in basalt (see Figure 6-2). During the last 20 years, Norway’s Stat Oil has been pumping the CO<sub>2</sub> separated from methane into a sandstone stratum beneath the North Sea. As liquid CO<sub>2</sub> is less dense than H<sub>2</sub>O, it slowly diffuses up through the sandstone’s water-filled pores. This rise is monitored seismically. Calculations suggest that before it reaches the shale which caps the sandstone, it will dissolve in the surrounding pore water. CO<sub>2</sub> charged H<sub>2</sub>O is more dense than H<sub>2</sub>O. Hence it will eventually begin to sink. However, as sandstone contains no cations, the CO<sub>2</sub> will remain forever as a gas.

Storage in basalt has the advantage that CO<sub>2</sub>-acidified water will release Ca, Mg and Fe from the rock. These cations will combine with CO<sub>2</sub> to form carbonate minerals. To make this work, the CO<sub>2</sub> would have to be dissolved in H<sub>2</sub>O at a pressure of 30 or so atmospheres before injection. Such an experiment was conducted in Iceland. In order to constrain the rate at which CO<sub>2</sub> was mineralized, <sup>14</sup>C-tagged CO<sub>2</sub> and SF<sub>6</sub> were added as tracers. As SF<sub>6</sub> is an inert gas, monitoring the ratio of <sup>14</sup>CO<sub>2</sub> to SF<sub>6</sub> allowed the extent of precipitation of carbonate minerals to



be determined. It turned out that after only 100 days, this ratio had dropped by a factor of 10. Good news and bad news. Good news because the CO<sub>2</sub> was reacting with the basalt very rapidly and being locked away in carbonate minerals. Bad news because the carbonate minerals would tend to clog the pore space. As drilling deep wells is expensive, each one would have to accommodate a large amount of CO<sub>2</sub>. Fracking to the rescue?

Caltech's Jess Adkins is promoting a quite different approach to storage. He would use the captured CO<sub>2</sub> to dissolve limestone producing Ca (HCO<sub>3</sub>)<sub>2</sub> which would be dumped into the ocean. Whether this approach is feasible depends on whether Adkins can find a way to efficiently recycle the carbonic anhydrase catalyst. Without it, the reaction between CO<sub>2</sub>-acidified water and limestone is far too slow. But, as carbonic anhydrase is expensive, unless it can be recycled thousands of times, the scheme would not be financially competitive. It should be mentioned that two cubic kilometers of limestone per year would be required in order to match today's production of fossil fuel CO<sub>2</sub>.

As is the case for switching to energy-renewable, progress toward capturing and burying CO<sub>2</sub> is proceeding at a snail's pace. No government is funding research in this area. Venture capitalists view the lead time for recouping their investment as far too long. So far, progress has been fueled largely by private money.

Were the cost of removing and burying the CO<sub>2</sub>, \$100 per ton, then the annual operational cost would be about 3.5 trillion dollars. Were this cost to be shared equally by all 7 billion humans, each person would have to chip in \$700 per year. And this would only keep CO<sub>2</sub> from rising. If a billion such units were put into operation, the CO<sub>2</sub> content of the atmosphere could be brought down by about 20 ppm per year. The cost would be about \$7000 per year per inhabitant.

The very slow progress in retiring fossil fuels and in recapturing CO<sub>2</sub> has focused attention on an option which had previously been considered off limits. It involves compensating for the warming by increasing the Earth's reflectivity. As first pointed out by Budyko, a Russian meteorologist, this could be done by adding sulfur dioxide to the stratosphere. Based on observations of the fate of SO<sub>2</sub> added to the stratosphere by large volcanic eruptions, we know that

on the time scale of weeks, stratospheric  $\text{SO}_2$  is oxidized forming  $\text{H}_2\text{SO}_4$  aerosols. About 10 percent of the Sun's rays intercepted by these aerosols are reflected back to space. The rest are forward scattered and reach the Earth's surface. Models suggest that doubling the  $\text{CO}_2$  content of the atmosphere is equivalent to cranking up the Sun's energy output by two percent. Hence to compensate for the warming generated by doubled  $\text{CO}_2$ , 20 percent of the incoming sunlight would have to encounter  $\text{H}_2\text{SO}_4$  aerosols. This would require a standing stock of 50 million tons of these aerosols. As they would remain aloft only about one year, they would have to be replaced on this time scale. It turns out that the cost of such an action would be an order of magnitude less than that for either replacing fossil fuels or for capturing and burying  $\text{CO}_2$ .

One might ask how the 30 billion tons of  $\text{SO}_2$  per year would be added to the stratosphere. It could be done with a fleet of several hundred very large airplanes. But more likely it would be done with huge gas bags. They would be launched in the tropics so that the  $\text{SO}_2$  would follow the same path as the air upwelled in at low latitudes. Of course, considerable research involving atmospheric circulation models backed with tracer experiments would have to be carried out so that the  $\text{SO}_2$  would be spread across the entire planet.

As is the case for any proposed environmental intervention, the accompanying downsides must be evaluated. For  $\text{H}_2\text{SO}_4$  aerosol cooling, at the top of the list is the consequent reduction in ozone. Ozone is consumed when  $\text{SO}_2$  is converted to  $\text{H}_2\text{SO}_4$ . Hence it will allow more UV to reach the Earth's surface. We know that in the aftermath of the 32 million tons of  $\text{SO}_2$  added to the stratosphere during the 1991 eruption of the Philippine volcano, Pinatubo, the global ozone inventory dropped by about four percent. Next on the list is that the sulfuric acid aerosols would bleach the blue skies and enhance red sunsets. Of course, when these aerosols reached the troposphere they would acidify the rain. At the dosage required to balance a doubling of atmospheric  $\text{CO}_2$  content, this mode of  $\text{H}_2\text{SO}_4$  acidification would rival that produced by coal burning power plants. However, there would be an important difference.  $\text{H}_2\text{SO}_4$  produced in power plants returns to Earth within hundreds of miles of its source. Geoengineering  $\text{H}_2\text{SO}_4$  would be spread across the planet. Finally, how would these aerosols alter stratosphere dynamics?

There are several reasons why this 'Band Aid' has not received much attention. First, were it put in place, the incentive to replace fossil fuels and to recapture CO<sub>2</sub> would be diminished. Second, there is a fear that this approach would not uniformly undo the temperature and rainfall anomalies created by the CO<sub>2</sub> warming. If so, it would create international tension. Finally, people are uneasy about allowing humans to intervene in climate. Most ecologic interventions have created as many problems as they solved. However, as doing nothing will lead to irreparable loss of ice and species, careful thought must be given to the geoengineering option.

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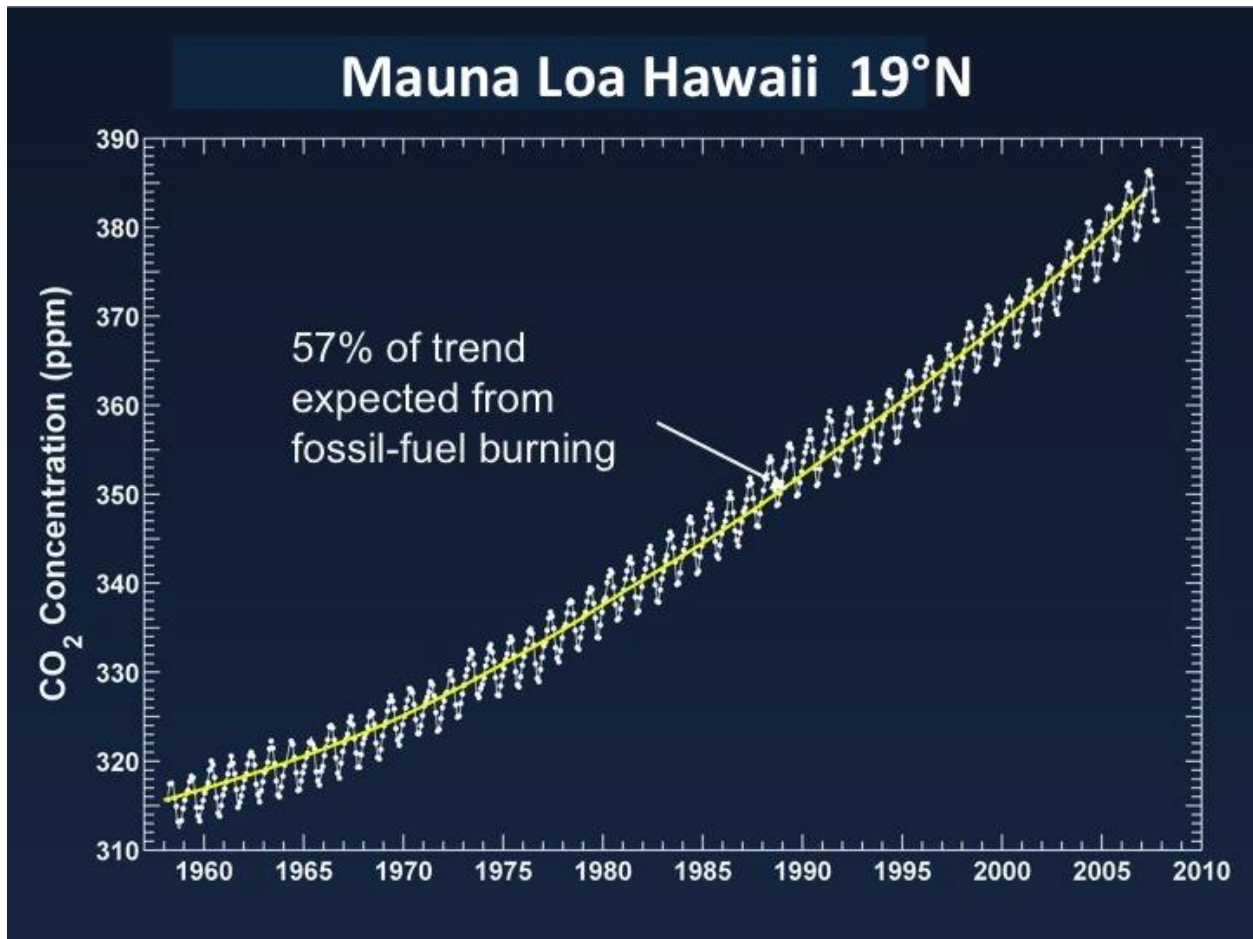


Figure 6-1. The longest direct record of atmospheric CO<sub>2</sub> content is that launched in 1958 by Charles David Keeling at a meteorological station high on Hawaii's extinct volcano, Mauna Loa. When compared with the record of fossil fuel use, it makes clear that only 57 percent of the CO<sub>2</sub> generated has remained airborne. The rest has been absorbed by the ocean or has 'greened' the continents. The record also depicts the seasonal breathing of the terrestrial biosphere.

**CO<sub>2</sub> NOW 406 ppm**

**IF CO<sub>2</sub> PRODUCTION LEVELS  
OFF AT 12 gigatons C / year**

**CO<sub>2</sub> WILL RISE BY ABOUT 3 ppm / year**

**560 - 406 = 154 ppm TO GO**

**DOUBLE CO<sub>2</sub> WILL BE REACHED IN**

$$\frac{154}{3} \frac{\text{ppm}}{\text{ppm / yr}} = 51 \text{ years}$$

**2017 + 51 = 2068 AD**

Figure 6-2. If we continue our dependence on fossil fuel energy, the CO<sub>2</sub> content of the atmosphere is likely to reach double its preindustrial content in about 50 years.

## NEGATIVE EMISSIONS

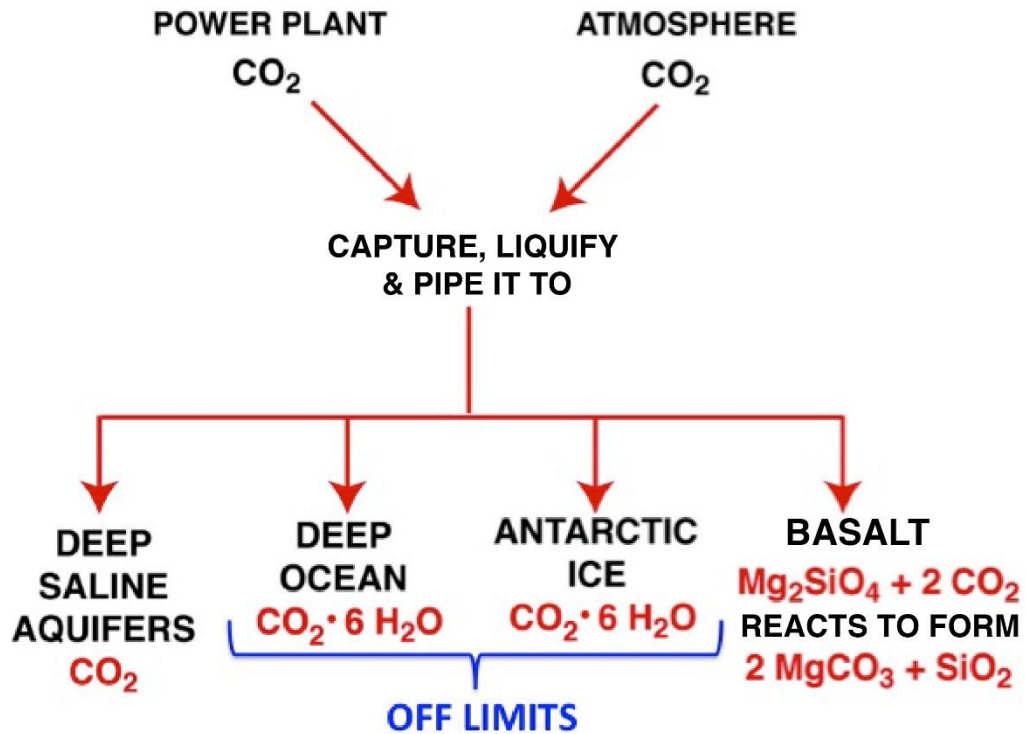


Figure 6-3. Options for storing  $\text{CO}_2$  captured from power-plant or retrieved from the atmosphere: It would be stored in either sandstone or basalt. Although it is feasible to store it in the deep sea or in lakes beneath the Antarctic ice sheet, these options are environmentally unacceptable.

## **ALBEDO MODIFICATION**

*A LA BUDYKO*

**SO<sub>2</sub> GAS → H<sub>2</sub>SO<sub>4</sub> AEROSOLS**  
SIZE ~ 0.3 MICRON

**H<sub>2</sub>SO<sub>4</sub> AEROSOLS INTERCEPT 20% OF SUN RAYS**  
**BACK SCATTER 2% OF SUN RAYS**

**IN ORDER TO COMPENSATE FOR DOUBLED**  
**ATMOSPHERIC CO<sub>2</sub> CONTENT**  
**32 MILLION TONS OF SO<sub>2</sub> / YEAR**  
**WOULD BE REQUIRED**

---

**RESIDENCE TIME IN STRATOSPHERE ~ 1 YEAR**

---

**MORE ACID RAIN**  
**REDUCED OZONE**  
**BLEACHED SKY**  
**REDDER SUNSETS**

---

**PINATUBO (1991 VOLCANO) PUT UP**  
**32 MILLION TONS SO<sub>2</sub> INTO SRATOSPHERE**

Figure 6-4. Geoengineering by adding SO<sub>2</sub> to the stratosphere.



### *End Piece*

Taken together, the geologic record permits a strong case to be made that CO<sub>2</sub> has driven past climate changes. But as made clear by the record for the last 150 kyrs, it has not acted alone. Insolation cycles, ocean circulation reorganizations, latitudinal temperature gradients also contributed. On far longer time scales, so has the Sun's luminosity.

Progress in sorting out the extent to which CO<sub>2</sub> has contributed is impeded by our inability to reliably reconstruct the atmosphere's CO<sub>2</sub> content for times greater than a million years. The <sup>13</sup>C proxies recorded by living organisms are flawed because in each case the results are seriously biased by factors other than atmospheric CO<sub>2</sub> content. There is, however, one proxy which may remedy this. It is the <sup>13</sup>C record kept in air trapped in soil CaCO<sub>3</sub>. Not only could it avoid the pitfalls of <sup>13</sup>C records in organic matter, but it extends to higher CO<sub>2</sub> contents than those based on photosynthetic fractionation. Also these carbonates are preserved in sediments from throughout the Phanerozoic. Further, these carbonates are ubiquitous in dry land soils. Morgan Schaller is currently hard at work evaluating the many issues surrounding this proxy.

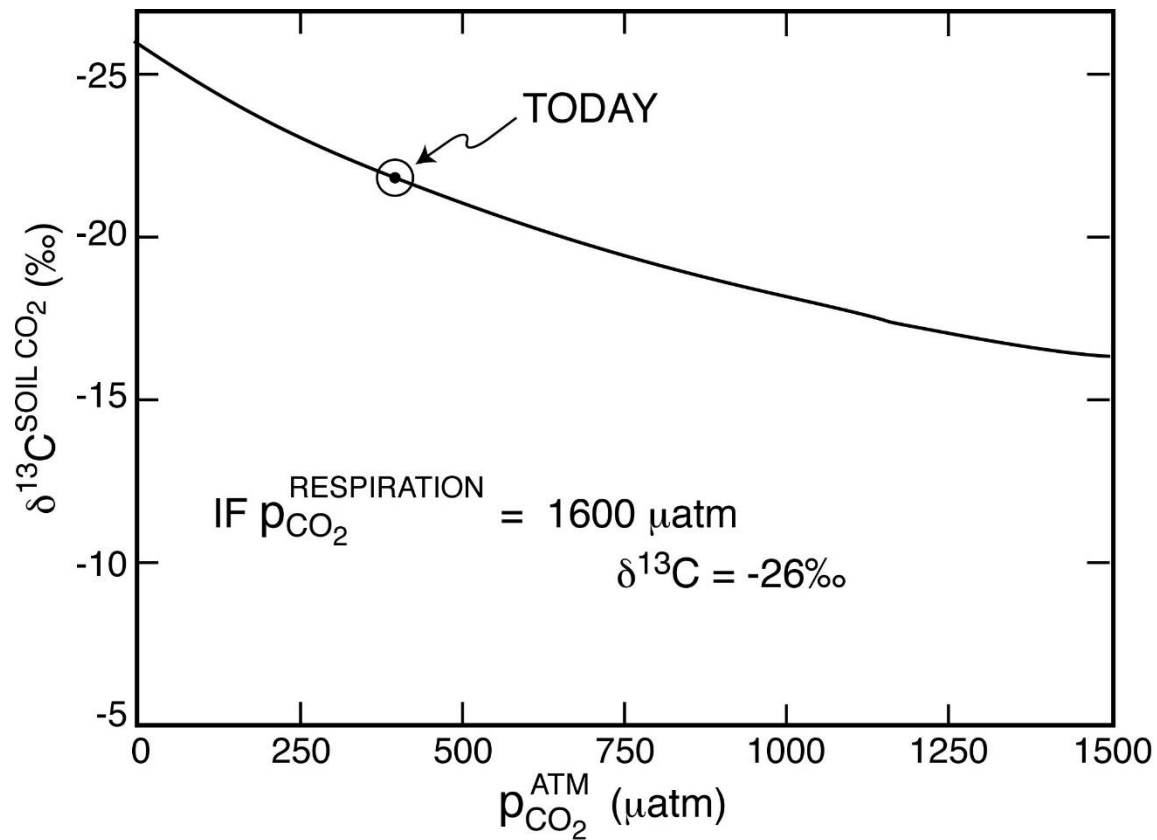


Figure A. The dependence of the  $\delta^{13}\text{C}$  in soil air on the atmosphere's  $\text{CO}_2$  content assuming a respiration  $\text{CO}_2$  partial pressure of  $1600 \mu\text{atm}$  and  $\delta^{13}\text{C}$  of  $-26$  per mil. Morgan Schaller proposes that measurements of the  $\text{CO}_2$  content of air trapped in soil  $\text{CaCO}_3$  and of its  $\delta^{13}\text{C}$  will allow him to calculate the atmosphere's  $\text{CO}_2$  content. Although he makes a convincing case that the air trapped in these carbonates is pristine, there are numerous issues related to his observation that liquid water partially fills the voids in soil carbonates and that this water contains  $\text{HCO}_3^-$  and  $\text{CO}_3^{2-}$ .

He will have to be able to establish the  $\delta^{13}\text{C}$  of the soil  $\text{CO}_2$  to an accuracy of better than  $\pm 1\%$ . At  $1\%$ , the uncertainty of a measurement in the  $500 \mu\text{atm}$  range would be about  $150 \mu\text{atm}$ . In the range of  $1000 \mu\text{atm}$ , a one per mil uncertainty would correspond to  $250 \mu\text{atm}$ . Achieving this accuracy, although a sizable challenge, should be doable.